

YANGIANS AND DEGENERATE AFFINE SCHUR ALGEBRAS

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ABSTRACT. Drinfeld’s degenerate affine analog of Schur-Weyl duality relates representations of the degenerate affine Hecke algebra AH_r to representations of the Yangian $Y(\mathfrak{gl}_n)$. One way to understand the construction is to introduce an intermediate algebra $AS(n, r)$, the *degenerate affine Schur algebra*, which appears both as the endomorphism algebra of an induced tensor space over AH_r , and as the image of a homomorphism $D_{n,r} : Y(\mathfrak{gl}_n) \rightarrow AS(n, r)$. In this paper, we describe $D_{n,r}$ using a diagrammatic calculus. Then we use a theorem of Drinfeld to compute $\ker D_{n,r}$ when $n > r$, thereby giving a presentation of $AS(n, r)$ in these cases. We formulate a conjecture in the remaining cases. Finally, we apply results of Arakawa to develop some of the representation theory of $AS(n, r)$.

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1. INTRODUCTION

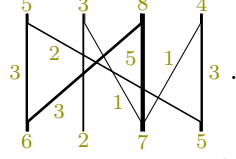
There has been some interest recently in the development of diagrammatic tools for working with Schur algebras and related objects appearing in representation theory. For example, the classical Schur algebra $S(n, r)$ has a standard basis indexed by certain minimal length double coset representatives in the symmetric group S_r . These double coset representatives may be represented graphically by *double coset diagrams* with n vertical strings of total thickness r at the top and bottom boundaries, like in the following example which is a picture of a minimal length double coset representative for the subgroups $S_5 \times S_3 \times S_8 \times S_4$ and $S_6 \times S_2 \times S_7 \times S_5$ in

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the symmetric group S_{20} :



The same diagrams can be used to represent corresponding standard basis vectors in the Schur algebra; the example is a vector in $S(4, 20)$. Then Schur's formula for computing products of standard basis vectors can be reinterpreted in terms of local relations on string diagrams which allow non-reduced diagrams to be simplified algorithmically.

Working over \mathbb{C} , there is a surjective algebra homomorphism from the universal enveloping algebra of $\mathfrak{gl}_n(\mathbb{C})$ to $S(n, r)$ defined on the generators $d_i := e_{i,i}$, $e_i := e_{i,i+1}$ and $f_i := e_{i+1,i}$ by

$$d_i \mapsto \sum_{\lambda \in \Lambda(n, r)} \lambda_i \left| \cdots \right|_{\lambda_1} \cdots \left| \cdots \right|_{\lambda_i} \cdots \left| \cdots \right|_{\lambda_n},$$

$$e_i \mapsto \sum_{\substack{\mu \in \Lambda(n, r) \\ \mu_{i+1} > 0}} \left| \cdots \right|_{\mu_1} \cdots \left| \cdots \right|_{\mu_{i-1}} \left| \cdots \right|_{\mu_i} \left| \cdots \right|_{\mu_{i+1}} \left| \cdots \right|_{\mu_{i+2}} \cdots \left| \cdots \right|_{\mu_n},$$

$$f_i \mapsto \sum_{\substack{\mu \in \Lambda(n, r) \\ \mu_i > 0}} \left| \cdots \right|_{\mu_1} \cdots \left| \cdots \right|_{\mu_{i-1}} \left| \cdots \right|_{\mu_i} \left| \cdots \right|_{\mu_{i+1}} \left| \cdots \right|_{\mu_{i+2}} \cdots \left| \cdots \right|_{\mu_n},$$

where $\Lambda(n, r)$ denotes the set of compositions $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{N}^n$ whose parts sum to r . It is natural to want to view the diagrams for the images of e_i and f_i as compositions of their top and bottom halves, but the half diagrams themselves do not make sense as elements of $S(n, r)$ since the slice across the middle cuts $n + 1$ rather than n strings. This suggests that one should pass from the Schur algebra to a more general object where there are fewer constraints.

These ideas were developed systematically in [BEAO20], defining the *Schur category* **Schur** to be a strict monoidal category with objects given by compositions, and morphisms represented by string diagrams with strings of appropriate thicknesses. Tensor product is defined on objects by concatenation of compositions and on morphisms by horizontal stacking of string diagrams.

The main families of generating morphism are the merges $\bigwedge_{a \ b}$, the splits $\bigvee_{a \ b}$, and the thick crossings $\bigtimes_{a \ b}$, which satisfy relations which can be expressed in a very economical way; see (4.12) and (4.13).

Then $S(n, r)$ is the path algebra of the full subcategory of **Schur** with object set $\Lambda(n, r)$. There is also a quantum analog **Schur_q** of **Schur** which was defined both by generators and relations and with explicit bases for morphism spaces in [Bru25]; one replaces the (singular) thick crossing with the positive and negative thick crossings $\bigtimes_{a \ b}^+$ and $\bigtimes_{a \ b}^-$.

The papers [BEAO20, Bru25] are quite recent, so of course they rest on many previous works. The excellent idea that string diagrams provide a useful tool for working in Schur-like categories was probably first suggested by Stroppel and Webster; see [SW11, Sec. 3.3]. In [MS21, Sec. 3.2], Maksimau and Stroppel pioneered the use of diagrammatics similar to [BEAO20, Bru25] with the addition of coupons on thick strings labelled by symmetric Laurent polynomials in order to represent elements of the affine q -Schur algebra of Green and Vignéras [Gre99, Vig03]; see also [MS19]. This work included the case of roots of unity and also considered cyclotomic quotients¹, establishing isomorphisms to cyclotomic quotients of the quiver Schur algebras of [SW11]. A generators and relations description of some of the algebras in [MS21] was given later in [SSW24].

¹They also consider an extended tensor product version with additional red strands, which we will not say anything about here.

In another influential paper [CKM14], certain diagrams called *webs* were used to present a monoidal category closely related to \mathbf{Schur}_q . This terminology goes back to work of Kuperberg [Kup96], but we find it is a little misleading in the Schur algebra context—Kuperberg’s webs are certain oriented trivalent graphs which are not the same as our double coset diagrams, although they are related. Unlike the situation for \mathbf{Schur}_q , it is not easy to find explicit bases for morphism spaces in the Cautis-Kamnitzer-Morrison web category; see [Eli15] which constructed bases for a closely related variant, and [Bru25, Th. 8.1] for another approach which involves taking the quotient of \mathbf{Schur}_q by a cell ideal.

