

### Ch. 3 Classification of root systems

$E$  Euclidean space , inner product  $(\cdot, \cdot)$

$$\alpha^\vee = \frac{2\alpha}{(\alpha, \alpha)} \quad s_\alpha : E \rightarrow E \text{ reflection in hyperplane } \alpha^\perp$$
$$s_\alpha(\lambda) = \lambda - (\lambda, \alpha^\vee) \alpha$$

- Root system :  $(R \subseteq E)$
- ①  $R$  is finite and spans  $E$
  - ②  $\alpha \in R, c\alpha \in R \Leftrightarrow c = \pm 1$  (so:  $0 \notin R$ )
  - ③  $s_\alpha(R) = R$
  - ④  $(\beta, \alpha^\vee) \in \mathbb{Z} \quad \forall \alpha, \beta \in R.$

$$\text{rank}(R) = \dim E.$$

Basic properties of  $(R \subseteq E)$

$$(\alpha, \beta) = \|\alpha\| \|\beta\| \cos \theta$$

Lemma |  $\alpha, \beta \in R$ ,  $\alpha \neq \pm \beta$ . If  $(\alpha, \beta) > 0$  then  $\alpha - \beta \in R$

If  $(\alpha, \beta) < 0$  then  $\alpha + \beta \in R$

Proof  $(\alpha, \beta) > 0$ .

$$(\beta, \alpha^\vee) = \frac{2\|\beta\|}{\|\alpha\|} \cos \theta$$

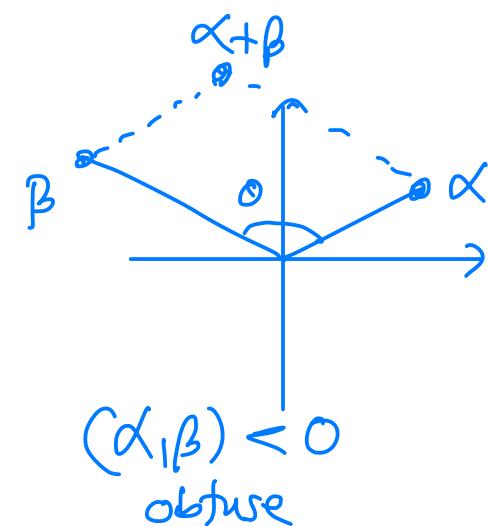
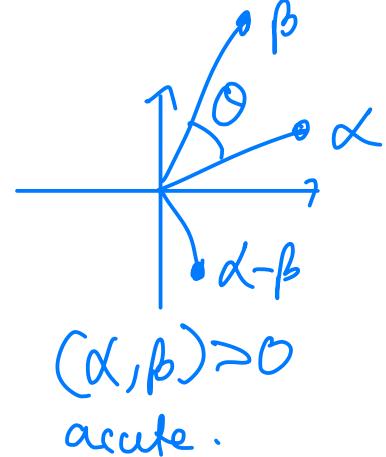
$$\therefore (\underbrace{\beta, \alpha^\vee}_{\in \mathbb{Z}})(\underbrace{\alpha, \beta^\vee}_{\in \mathbb{Z}}) = 4 \underbrace{\cos^2 \theta}_{< 4} \in \mathbb{Z}$$

It's 1, 2 or 3.

$$\text{WLOG } (\alpha, \beta^\vee) = 1$$

$$(\beta, \alpha^\vee) = 1, 2 \text{ or } 3.$$

$$S_\beta(\alpha) = \alpha - (\alpha, \beta^\vee)\beta = \alpha - \beta \in R \text{ by } \textcircled{3}$$



Useful table  $\alpha, \beta \in \mathbb{R}$  linearly independent

$(\alpha, \beta^\vee)$	$(\beta, \alpha^\vee)$	$\theta$	$\ \beta\ /\ \alpha\ $
0	0	$\pi/2$	?
1	1	$\pi/3$	1
1	2	$\pi/4$	$\sqrt{2}$
1	3	$\pi/6$	$\sqrt{3}$
-1	-1	$2\pi/3$	1
-1	-2	$3\pi/4$	$\sqrt{2}$
-1	-3	$5\pi/6$	$\sqrt{3}$

or flip columns and  $\frac{1}{\circ}$  the last column.



Lemma 2 Take  $\alpha, \beta \in R$ ,  $\alpha \neq \pm\beta$ . Let  $r, q \in \mathbb{N}$  be maximal

so  $\beta - r\alpha$  and  $\beta + q\alpha$  are both roots.

Then  $\beta + i\alpha$  is a root  $\nmid -r \leq i \leq q$

Moreover,  $(\beta, \alpha^\vee) = r - q \in \{0, \pm 1, \pm 2, \pm 3\}$

Proof Suppose some  $\beta + i\alpha$  is not a root.

