

# Ch. 4 Classification of semisimple Lie algebras

Let  $\mathfrak{g}$  be a f.d. semisimple Lie algebra

$\mathfrak{z}$  maximal toral subalgebra

$R =$  Killing form  $(\cdot, \cdot) = K|_{\mathfrak{z}}$  non-degenerate

Using that we identified

$\mathfrak{z} \cong \mathfrak{t}_{\lambda}^*$  and we

transported form on  $\mathfrak{z}$

to get  $(\cdot, \cdot)$  on  $\mathfrak{z}^*$ .

We had the

Cartan decomposition:

$$\mathfrak{g} = \mathfrak{z} \oplus \bigoplus_{\alpha \in R} \mathfrak{g}_{\alpha} \quad R \subset \mathfrak{z}^*$$

Let  $E = \mathbb{R}R$ , giving a real vector space. We showed that

$R \subset E$ , plus the restriction of form  $(\cdot, \cdot)$  to  $E$ , gives a root system.

Lemma  $\mathfrak{g}$  is simple if and only if  $R$  is indecomposable.

Proof ( $\Leftarrow$ ) Suppose  $\mathfrak{g}$  is not simple, so  $\mathfrak{g} = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_n$  simple ideals.

Let  $Z_i = Z \cap \mathfrak{g}_i \dots$  then  $Z = Z_1 \oplus \dots \oplus Z_n$ ,  $Z_i$  is maximal in  $\mathfrak{g}_i$ .

$R_i =$  roots of  $\mathfrak{g}_i$  wrt  $Z_i$  so  $R = R_1 \sqcup \dots \sqcup R_n$

$E_i = \{R, R_i = E \cap Z_i^*\}$

↖ Actually it decomposes into indecomposable components.

Then  $R_i \subset E_i$  is root system of  $\mathfrak{g}_i$ , and  $E_i \perp E_j$   $i \neq j$

so root system is decomposable.

( $\Rightarrow$ ) Suppose  $R = \underbrace{R_1}_{\alpha} \sqcup \underbrace{R_2}_{\beta}$

$$(R_1, R_2) = 0$$

$$(\alpha + \beta, \alpha) = (\alpha, \alpha) \neq 0 \Rightarrow \alpha + \beta \notin R_2$$

$$(\alpha + \beta, \beta) = (\beta, \beta) \neq 0 \Rightarrow \alpha + \beta \notin R_1$$

So  $\alpha + \beta \notin R$ , so  $[\mathfrak{g}_\alpha, \mathfrak{g}_\beta] = 0$ .

Consider subalgebra of  $\mathfrak{g}$  generated by  $\mathfrak{g}_\alpha$ 's ( $\alpha \in R_1$ ), above shows it an ideal, so it's 0 or  $\mathfrak{g}$  by simplicity of  $\mathfrak{g}$ . Deduce  $R = R_2$  or  $R = R_1$  //

Let me work out  $\mathfrak{so}_{2n}(\mathbb{C})$  in detail.  $\leftarrow$  We did  $\mathfrak{sp}_{2n}(\mathbb{C})$  in HW6-Q4. Do  $\mathfrak{so}_{2n+1}(\mathbb{C})$  similarly.

By definition, its subalgebra of  $\mathfrak{gl}_{2n}(\mathbb{C})$  of matrices

$$X = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \quad \text{so} \quad X^T \begin{pmatrix} 0 & J_n \\ J_n & 0 \end{pmatrix} + \begin{pmatrix} 0 & J_n \\ J_n & 0 \end{pmatrix} X = 0$$

$\begin{matrix} \xrightarrow{n} & \xrightarrow{n} \\ \hline n & n \end{matrix}$

$$J_n = \begin{pmatrix} 0 & 1 & & \\ & \ddots & \ddots & \\ & & 0 & 1 \\ & & & \ddots & \ddots & \\ & & & & 0 & \\ & & & & & \ddots & \ddots & \\ & & & & & & 0 & 1 \\ & & & & & & & \ddots & \ddots & \\ & & & & & & & & & 0 \end{pmatrix}_{n \times n} \quad A^\dagger = J_n A J_n$$

$\left( \begin{matrix} \curvearrowright \\ \vdots \\ \curvearrowright \end{matrix} \right)$  flip

$\Rightarrow$   ~~$A^\dagger J_n + J_n D = 0$~~

$D = -A^\dagger, \quad C = -C^\dagger, \quad B = -B^\dagger$

Let  $\mathcal{Z}$  be the diagonal matrices  $\mathfrak{z} = \left\{ \begin{pmatrix} t_1 & 0 & & \\ 0 & \ddots & & \\ 0 & & t_n & \\ 0 & & & -t_1 & \ddots & \\ & & & & 0 & \ddots & \\ & & & & & & -t_n \end{pmatrix} \right\}$   $n$ -dimensional toral

Let  $\Sigma_i \in \mathcal{Z}^*$  be map taking  $h = \text{diag}(t_1, \dots, t_n, -t_1, \dots, -t_n) \mapsto t_i$ .