This paper was inspired instead by the recent work of Song and Wang [SW24b], who introduced a strict monoidal category defined by generators and relations which they called “affine web category.” We prefer to call it the *degenerate affine Schur category*, denoted \mathbf{ASchur} . The path algebra of the full subcategory of \mathbf{ASchur} with object set $\Lambda(n, r)$ is the *degenerate affine Schur algebra* $\text{AS}(n, r)$, which is the degenerate analog of the affine q -Schur algebra mentioned already. Letting V be the natural representation of $\mathfrak{gl}_n(\mathbb{C})$, the algebra $\text{AS}(n, r)$ can be constructed more directly as the endomorphism algebra

$$\text{AS}(n, r) = \text{End}_{\text{AH}_r} (V^{\otimes r} \otimes_{\text{CS}_r} \text{AH}_r)$$

of the *induced tensor space* $V^{\otimes r} \otimes_{\text{CS}_r} \text{AH}_r$. Song and Wang also consider cyclotomic quotients, which they show are related to the Schur algebras of higher levels from [BK08]. A generalization in a different direction was considered independently in [DKMZ23, DKMZ25].

In the first half of the paper, we reprove some of the results of Song and Wang about \mathbf{ASchur} (but none of their later results about cyclotomic quotients). A key difference in our exposition is that we allow strings of thickness r to be decorated by symmetric polynomials in $\mathbb{C}[x_1, \dots, x_r]^{S_r}$, similar to what was done already in the quantum case in [MS19, MS21]. We point out one useful relation: we have that

$$\begin{array}{c} \text{Diagram: Crossing of two strings of thickness } a \text{ and } b. \text{ The left string has a coupon } e_d. \end{array} = \sum_{s=0}^{\min(a, b, d)} s! \begin{array}{c} \text{Diagram: Crossing of two strings of thickness } a \text{ and } b. \text{ The top string has a coupon } e_{d-s}. \end{array}$$

for $a, b, d \geq 1$, where e_d pinned to a string of thickness a denotes a coupon labelled by the d th elementary symmetric polynomial in variables x_1, \dots, x_a . This relation allows symmetric polynomials to be commuted past crossings in double coset diagrams. Song and Wang use it only in the special case that $d = a$. To prove it for smaller values of d , we work in terms of generating functions, using the diagrammatic shorthands

$$\begin{array}{c} \text{Diagram: Vertical string of thickness } r \text{ with coupon } u. \end{array} := \begin{array}{c} \text{Diagram: Vertical string of thickness } r \text{ with coupon } (u-x_1)\cdots(u-x_r). \end{array}, \quad \begin{array}{c} \text{Diagram: Vertical string of thickness } r \text{ with coupon } u. \end{array} := \begin{array}{c} \text{Diagram: Vertical string of thickness } r \text{ with coupon } \frac{1}{(u-x_1)\cdots(u-x_r)}. \end{array}$$

for coupons labelled by the generating functions for elementary and complete symmetric polynomials. These are elements of $\text{End}_{\mathbf{ASchur}}((r))((u^{-1}))$ where u is a formal variable. The above relation for commuting elementary symmetric polynomials past crossings follows from

$$\begin{array}{c} \text{Diagram: Crossing of two strings of thickness } a \text{ and } b. \text{ The left string has a coupon } u. \end{array} = \sum_{s=0}^{\min(a, b)} (-1)^s s! \begin{array}{c} \text{Diagram: Crossing of two strings of thickness } a \text{ and } b. \text{ The top string has a coupon } u. \end{array},$$

which is easier to prove; see Theorem 5.1. We use this relation as one of the defining relations in a monoidal presentation for \mathbf{ASchur} which is equivalent to the presentation originally derived in [SW24b] but more convenient since it fully incorporates symmetric polynomials; see Theorem 4.8 and Remark 4.9. We also prove several complementary results which are not surprising, but are missing in the existing literature. For example, in Lemma 6.3, we determine the centers of each of the endomorphism algebras $\text{End}_{\mathbf{ASchur}}(\lambda)$, proving a conjecture from [SW24b].

The second half of the paper is concerned with the Yangian $Y(\mathfrak{gl}_n)$ associated to $\mathfrak{gl}_n(\mathbb{C})$, and its subalgebra $Y(\mathfrak{sl}_n)$ which is the Yangian of $\mathfrak{sl}_n(\mathbb{C})$. In [Dri86], Drinfeld defined a functor

$$V^{\otimes r} \otimes_{\mathbb{C}S_r} - : \text{AH}_r\text{-mod} \rightarrow Y(\mathfrak{gl}_n)\text{-mod}$$

which can be used to study finite-dimensional representations of $Y(\mathfrak{gl}_n)$ in the same way that the classical Schur functor is used in the context of representation theory of symmetric and general linear groups. His main result about this functor is as follows:

Theorem (Drinfeld). *Assuming $n > r$, the composite functor $\text{Res}_{Y(\mathfrak{sl}_n)}^{Y(\mathfrak{gl}_n)} \circ (V^{\otimes r} \otimes_{\mathbb{C}S_r} -)$ defines an equivalence of categories between $\text{AH}_r\text{-mod}$ and the full subcategory of $Y(\mathfrak{sl}_n)\text{-mod}$ consisting of modules whose restriction to $\mathfrak{sl}_n(\mathbb{C})$ are polynomial representations of degree r .*

An analogous result in the quantum setting was proved by Chari and Pressley in [CP96]. The Drinfeld functor was studied further by Arakawa [Ara99], including in the case that $n \leq r$.

Applying Drinfeld's functor to the regular representation of AH_r produces an action of $Y(\mathfrak{gl}_n)$ on the induced tensor space $V^{\otimes r} \otimes_{\mathbb{C}S_r} \text{AH}_r$, making it into a $(Y(\mathfrak{gl}_n), \text{AH}_r)$ -bimodule. This action induces a homomorphism

$$\mathbb{D}_{n,r} : Y(\mathfrak{gl}_n) \rightarrow \text{AS}(n, r)$$

which we call the *Drinfeld homomorphism*. In Theorem 8.3, we give an explicit formula expressing the images under $\mathbb{D}_{n,r}$ of the RTT generators $T_{i,j}^{(d)}$ of $Y(\mathfrak{gl}_n)$ in terms of standard bases of $\text{AS}(n, r)$. The result can also be understood diagrammatically; see Example 8.4.

