## Solutions to Midterm 1

- 1. (a) (3 points) Let  $(X, d)$  be a metric space. Define what it means for a sequence  $p_1, p_2, \ldots$  in X to converge to a limit  $\ell \in X$ . **Solution:** For every  $\epsilon > 0$  there is a natural number N such that for all  $n \geq N$  we have  $d(p_n, \ell) < \epsilon$ .
	- (b) (5 points) Let  $p_1, p_2, \ldots$  and  $q_1, q_2, \ldots$  be two sequences in X, and suppose that  $p_n \to \ell$  and  $d(p_n, q_n) \to 0$  as  $n \to \infty$ . Prove that  $q_n \to \ell$ .

**Solution:** Let  $\epsilon > 0$  given. Because  $p_n \to \ell$ , we can choose an  $N_1$  such that for all  $n \geq N_1$  we have  $d(p_n, \ell) < \epsilon/2$ . Because  $d(p_n, q_n) \to 0$ , we can choose an  $N_2$  such that for all  $n \geq N_2$  we have  $d(p_n, q_n) < \epsilon/2$ . Let  $N = \max\{N_1, N_2\}$ ; then for all  $n \geq N$ we have

$$
d(q_n, \ell) \le d(q_n, p_n) + d(p_n, \ell) < \epsilon/2 + \epsilon/2 = \epsilon,
$$

where the first inequality is the triangle inequality.

2. (a) (3 points) Let  $(X, d)$  be a metric space. Define what it means for a subset  $A \subset X$  to be open.

> **Solution:** For every point  $p \in A$  there is an  $r > 0$  such that the ball  $B_r(p) \subset A$ , or if you prefer, for every  $q \in X$  with  $d(p,q) < r$ we have  $q \in A$ .

(b) (3 points) Write out what it means for a subset  $A \subset X$  not to be open – that is, write out the negation of part (a).

**Solution:** There is a point  $p \in A$  such that for every  $r > 0$  there is a point  $q \in X$  with  $d(p, q) < r$  but  $q \notin A$ .

(c) (5 points) In  $C([0,1])$ , let A be the set of functions f with  $f(0) > 0$ . Prove that A is open in the sup metric.

**Solution:** Let  $f \in A$  be given, and let  $r = f(0) > 0$ . If  $g \in B_r(f)$ then  $d_{\infty}(g, f) < r$ , so  $|g(x) - f(x)| < r$  for all  $x \in [0, 1]$ , an in particular  $|g(0) - f(0)| < r$ . Thus  $f(0) - r < g(0) < f(0) + r$ , that is,  $0 < g(0) < 2f(0)$ , so  $g \in A$ .

(d) (5 points) Prove that the same set  $A \subset C([0,1])$  is not open in the  $L^1$  metric.

**Solution:** Let  $f$  be the constant function 1, which is in  $A$ , and let  $r > 0$  be given. If  $r > 1$ , let g be the constant function 0; then  $d_1(f, g) = \int |f - g| = 1 < r$ , so  $g \in B_r(f)$ , but  $g(0) = 0$  so  $g \notin A$ . More interestingly, if  $r \leq 1$ , let g be the function that goes piecewise-linearly from  $g(0) = 0$  to  $g(r) = 1$  to  $g(1) = 1$ :



Then  $d_1(f,g) = \int |f - g|$  is the area of a triangle whose height is 1 and width is r, so the area is  $r/2 < r$ . So again  $g \in B_r(f)$ , but  $g(0) = 0$  so  $g \notin A$ .

With a little more effort we could have proved that the interior of A is empty in this metric.

3. (a) (3 points) Let  $(X, d)$  be a metric space, and let  $A \subset X$ . Define the interior, closure, and boundary of A.

> **Solution:** The interior is the set of points  $p \in A$  for which there is an  $r > 0$  such that  $B_r(p) \subset A$ . The closure is the set of points  $p \in X$  for which there is a sequence  $p_1, p_2, \ldots \in A$  converging to p. The boundary is the closure minus the interior.

(b) (5 points) Prove that  $\overline{A \setminus B} \subset \overline{A} \setminus \text{int } B$ . You may use anything proved in lecture or on the homework.

**Solution:** Let  $C = X \setminus B$ , so  $A \setminus B = A \cap C$ . From homework we know that  $\overline{A \cap C} \subset \overline{A} \cap \overline{C}$ , and from lecture we know  $\overline{C} = X \in B$ , so  $\bar{A} \cap \bar{C} = \bar{A} \setminus \text{int } B$ .

Alternatively you could say that  $A \subset \overline{A}$  and int  $B \subset B$ , so  $\overline{A} \setminus \text{int } B$ contains  $A \setminus B$ , and it's closed (because it's an intersection of two closed sets, A and  $X \setminus \text{int } B$ , so it contains  $A \setminus B$ .

(c) (3 points) Give an example to show that the inclusion in part (b) can be strict.

**Solution:** You might take  $A = [0, 2]$  and  $B = [0, 1]$  in  $\mathbb{R}$  with the usual metric. Then  $A \setminus B = (1, 2]$ , whose closure is [1, 2], but

$$
\bar{A} \setminus \text{int } B = [0, 2] \setminus (0, 1) = \{0\} \cup [1, 2],
$$

which is bigger.

You could also take  $A = B = \{0\}$ , but this feels too smart-alecky.