For  $1 \leq i < j \leq n$ , consider

$h = \text{diag}(t_1, \dots, t_i, -t_i, \dots, -t_j, \dots, t_j) \in \mathbb{Z}$   
and  $h$  acts on these vectors by

$$e_{ij} - e_{j,-i} \quad (\xi_i - \xi_j)(h)$$

upper  $\Delta_r$

$$e_{ji} - e_{-i,j} \quad -(\xi_i - \xi_j)(h)$$

lower  $\Delta_r$

$$e_{i,-j} - e_{j,-i} \quad (\xi_i + \xi_j)(h)$$

upper  $\Delta_r$

$$e_{j,i} - e_{-i,j} \quad -(\xi_i + \xi_j)(h)$$

lower  $\Delta_r$

These lie in  $\mathfrak{g}$ , in fact, together with  $\mathbb{Z}$  they give a basis for  $\mathfrak{g}$

$$\mathfrak{g} = \mathbb{Z} \oplus \bigoplus_{\alpha \in R} \mathfrak{g}_\alpha$$

$$R = \left\{ \pm (\xi_i \pm \xi_j) \mid 1 \leq i < j \leq n \right\}$$

$$R^+ = \left\{ (\xi_i \pm \xi_j) \mid 1 \leq i < j \leq n \right\}$$

Shows  $\mathbb{Z}$  is maximal toral, and we've found the root system. We

$\frac{1}{2}$  of natural trace form ... for this defined form on  $\mathbb{Z}^*$  has  $\xi_1, \dots, \xi_n$  o.n.

Base for  $R$  is  $\Delta = \left\{ \xi_1 - \xi_2, \xi_2 - \xi_3, \dots, \xi_{n-2} - \xi_{n-1}, \xi_{n-1} \pm \xi_n \right\}$

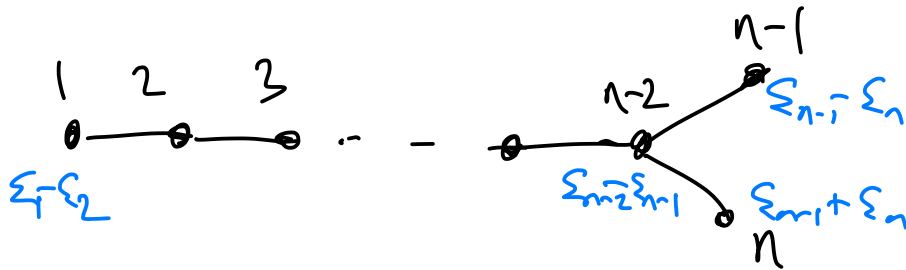
Now we have  $R \subset E = RR \subset \mathbb{Z}^*$  root system, base  $\Delta$ ,

so can work out Cartan matrix, here, Dynkin diagram

$$\begin{matrix}
 \Sigma_1 - \Sigma_2 & \dots & \Sigma_{n-2} - \Sigma_{n-1} & \Sigma_{n-1} - \Sigma_n & \Sigma_{n-1} + \Sigma_n \\
 \begin{pmatrix}
 2 & -1 & & & \\
 -1 & \ddots & & & \\
 & \ddots & \ddots & & \\
 & & -1 & 2 & -1 & \\
 & & & -1 & 2 & 0 \\
 & & & & -1 & 0 & 2
 \end{pmatrix}
 \end{matrix}$$

all roots have  $(\alpha, \alpha) = 2$   
 $\alpha^\vee = \alpha$

$(\alpha_i, \alpha_j)$



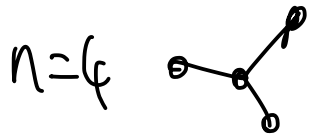
$l = n$

$D_n$  Dynkin diagram

$n \geq 3$  its connected, so by lemma

$sl_4(\mathbb{C})$   
 $so_6, so_8, \dots$   
 simple Lie algebras

$so_{2n}(\mathbb{C})$



$n=2$

$so_4(\mathbb{C}) \quad sl_2(\mathbb{C}) \oplus sl_2(\mathbb{C})$

Back to general setup.