It turns out to be much easier to describe $\mathbb{D}_{n,r}$ on another well-known family of generators for $Y(\mathfrak{gl}_n)$ denoted by $D_i^{(d)}, E_i^{(d)}$ and $F_i^{(d)}$, which are closely related to the Drinfeld generators from [Dri87]. The generating functions $D_i(u) = 1 + \sum_{d \geq 1} D_i^{(d)} u^{-d}$, $E_i(u) = \sum_{d \geq 1} E_i^{(d)} u^{-d}$ and $F_i(u) = \sum_{d \geq 1} F_i^{(d)} u^{-d}$ arise as entries of the Gauss factorization of the matrix $(T_{i,j}(u))_{1 \leq i,j \leq n}$ of generating functions $T_{i,j}(u) = \delta_{i,j} + \sum_{d \geq 1} T_{i,j}^{(d)} u^{-d}$ for the RTT generators; see (8.21). In Theorem 8.8, we show that $\mathbb{D}_{n,r}$ maps

$$D_i(u) \mapsto \sum_{\lambda \in \Lambda(n,r)} \left| \cdots \left| \begin{array}{c} u+i \\ u+i-1 \end{array} \right| \cdots \right|_{\lambda_1 \quad \lambda_{i-1} \quad \lambda_i \quad \lambda_{i+1} \quad \lambda_n},$$

$$E_i(u) \mapsto \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_{i+1} > 0}} \left| \cdots \left| \begin{array}{c} u+i \\ u+i-1 \end{array} \right| \cdots \right|_{\mu_1 \quad \mu_{i-1} \quad \mu_i \quad \mu_{i+1} \quad \mu_{i+2} \quad \mu_n}, \quad F_i(u) \mapsto \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_i > 0}} \left| \cdots \left| \begin{array}{c} u+i \\ u+i-1 \end{array} \right| \cdots \right|_{\mu_1 \quad \mu_{i-1} \quad \mu_i \quad \mu_{i+1} \quad \mu_{i+2} \quad \mu_n}.$$

The image of the diagonal generator $D_i(u)$ involves some troublesome inhomogeneous symmetric polynomials. These can be seen already in the case $n = i = 1$, when $\text{AS}(1, r)$ is $\mathbb{C}[x_1, \dots, x_r]^{S_r}$ and the image of $D_1(u)$ under the Drinfeld homomorphism is

$$\left(1 + \frac{1}{u - x_1}\right) \left(1 + \frac{1}{u - x_2}\right) \cdots \left(1 + \frac{1}{u - x_r}\right).$$

The coefficient of u^{-d-1} in the expansion of this as a formal power series in u^{-1} is a symmetric polynomial $\tilde{p}_d(x_1, \dots, x_r)$ which we call the *deformed power sum* since it is equal to the power sum $p_d(x_1, \dots, x_r) = x_1^d + \cdots + x_r^d$ plus lower degree terms; see Lemma 5.5.

Over the complex numbers still, it is well known that $\mathbb{D}_{n,r}$ is surjective. It is natural to ask for explicit generators for its kernel. In Section 9, we formulate a precise conjecture about this, proving our conjecture in the case $n > r$ using Drinfeld's theorem. Surjectivity of $\mathbb{D}_{n,r}$ implies that the category of left $\text{AS}(n, r)$ -modules is identified with a full subcategory of $Y(\mathfrak{gl}_n)\text{-mod}$ consisting of what we call *polynomial representations* of $Y(\mathfrak{gl}_n)$ of degree r . Arakawa's work

mentioned above gives a great deal of information about this category. In the final Section 10, we reinterpret his results in terms of the algebra $AS(n, r)$. In particular, in Theorem 10.3, we classify irreducible representations of $AS(n, r)$; they are naturally indexed by sequences $\lambda(u) = (\lambda_1(u), \lambda_2(u), \dots, \lambda_n(u))$ of monic polynomials in $\mathbb{C}[u]$ whose degrees sum to r with

$$\lambda_n(u) \mid \lambda_{n-1}(u) \mid \cdots \mid \lambda_1(u).$$

It seems reasonable to hope that sequences of monic polynomials of this form also parametrize irreducible representations of $AS(n, r)$ over algebraically closed fields of positive characteristic.

Conventions. In the remainder of the article, we work over a commutative ground ring \mathbb{k} . We are mainly interested in the case that \mathbb{k} is an algebraically closed field of characteristic 0, but most of the constructions make sense more generally. We use \otimes for tensor product over \mathbb{k} .

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2. REMINDERS ABOUT DOUBLE COSETS AND SCHUR ALGEBRAS

A *composition* $\lambda = (\lambda_1, \dots, \lambda_n)$ of r is a finite sequence of natural numbers (including 0) whose sum is r . Its *length* $\ell(\lambda)$ is the number n of parts, and $|\lambda|$ denotes $r = \lambda_1 + \cdots + \lambda_n$. Another useful shorthand: $\lambda_{<i}$ denotes $\lambda_1 + \cdots + \lambda_{i-1}$ and $\lambda_{\leq i} := \lambda_{<i} + \lambda_i$. We also adopt the following notation:

- Let $\Lambda(n, r)$ be the set of all compositions of r of length n .
- Let $\Lambda(n) := \mathbb{N}^n = \coprod_{r \geq 0} \Lambda(n, r)$ be the set of all compositions with n parts.
- Let $X(n)$ be the Abelian group \mathbb{Z}^n . It contains $\Lambda(n)$ as a sub-monoid.

We use ε_i to denote the element of $X(n)$ that has 1 in its i th entry and 0 in all other positions, and $\alpha_i := \varepsilon_i - \varepsilon_{i+1}$. This notation depends implicitly on the value of n , but we do not think it will cause confusion subsequently.

We denote the symmetric group acting on the left on $\{1, \dots, r\}$ by S_r . It is generated by the basic transpositions $s_i := (i \ i+1)$ for $i = 1, \dots, r-1$. Let $\ell : S_r \rightarrow \mathbb{N}$ be the usual length function, and \leq be the Bruhat order. For $\lambda \in \Lambda(n, r)$, we write S_λ for the parabolic subgroup $S_{\lambda_1} \times \cdots \times S_{\lambda_n}$ of S_r . Given also $\mu \in \Lambda(m, r)$, let $(S_\lambda \backslash S_r)_{\min}$, $(S_r / S_\mu)_{\min}$ and

$$(S_\lambda \backslash S_r / S_\mu)_{\min} = (S_\lambda \backslash S_r)_{\min} \cap (S_r / S_\mu)_{\min} \quad (2.1)$$

be the sets of minimal length right, left and double coset representatives.