Pick  $i$  minimal,  $-r < i < q$ , so  $\beta + i\alpha \notin R$ .

Pick  $j$  maximal,  $-r < j < q$ , so  $\beta + j\alpha \notin R$ .

We saw this in Lemma 3  
at end of last chapter.

$\alpha$ -string through  $\beta$

$\beta - r\alpha, \dots, \beta + i\alpha, \dots, \beta + q\alpha$

all are roots

Then  $i \leq j$

$$\left. \begin{array}{l} \beta + i\alpha \notin R \\ \beta + (i-1)\alpha \in R \end{array} \right\} \text{Lemma 1} \Rightarrow (\beta + (i-1)\alpha, \alpha) \geq 0 \quad \left. \begin{array}{l} \text{Subtract:} \\ (i-j-2) \underbrace{(\alpha, \alpha)}_{<0} \geq 0 \end{array} \right\}$$

$$\left. \begin{array}{l} \beta + j\alpha \notin R \\ \beta + (j+1)\alpha \in R \end{array} \right\} \text{Lemma 1} \Rightarrow (\beta + (j+1)\alpha, \alpha) \leq 0 \quad \cancel{\quad}$$

$$s_\alpha(\beta + i\alpha) = \beta + i\alpha - (\beta + i\alpha, \alpha^\vee)\alpha = \beta - (\beta, \alpha^\vee)\alpha - i\alpha$$

↑

also in  $\alpha$ -string through  $\beta$

This must just "reverse" the string.

$$s_\alpha(\beta + q\alpha) = \beta - r\alpha = \beta - (\beta, \alpha^\vee)\alpha - q\alpha$$

$$\therefore r = (\beta, \alpha^\vee) + q$$

$$\therefore (\beta, \alpha^\vee) = r - q \in \{0, \pm 1, \pm 2, \pm 3\}$$

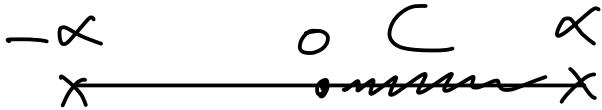
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how far  $\beta$  is  
from bottom of  
 $\alpha$ -string