$$\mathfrak{g} = \mathbb{Z} \oplus \left( \bigoplus_{\alpha \in R} \mathfrak{g}_\alpha \right)$$

Pick a base  $\Delta$  for  $R$ , hence,  $R = R^+ \cup R^-$ .  
 "  $\{ \alpha_1, \dots, \alpha_\ell \}$

We showed at end of Ch-2 that you could pick  $0 \neq e_\alpha \in \mathfrak{g}_\alpha$  ( $\alpha \in R^+$ )

Then there's a unique  $0 \neq f_\alpha \in \mathfrak{g}_{-\alpha}$  so  $[e_\alpha, f_\alpha] = h_\alpha = \frac{2t_\alpha}{(\alpha, \alpha)}$

Also  $[h_\alpha, e_\alpha] = 2e_\alpha$ ,  $[h_\alpha, f_\alpha] = -2f_\alpha$  so  $\mathfrak{s}_\alpha = \langle e_\alpha, h_\alpha, f_\alpha \rangle \cong \mathfrak{sl}_2(\mathbb{C})$

This produces a basis  $\{ e_\alpha, f_\alpha \mid \alpha \in R^+ \} \cup \{ h_1, \dots, h_\ell \}$ .

$$e_i = e_{\alpha_i}, f_i = f_{\alpha_i}, h_i = h_{\alpha_i}$$

Lemma  $\mathfrak{g}$  is generated as a Lie algebra by  $e_i, f_i$  ( $i \in I$ ).

2l elements

Proof Take  $\beta \in \mathbb{R}^+$ , show  $e_\beta$  lies in Lie subalgebra of  $\mathfrak{g}$  generated by  $e_{i_1, \dots, i_\ell}$ . Similarly each  $f_\beta$  lies in subalgebra generated by  $f_{i_1, \dots, i_\ell}$ , then you get  $h_i = [e_i, f_i]$ , hence, a linear basis.

Cases by induction on  $ht(\beta)$ . Base is trivial. If  $ht(\beta) > 1$ ,

Ch. 3 Lemma 4  $\implies \exists i$  so  $\beta - \alpha_i \in \mathbb{R}^+$ .

Have  $e_{\beta - \alpha_i}$  by induction, suffices to show  $[e_i, e_{\beta - \alpha_i}] \neq 0$

But we saw  $S_{\alpha_i}$ ,  $sl_2$  associated to  $\alpha_i$ , acts via  $\text{ad}$  on

$\bigoplus_{r \in \mathbb{Z}} \mathfrak{g}_{\beta + r\alpha_i}$ , and that was an irreducible  $S_{\alpha_i}$ -module. //

$$\text{Let } \mathfrak{n}^+ = \bigoplus_{\alpha \in R^+} \mathfrak{g}_\alpha, \quad \mathfrak{n}^- = \bigoplus_{\alpha \in R^-} \mathfrak{g}_\alpha.$$

So Cartan decomposition gives:

$$\mathfrak{g} = \mathfrak{n}^- \oplus \underbrace{\mathfrak{h} \oplus \mathfrak{n}^+}_{\mathfrak{b}}$$

triangular decomposition of  $\mathfrak{g}$ . Choice of  $\mathfrak{h}$  plus  $R^+ \cup R^-$ , i.e., fundamental chamber / base.

( $\mathfrak{b}$  is for Borel subalgebra).

Note  $\mathfrak{n}^+$  and  $\mathfrak{n}^-$  are nilpotent Lie algebras by Engel's theorem.

Also  $\mathfrak{b} = \mathfrak{n}^+ \rtimes \mathfrak{h}$ , so  $\mathfrak{b}$  is solvable.

(eg)  $\mathfrak{sl}_n(\mathbb{C})$   $\mathfrak{g}_{\varepsilon_i - \varepsilon_j} = \mathbb{C} e_{ij}$  with the standard choice

$$R^+ = \{ (\varepsilon_i - \varepsilon_j) \mid 1 \leq i < j \leq n \} \quad \mathfrak{n}^+ = \begin{pmatrix} & * & & \\ 0 & & & \\ & & \ddots & \\ & & & 0 \end{pmatrix}, \quad \mathfrak{n}^- = \begin{pmatrix} & & & 0 \\ * & & & \\ & \ddots & & \\ & & * & \end{pmatrix}$$