Let $\text{Mat}(\lambda, \mu)$ be the set of $\ell(\lambda) \times \ell(\mu)$ -matrices with entries in \mathbb{N} whose row sums are the parts of λ and whose column sums are the parts of μ . An element $A \in \text{Mat}(\lambda, \mu)$ can be visualized by means of its *double coset diagram*, so-called because it gives rise to a well-known bijection

$$\text{Mat}(\lambda, \mu) \xrightarrow{\sim} (S_\lambda \backslash S_r / S_\mu)_{\min}, \quad A \mapsto d_A. \quad (2.2)$$

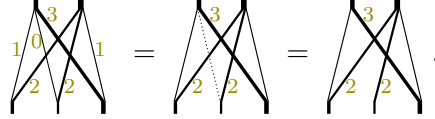
We give an example in lieu of the formal definition:

$$\leftrightarrow d_A = (2584736) \in (S_{(4,5)} \backslash S_9 / S_{(3,2,4)})_{\min} \leftrightarrow A = \begin{bmatrix} 1 & 0 & 3 \\ 2 & 2 & 1 \end{bmatrix}. \quad (2.3)$$

Here, $\lambda = (4, 5)$ and $\mu = (3, 2, 4)$, these being the row and column sums of the matrix A . The double coset diagram for A is the diagram on the left hand side. It has strings at the top of thickness given by the parts of λ , and strings at the bottom of thickness given by the parts of μ . These strings split into thinner *propagating strings*, with the one joining the i th string at the top to the j th string at the bottom being of thickness $a_{i,j}$. The minimal length double coset representative d_A indexed by this matrix may be obtained by expanding the thick strings in the

double coset diagram into parallel thin strings, then reading off the permutation encoded by the resulting string diagram.

Generally, in string diagrams, we use a dotted line without a thickness label as a shorthand for a string of thickness 0, and we use a thin solid line without a thickness label to denote a string of thickness 1. In fact, it is usually harmless to simply omit propagating strings of thickness zero from diagrams. With these conventions, we have that


(2.4)

For $A \in \text{Mat}(\lambda, \mu)$, we define its *left redundancy* $\lambda(A)$ and its *right redundancy* $\mu(A)$ to be the compositions obtained by reading the entries of the matrix in order along rows starting with the top row, or by reading the entries of the matrix in order down columns starting with the leftmost column, respectively. In the example, $\lambda(A) = (1, 0, 3, 2, 2, 1)$ and $\mu(A) = (1, 2, 0, 2, 3, 1)$. The parts of $\lambda(A)$ are the thicknesses of the propagating strings in the double coset diagram above all of the crossings, and the parts of $\mu(A)$ are their thicknesses below all of the crossings. Also observe that $S_{\lambda(A)} \leq S_\lambda$ and $S_{\mu(A)} \leq S_\mu$.

The following lemma is fundamental. Parts (1) and (2) are formulated this way in [Bru25, Lem. 2.1] and proofs can be extracted from [DJ86, Lem. 1.6]. Part (3) is also well known; see [BLM90].

Lemma 2.1. *Let $A, B \in \text{Mat}(\lambda, \mu)$.*

- (1) *We have that $d_A S_{\mu(A)} = S_{\lambda(A)} d_A$. The isomorphism $S_{\mu(A)} \xrightarrow{\sim} S_{\lambda(A)}, w \mapsto d_A w d_A^{-1}$ preserves length and Bruhat order.*
- (2) *Any element w of the double coset $S_\lambda d_A S_\mu$ can be written as $w = x d_A y$ for unique elements $x \in S_\lambda$ and $y \in (S_{\mu(A)} \setminus S_\mu)_{\min}$, or as $w = x d_A y$ for unique elements $x \in (S_\lambda / S_{\lambda(A)})_{\min}$ and $y \in S_\mu$. In both situations, $\ell(w) = \ell(x) + \ell(d_A) + \ell(y)$.*
- (3) $d_A \leq d_B \Leftrightarrow \left(\sum_{i=1}^s \sum_{j=1}^t a_{i,j} \geq \sum_{i=1}^s \sum_{j=1}^t b_{i,j} \text{ for all } 1 \leq s \leq \ell(\lambda) \text{ and } 1 \leq t \leq \ell(\mu) \right)$.

The double coset combinatorics just described is used classically in the construction of the *Schur algebra*; e.g., see [Gre07]. To set some notation, we briefly recall one of the many equivalent definitions of $S(n, r)$: it is the endomorphism algebra

$$S(n, r) := \text{End}_{\mathbb{K}S_r} \left(\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda) \right) \quad (2.5)$$

where $M(\lambda)$ is the (right) *permutation module* $\mathbb{K}_\lambda \otimes_{\mathbb{K}S_\lambda} \mathbb{K}S_r$ induced from the trivial right $\mathbb{K}S_\lambda$ -module \mathbb{K}_λ . Denoting the vector $1 \otimes 1 \in M(\lambda)$ by m_λ , $M(\lambda)$ has the standard basis $\{m_\lambda x \mid x \in (S_\lambda \setminus S_r)_{\min}\}$. Denoting the idempotent in $S(n, r)$ defined by the projection onto $M(\lambda)$ by 1_λ , we have that

$$1_\lambda S(n, r) 1_\mu = \text{Hom}_{\mathbb{K}S_r}(M(\mu), M(\lambda)).$$

This is a free \mathbb{K} -module with basis $\{\xi_A \mid A \in \text{Mat}(\lambda, \mu)\}$ in which ξ_A is the unique $\mathbb{K}S_r$ -module homomorphism

$$\xi_A : M(\mu) \rightarrow M(\lambda), \quad m_\mu \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda d_A y. \quad (2.6)$$

To see that such a homomorphism exists, it suffices to show that $\sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda d_A y$ is invariant under right multiplication by any simple reflection $s_i \in S_\mu$, which is easily checked; see the proof of Theorem 3.3. Note also that $1_\lambda = \xi_{\text{diag}(\lambda_1, \dots, \lambda_n)}$.