how far  $\beta$  is  
from top of  
string

## Examples

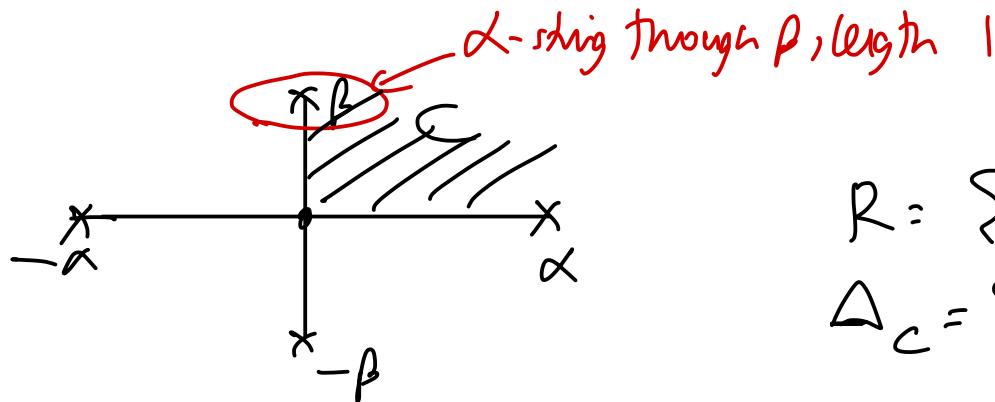
$A_1$



$$\Delta_C = \{\alpha\}$$

$$R = \{\pm\alpha\}$$

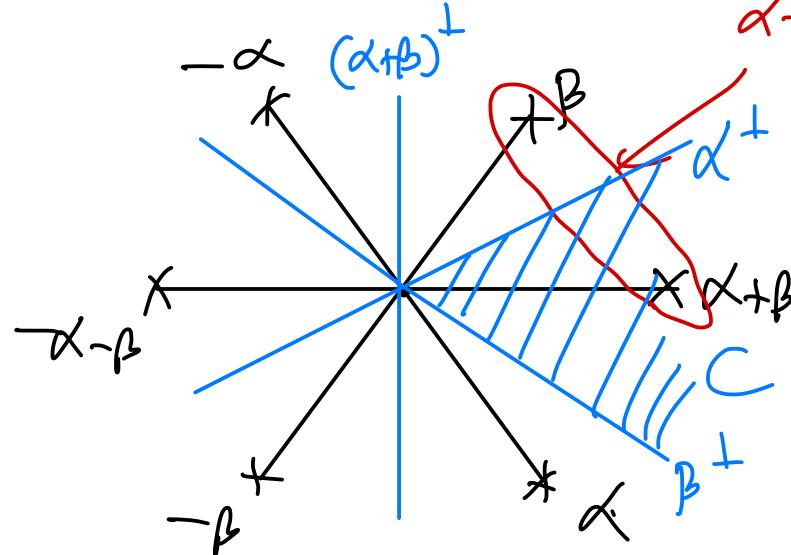
$A_1 \times A_1$



$$R = \{\pm\alpha, \pm\beta\}$$

$$\Delta_C = \{\alpha, \beta\}$$

$A_2$



$$|R| = 6$$

$$\Delta_C = \{\alpha, \beta\}$$

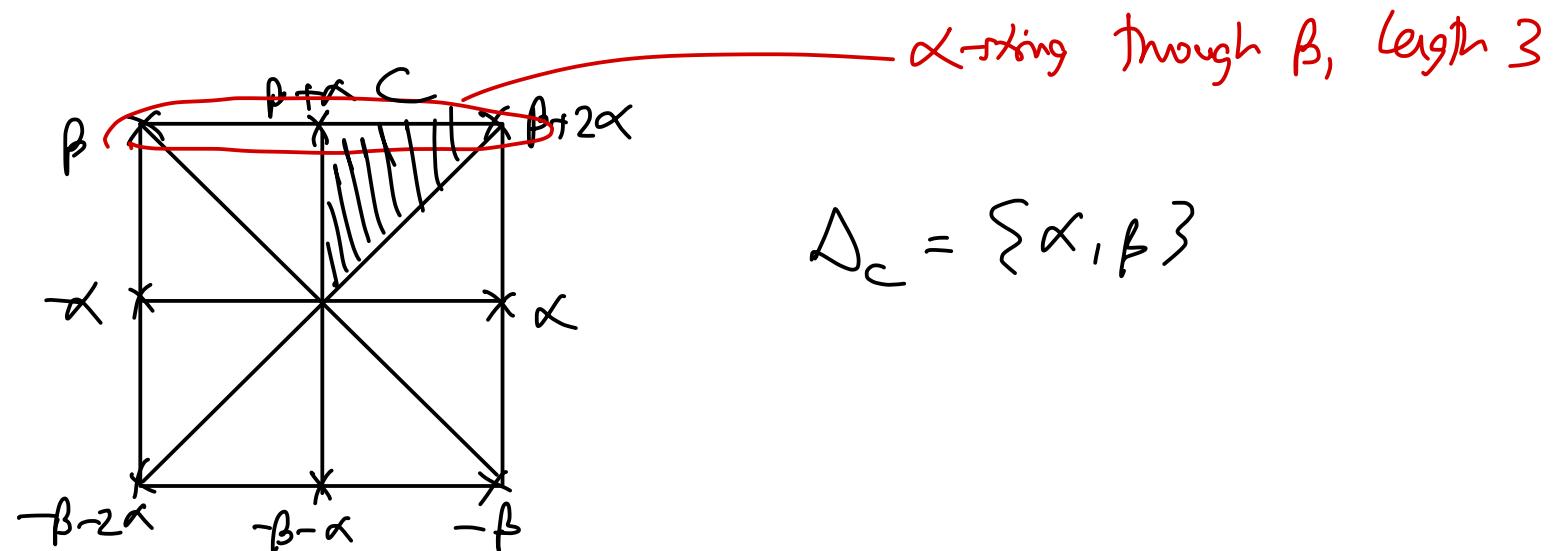
$$(\alpha, \beta^\vee) = -1, \quad (\beta, \alpha^\vee) = -1$$