To make the connection between the Schur algebra and the general linear group, let G be the group scheme GL_n over \mathbb{k} , V be its natural representation with standard basis v_1, \dots, v_n , and \mathfrak{gl}_n be its Lie algebra. Let $I(n, r)$ denote the set of *multi-indices* $\mathbf{i} = (i_1, \dots, i_r)$ with $1 \leq i_1, \dots, i_r \leq n$. This set indexes the obvious basis of the tensor space $V^{\otimes r}$ consisting of the monomials $v_{\mathbf{i}} := v_{i_1} \otimes \dots \otimes v_{i_r}$. Tensor space is a $(G, \mathbb{k}S_r)$ -bimodule with $w \in S_r$ acting by permuting tensors, i.e.,

$$v_{\mathbf{i}} w := v_{\mathbf{i} \cdot w} \quad \text{where} \quad \mathbf{i} \cdot w := (i_{w(1)}, \dots, i_{w(r)}). \quad (2.7)$$

Let T be the maximal torus of diagonal matrices in G , identifying its character group with $X(n)$ so that ε_i is the character $\text{diag}(t_1, \dots, t_n) \mapsto t_i$. The vector $v_{\mathbf{i}}$ is of weight $\varepsilon_{i_1} + \dots + \varepsilon_{i_r} \in \Lambda(n, r)$. We may also refer to this as the weight of the multi-index \mathbf{i} .

The right $\mathbb{k}S_r$ -module $\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda)$ appearing in (2.5) may be identified with $V^{\otimes r}$ so that $m_{\lambda} \in M(\lambda)$ corresponds to the tensor $v_{\mathbf{i}^\lambda}$ indexed by

$$\mathbf{i}^\lambda := (1^{\lambda_1}, 2^{\lambda_2}, \dots, n^{\lambda_n}), \quad (2.8)$$

this being the unique increasing multi-index of weight λ . With this identification, we have that

$$S(n, r) = \text{End}_{\mathbb{k}S_r}(V^{\otimes r}). \quad (2.9)$$

We then have for any $A \in \bigcup_{\lambda, \mu \in \Lambda(n, r)} \text{Mat}(\lambda, \mu)$ and $\mathbf{j} \in I(n, r)$ that

$$\xi_A v_{\mathbf{j}} = \sum_{\substack{\mathbf{i} \in I(n, r) \text{ such that} \\ a_{i,j} = |\{k=1, \dots, n \mid i_k = i, j_k = j\}| \\ \text{for } i, j=1, \dots, n}} v_{\mathbf{i}}. \quad (2.10)$$

This formula originates with Schur: it shows that ξ_A is a sum of matrix units over an S_r -orbit on $I(n, r) \times I(n, r)$. For example, by Schur's formula, we have that

$$\xi_{\text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}} v_{\mathbf{j}} = \sum_{\substack{1 \leq p \leq r \\ j_p = j}} v_{j_1} \otimes \dots \otimes v_{j_{p-1}} \otimes v_i \otimes v_{j_{p+1}} \otimes \dots \otimes v_{j_r}. \quad (2.11)$$

for $1 \leq i, j \leq n$ with $i \neq j$, $\mu \in \Lambda(n, r)$ with $\mu_j > 0$, and $\mathbf{j} \in I(n, r)$ of weight μ . The notation $\text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}$ in (2.11) denotes the $n \times n$ matrix obtained from the diagonal matrix $\text{diag}(\mu_1, \dots, \mu_n)$ by adding 1 to the (i, j) -entry and subtracting 1 from the (j, j) -entry. Its double coset diagram has n vertical strings of thicknesses μ_1, \dots, μ_n at the bottom, plus a diagonal string of thickness 1 connecting the top of the i th string to the bottom of j th string:

$$\begin{array}{c} \left| \dots \right| \left| \dots \right| \left| \dots \right| \left| \dots \right| \quad \text{if } i < j, \\ \mu_1 \quad \mu_i \quad \mu_j \quad \mu_n \end{array} \quad \begin{array}{c} \left| \dots \right| \left| \dots \right| \left| \dots \right| \left| \dots \right| \quad \text{if } i > j. \\ \mu_1 \quad \mu_j \quad \mu_i \quad \mu_n \end{array} \quad (2.12)$$

The derived action of \mathfrak{gl}_n on $V^{\otimes r}$ induces an algebra homomorphism

$$\mathbf{d}_{n,r} : U(\mathfrak{gl}_n) \twoheadrightarrow S(n, r), \quad (2.13)$$

where $U(\mathfrak{gl}_n)$ denotes the universal enveloping algebra of \mathfrak{gl}_n . By (2.11), the image of the matrix unit $e_{i,j} \in \mathfrak{gl}_n$ is

$$\begin{cases} \sum_{\lambda \in \Lambda(n, r)} \lambda_i 1_{\lambda} & \text{if } i = j \\ \sum_{\substack{\mu \in \Lambda(n, r) \\ \mu_j > 0}} \xi_{\text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}} & \text{if } i \neq j. \end{cases} \quad (2.14)$$

The double coset diagram for $\xi_{\text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}}$ ($i \neq j$) is as displayed in (2.12).

When \mathbb{k} is a field of characteristic 0, it is well known that $\mathbf{d}_{n,r}$ is surjective. In [DG02], Doty and Giaquinto also determined the kernel of $\mathbf{d}_{n,r}$ explicitly, thereby giving a Serre-type presentation for the (semisimple!) algebra $S(n, r)$ over a field of characteristic 0. In the next paragraph, we reformulate their result in a way that is relevant for a construction in Section 9. (For other ground rings, $\mathbf{d}_{n,r}$ need not be surjective, but the analogous statement with $U(\mathfrak{gl}_n)$ replaced by the algebra of distributions $\text{Dist}(G)$ always holds, as does the result of Doty and Giaquinto with appropriate modifications; see [Dot03] which proves an even more general result.)