$$\Theta = \frac{2\pi}{3}, \quad ||\alpha|| = ||\beta||$$

$B_2$

$$(\alpha, \beta^\vee) = -1, (\beta, \alpha^\vee) = -2$$

$$\theta = \frac{3\pi}{4}, \|\beta\| = \sqrt{2} \|\alpha\|$$

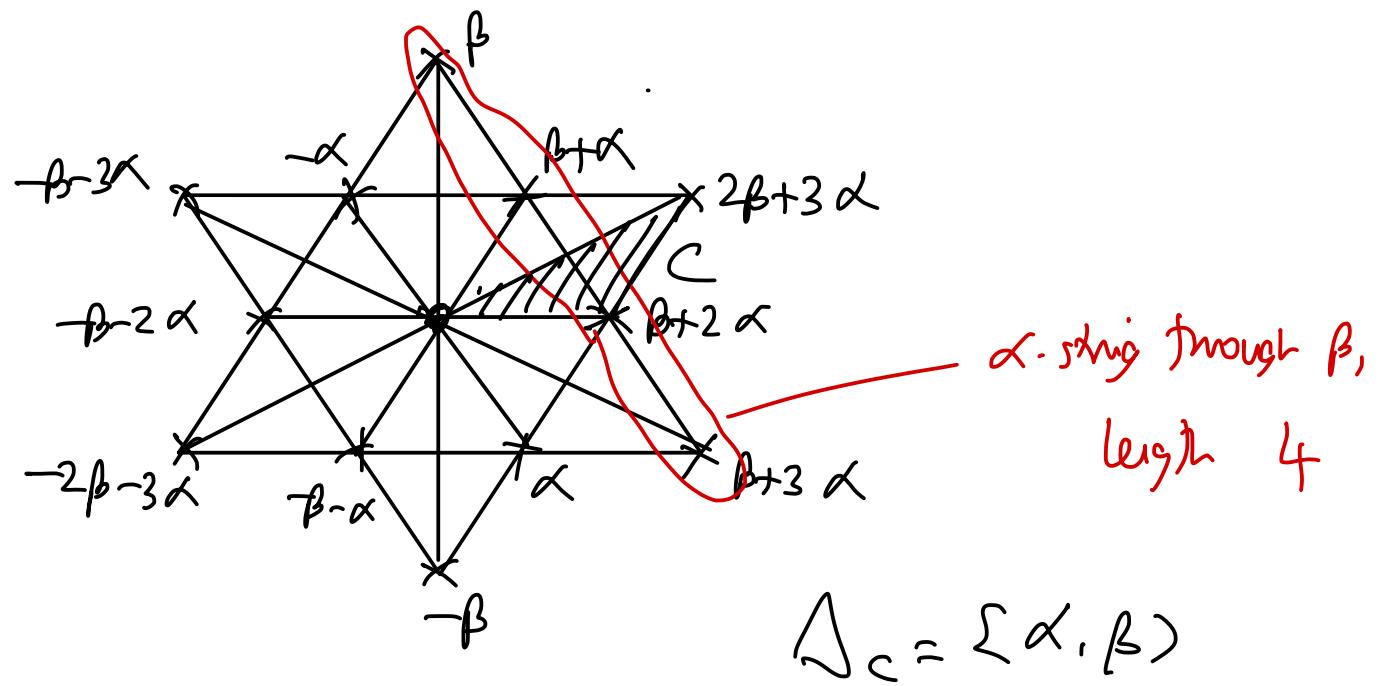


$$\Delta_C = \{\alpha, \beta\}$$

$G_2$

$$(\alpha, \beta^\vee) = -1, (\beta, \alpha^\vee) = -3$$

$$\theta = \frac{5\pi}{6}, \|\beta\| = \sqrt{3} \|\alpha\|$$



$$\Delta_C = \{\alpha, \beta\}$$

Bases A base for a root system ( $R \subseteq E$ ) is a subset  $\Delta$  of  $R$  such that  $\Delta$  is a basis for  $E$ , and if  $\beta \in R$  written as  $\beta = \sum_{\alpha \in \Delta} c_\alpha \alpha$  then either all  $c_\alpha \geq 0$  or all  $c_\alpha \leq 0$ .

Given a base  $\Delta = \{\alpha_1, \alpha_2, \dots, \alpha_l\}$ , ( $l = \text{rank}(R) = \dim E$ ) we'll call the  $\alpha_i \in \Delta$  the simple roots. Any  $\beta \in R$  is either a positive sum of  $\alpha_i$ 's or a negative sum. We'll call  $\beta$  positive or negative accordingly. So  $R = R^+ \sqcup R^-$

$\uparrow$  positive roots       $\nwarrow$  negative roots

Note also for  $\alpha_i \neq \alpha_j$  in  $\Delta$ ,  $(\alpha_i, \alpha_j) \leq 0$  by Lemma 1  
(as  $\alpha_i - \alpha_j \notin R$ )

Theorem Bases exist for any root system.

See Humphreys (Oo).

Show you the construction of bases

Consider  $E = \bigcup_{\alpha \in R} \alpha^\perp$ . This has a bunch of connected

components called chambers.

Given a chamber  $C$ , define

$\Delta_C = \{ \alpha \in R \mid \alpha^\perp \text{ is a bounding hyperplane of } C, \text{ and } \alpha \text{ is at an acute angle to every vector in } C \}$

Then proof of theorem shows  $\Delta_C$  is a base, and all bases are from chambers in this way.