The adjoint action of T on $U(\mathfrak{gl}_n)$ defines a weight decomposition $U(\mathfrak{gl}_n) = \bigoplus_{\alpha \in X(n)} U_\alpha$, with the α -weight space U_α being $\{0\}$ unless α is in the root lattice. Fixing $r \geq 0$, let

$$\mathbb{K} := \bigoplus_{\lambda \in \Lambda(n, r)} \mathbb{k} 1_\lambda \quad (2.15)$$

be the direct sum of copies of \mathbb{k} indexed by the set $\Lambda(n, r)$, so $\{1_\lambda \mid \lambda \in \Lambda(n, r)\}$ are mutually orthogonal idempotents whose sum is the identity in \mathbb{K} . We view

$$\tilde{U}_{n,r} := \bigoplus_{\lambda, \mu \in \Lambda(n, r)} U_{\lambda - \mu} \quad (2.16)$$

as a (\mathbb{K}, \mathbb{K}) -bimodule so that $1_\lambda a 1_\mu$ is the projection $a_{\lambda, \mu}$ of $a = \sum_{\lambda, \mu \in \Lambda(n, r)} a_{\lambda, \mu} \in \tilde{U}_{n,r}$ onto the (λ, μ) th summand. Then we define $U_{n,r}$ to be the quotient of the tensor algebra

$$T_{\mathbb{K}}(\tilde{U}_{n,r}) = \mathbb{K} \oplus \tilde{U}_{n,r} \oplus \tilde{U}_{n,r} \otimes_{\mathbb{K}} \tilde{U}_{n,r} \oplus \tilde{U}_{n,r} \otimes_{\mathbb{K}} \tilde{U}_{n,r} \otimes_{\mathbb{K}} \tilde{U}_{n,r} \oplus \cdots \quad (2.17)$$

by the two-sided ideal generated by the relations

$$1_\lambda a 1_\mu \otimes 1_\nu b 1_\nu = 1_\lambda a b 1_\nu, \quad 1_\lambda d_i 1_\lambda = \lambda_i 1_\lambda, \quad (2.18)$$

for all $\lambda, \mu, \nu \in \Lambda(n, r)$, $a \in U_{\lambda - \mu}$, $b \in U_{\mu - \nu}$ and $i = 1, \dots, n$. Equivalently, $U_{n,r}$ is the quotient of Lusztig's modified form $\tilde{U}(\mathfrak{gl}_n)$ by the two-sided ideal generated by the idempotents 1_λ for $\lambda \notin \Lambda(n, r)$. We denote the image of an element $1_\lambda a 1_\mu$ of $\tilde{U}_{n,r}$ in $U_{n,r}$ by $1_\lambda \bar{a} 1_\mu$. The main result of [DG02] can be reformulated as follows:

Theorem 2.2 (Doty-Giaquinto). *When \mathbb{k} is a field of characteristic 0, there is an algebra isomorphism*

$$\bar{\mathbf{d}}_{n,r} : U_{n,r} \xrightarrow{\sim} S(n, r), \quad 1_\lambda \bar{a} 1_\mu \mapsto 1_\lambda \mathbf{d}_{n,r}(a) 1_\mu \quad (2.19)$$

for $\lambda, \mu \in \Lambda(n, r)$ and $a \in U_{\lambda - \mu}$.

Remark 2.3. Assuming the surjectivity of $\mathbf{d}_{n,r}$, Theorem 2.2 is equivalent to the statement that a left $U(\mathfrak{gl}_n)$ -module is a polynomial representation of GL_n of degree r if and only if it is a weight module with all weights belonging to $\Lambda(n, r)$.

3. THE DEGENERATE AFFINE SCHUR ALGEBRA AS AN ENDOMORPHISM ALGEBRA

The *degenerate affine Hecke algebra* AH_r is the \mathbb{k} -algebra with generators x_1, \dots, x_r and s_1, \dots, s_{r-1} subject to the following relations. The generators x_1, \dots, x_r commute with each other, the generators s_1, \dots, s_{r-1} satisfy the usual Coxeter relations of the basic transpositions in the symmetric group S_r , and²

$$s_i x_i = x_{i+1} s_i + 1, \quad x_i s_i = s_i x_{i+1} + 1, \quad x_i s_j = s_j x_i \text{ if } i \neq j, j+1. \quad (3.1)$$

The definition of AH_r makes sense even if $r = 0$, when it is \mathbb{k} . Letting P_r be the polynomial algebra $\mathbb{k}[x_1, \dots, x_r]$, the linear map $\mathbb{k}S_r \otimes P_r \rightarrow AH_r$ defined by multiplication is a \mathbb{k} -module isomorphism. We will simply identify $\mathbb{k}S_r$ and P_r with subalgebras of AH_r from now on. For

²This is different from the defining relation $s_i x_i = x_{i+1} s_i - 1$ used in [Kle05] but mapping $x_i \mapsto -x_i$ gives an isomorphism between the two versions.

$w \in S_r$ and $f \in P_r$, we use the notation $w(f)$ to denote the image of f under the usual action of S_r on P_r permuting the generators. For any $f \in P_r$, we have in AH_r that

$$s_i f = s_i(f) s_i + \partial_i(f), \quad f s_i = s_i s_i(f) + \partial_i(f) \quad (3.2)$$

where ∂_i is the *Demazure operator* defined from

$$\partial_i(f) := \frac{f - s_i(f)}{x_i - x_{i+1}}. \quad (3.3)$$

The *left polynomial representation* of AH_r is the left AH_r -module³ P_r with x_i acting by multiplication and S_r acting by \diamond defined so that

$$s_i \diamond f := s_i(f) + \partial_i(f). \quad (3.4)$$

Note also that the *center* $Z(AH_r)$ is the subalgebra

$$P^{(r)} := P_r^{S_r} = \{f \in P_r \mid w(f) = f \text{ for all } w \in S_r\} = \{f \in P_r \mid w \diamond f = f \text{ for all } w \in S_r\} \quad (3.5)$$

of P_r consisting of symmetric polynomials. More generally, for $\lambda \in \Lambda(n, r)$, there is the parabolic subalgebra AH_λ of AH_r , which is the image of $\mathbb{k}S_\lambda \otimes P_r$ under the multiplication map. The center of AH_λ is

$$P^\lambda := P_r^{S_\lambda} = \{f \in P_r \mid w(f) = f \text{ for all } w \in S_\lambda\} = \{f \in P_r \mid w \diamond f = f \text{ for all } w \in S_\lambda\}. \quad (3.6)$$

For proofs of these basic results and further background, see [Kle05].

Definition 3.1. For $\lambda \in \Lambda(n, r)$, the induced module $M(\lambda) \otimes_{\mathbb{k}S_r} AH_r$ is a cyclic AH_r -module generated by the vector $m_\lambda \otimes 1$. The *degenerate affine Schur algebra* $AS(n, r)$ is the endomorphism algebra

$$AS(n, r) := \text{End}_{AH_r} \left(\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda) \otimes_{\mathbb{k}S_r} AH_r \right). \quad (3.7)$$

Like for the Schur algebra, there are distinguished idempotents $1_\lambda \in AS(n, r)$ for each $\lambda \in \Lambda(n, r)$ defined by the evident projections onto the summands.

Remark 3.2. The degenerate affine Schur algebra has not received so much attention in the literature, but there is also the *affine q -Schur algebra* $AS_q(n, r)$, which may be constructed in a similar way replacing the degenerate affine Hecke algebra with the actual affine Hecke algebra. The affine q -Schur algebra has been thoroughly studied; e.g., see [Gre99, Vig03, DF15, MS19]. Specializing q to 1 in the affine q -Schur algebra produces also the *affine Schur algebra* $AS_1(n, r)$, which was introduced in [DG07, Sec. 3] and is different from the degenerate affine Schur algebra $AS(n, r)$ here; see [Ant20, Sec. 2.4] for a clear exposition when over a field of characteristic 0.

The quantum analog of the following theorem is proved in [Gre99, Th. 2.2.4] and [Vig03, 4.2.13]; see [Ant20, Sec. 2.5] where the definition is explained in terms of the Bernstein presentation. In the degenerate case, we regard the result as folklore. There are several proofs in the recent literature; e.g., see [LM25, Prop. 7.5] or [DKMZ25, Cor. 11.3.2] which prove more general results, both of which include the result needed here as a special case. We include a self-contained proof based on an application of the Mackey theorem.

Theorem 3.3. For $\lambda, \mu \in \Lambda(n, r)$, the \mathbb{k} -module

$$1_\lambda AS(n, r) 1_\mu = \text{Hom}_{AH_r} (M(\mu) \otimes_{\mathbb{k}S_r} AH_r, M(\lambda) \otimes_{\mathbb{k}S_r} AH_r)$$

³Secretly, it is $AH_r \otimes_{\mathbb{k}S_r} \mathbb{k}$ for the trivial action of S_r on \mathbb{k} .

is free with an explicit basis $\{\xi_{A,f}\}$ indexed by pairs (A, f) as A runs over the set $\text{Mat}(\lambda, \mu)$ and f runs over a basis for $P^{\mu(A)}$. By definition, $\xi_{A,f}$ is the unique right AH_r -module homomorphism

$$\xi_{A,f} : M(\mu) \otimes_{\mathbb{K}S_r} \text{AH}_r \rightarrow M(\lambda) \otimes_{\mathbb{K}S_r} \text{AH}_r, \quad m_\mu \otimes 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda \otimes d_A f y. \quad (3.8)$$

Proof. By transitivity of induction, $M(\lambda) \otimes_{\mathbb{K}S_r} \text{AH}_r \cong \mathbb{K}_\lambda \otimes_{\mathbb{K}S_\lambda} \text{AH}_r$, with $m_\lambda \otimes 1$ in the left hand module corresponding to $1 \otimes 1$ on the right. Using this description, to show that there is a well-defined such homomorphism $\xi_{A,f} : M(\mu) \otimes_{\mathbb{K}S_r} \text{AH}_r \rightarrow M(\lambda) \otimes_{\mathbb{K}S_r} \text{AH}_r$, it suffices to show that the vector

$$\sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda \otimes d_A f y \quad (3.9)$$

is invariant under right multiplication by a simple reflection $s_i \in S_\mu$. For $y \in (S_{\mu(A)} \setminus S_\mu)_{\min}$, [DJ86, Lem. 1.1] shows that *either* $ys_i \in (S_{\mu(A)} \setminus S_\mu)_{\min}$ *or* $ys_i = s_j y$ for a basic transposition $s_j \in S_{\mu(A)}$. In the latter case, Lemma 2.1(1) implies that $d_A s_j = s_k d_A$ for $s_k \in S_{\lambda(A)}$, so that

$$m_\lambda \otimes d_A f y s_i = m_\lambda \otimes d_A f s_j y = m_\lambda \otimes d_A s_j f y = m_\lambda \otimes s_k d_A f y = m_\lambda s_k \otimes d_A f y = m_\lambda \otimes d_A f y.$$

Since right multiplication by s_i permutes left S_λ -cosets in the double coset $S_\lambda d_A S_\mu$, we deduce that right multiplication by s_i permutes the summands of (3.9), thereby fixing the sum itself.

We can view P_r as a right AH_r -module—the *right* polynomial representation—by identifying it with $\mathbb{K} \otimes_{\mathbb{K}S_r} \text{AH}_r$. Let P_λ denote the restriction of this to a right AH_λ -module. Since $P_\lambda \cong \mathbb{K}_\lambda \otimes_{\mathbb{K}S_\lambda} \text{AH}_\lambda$, transitivity of induction implies that $M(\lambda) \otimes_{\mathbb{K}S_r} \text{AH}_r \cong \mathbb{K}_\lambda \otimes_{\mathbb{K}S_\lambda} \text{AH}_r \cong P_\lambda \otimes_{\text{AH}_\lambda} \text{AH}_r$, the natural isomorphism taking $m_\lambda \otimes 1$ to $1 \otimes 1$. By Frobenius reciprocity, we have that

$$\begin{aligned} \text{Hom}_{\text{AH}_r} (M(\mu) \otimes_{\mathbb{K}S_r} \text{AH}_r, M(\lambda) \otimes_{\mathbb{K}S_r} \text{AH}_r) &\cong \text{Hom}_{\text{AH}_r} (P_\mu \otimes_{\text{AH}_\mu} \text{AH}_r, P_\lambda \otimes_{\text{AH}_\lambda} \text{AH}_r) \\ &\cong \text{Hom}_{\text{AH}_\mu} (P_\mu, P_\lambda \otimes_{\text{AH}_\lambda} \text{AH}_r \downarrow_{\text{AH}_\mu}). \end{aligned}$$

Under these isomorphisms, $\xi_{A,f}$ maps to the unique right AH_μ -module homomorphism

$$\xi'_{A,f} : P_\mu \rightarrow P_\lambda \otimes_{\text{AH}_\lambda} \text{AH}_r \downarrow_{\text{AH}_\mu}, \quad 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} 1 \otimes d_A f y.$$

Now we recall the Mackey theorem for degenerate affine Hecke algebras; e.g., see [Kle05, Th. 3.5.2]. Enumerate the elements of $\text{Mat}(\lambda, \mu)$ as A_1, \dots, A_n so that $d_{A_i} < d_{A_j}$ in the Bruhat order implies $i < j$. For $0 \leq m \leq n$, let V_m be the AH_μ -submodule of $P_\lambda \otimes_{\text{AH}_\lambda} \text{AH}_r$ generated by $1 \otimes d_{A_1}, \dots, 1 \otimes d_{A_m}$. This defines a filtration

$$\{0\} = V_0 \leq V_1 \leq \dots \leq V_n = P_\lambda \otimes_{\text{AH}_\lambda} \text{AH}_r \downarrow_{\text{AH}_\mu}.$$

Then the Mackey theorem implies that $V_m/V_{m-1} \cong P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_\mu$ as a right AH_μ -module, with an explicit isomorphism taking $1 \otimes d_{A_m} + V_{m-1}$ to $1 \otimes 1$. We observe that $\xi'_{A_m,f}$ has image contained in V_m . We are going to show by induction on $m = 0, 1, \dots, n$ that the homomorphisms $\xi'_{A_l,f}$ for $1 \leq l \leq m$ and f running over a basis of $P^{\mu(A_l)}$ give a basis for $\text{Hom}_{\text{AH}_\mu} (P_\mu, V_m)$ as a free \mathbb{K} -module. The $m = n$ case is sufficient to prove the theorem.

For the induction step, suppose that $1 \leq m \leq n$ and consider $\text{Hom}_{\text{AH}_\mu} (P_\mu, V_m)$. Applying $\text{Hom}_{\text{AH}_\mu} (P_\mu, -)$ to $0 \rightarrow V_{m-1} \rightarrow V_m \rightarrow V_m/V_{m-1} \rightarrow 0$ gives an exact sequence

$$0 \longrightarrow \text{Hom}_{\text{AH}_\mu} (P_\mu, V_{m-1}) \longrightarrow \text{Hom}_{\text{AH}_\mu} (P_\mu, V_m) \xrightarrow{\theta} \text{Hom}_{\text{AH}_\mu} (P_\mu, V_m/V_{m-1}).$$

To check the induction step, it suffices to show that the homomorphisms $\xi''_{A_m,f} := \theta(\xi'_{A_m,f})$ as f runs over a basis for $P^{\mu(A_m)}$ give a basis for $\text{Hom}_{\text{AH}_\mu} (P_\mu, V_m/V_{m-1})$ (this also shows that

θ is surjective). Using the isomorphism $V_m/V_{m-1} \cong P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_{\mu}$ from the Mackey theorem, this follows if we can show that the right AH_{μ} -module homomorphisms

$$\xi'''_{A_m, f} : P_{\mu} \rightarrow P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_{\mu}, \quad 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_{\mu})_{\min}} 1 \otimes$$

give a basis for $\text{Hom}_{\text{AH}_{\mu}}(P_{\mu}, P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_{\mu})$ as f runs over a basis for $P^{\mu(A_m)}$.

Recall that $\mu(A_m)$ is obtained by reading the entries of the matrix A_m down columns starting with the leftmost column. Let $\nu(A_m)$ be the composition obtained by reading the entries of A_m up columns starting with the leftmost column. Let d be the longest element of $(S_{\mu(A_m)} \setminus S_{\nu(A_m)})_{\min}$. The double coset $S_{\mu(A_m)} d S_{\nu(A_m)}$ is special: it equals $S_{\mu(A_m)} d = d S_{\nu(A_m)}$. By [Kle05, Cor. 3.7.3], there is a unique isomorphism of right AH_{μ} -modules

$$P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_{\mu} \xrightarrow{\sim} \text{Hom}_{\text{AH}_{\nu(A_m)}}(\text{AH}_{\mu}, P_{\nu(A_m)})$$

mapping $1 \otimes 1$ to the unique right $\text{AH}_{\nu(A_m)}$ -module homomorphism $\varphi : \text{AH}_{\mu} \rightarrow P_{\nu(A_m)}$ which maps $d' \in (S_{\mu} \setminus S_{\nu(A_m)})_{\min}$ to $\delta_{d, d'}$. This isomorphism induces the first of the following:

$$\begin{aligned} \text{Hom}_{\text{AH}_{\mu}}(P_{\mu}, P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_{\mu}) &\xrightarrow{\sim} \text{Hom}_{\text{AH}_{\mu}}(P_{\mu}, \text{Hom}_{\text{AH}_{\nu(A_m)}}(\text{AH}_{\mu}, P_{\nu(A_m)})) \\ &\xrightarrow{\sim} \text{Hom}_{\text{AH}_{\nu(A_m)}}(P_{\nu(A_m)}, P_{\nu(A_m)}) \xrightarrow{\sim} P^{\nu(A_m)} \xrightarrow{\sim} P^{\mu(A_m)}. \end{aligned}$$

The second of these isomorphisms is another Frobenius reciprocity, the third one is defined by evaluation at 1 using that $Z(\text{AH}_{\nu(A_m)}) = P^{\nu(A_m)}$, and the last one is $f \mapsto d(f)$. We claim that the image of $\xi'''_{A_m, f}$ under this sequence of isomorphisms is simply f . We are trying to show that the morphisms $\xi'''_{A_m, f}$ give a basis for $\text{Hom}_{\text{AH}_{\mu}}(P_{\mu}, P_{\mu(A_m)} \otimes_{\text{AH}_{\mu(A_m)}} \text{AH}_{\mu})$ as f runs over a basis for $P^{\mu(A_m)}$. This obviously follows from the claim.

Finally, to prove the claim, the image of $\xi'''_{A_m, f}$ under the first isomorphism is the unique right AH_{μ} -module homomorphism mapping $1 \mapsto \sum_{y \in (S_{\mu(A_m)} \setminus S_{\mu})_{\min}} \varphi f y$. Applying the remaining three isomorphisms to this produces

$$d \left(\sum_{y \in (S_{\mu(A_m)} \setminus S_{\mu})_{\min}} (\varphi f y)(1) \right) = \sum_{y \in (S_{\mu(A_m)} \setminus S_{\mu})_{\min}} d(\varphi(fy)).$$

We have that $fy = \delta_{y, d} d d^{-1}(f) + (*)$ where $(*)$ is a sum of terms of the form zwg for $z \in (S_{\mu} \setminus S_{\nu(A_m)})_{\min}$ with $\ell(z) < \ell(d)$, $w \in S_{\nu(A_m)}$ and $g \in P_r$. The map φ is zero on $(*)$ so this expression simplifies to give $d(\varphi(d d^{-1}(f))) = d(d^{-1}(f)) = f$. \square

We view P_r as a graded algebra so that each x_i is of degree 1. Then the smash product $\mathbb{k}S_r \otimes P_r$ is a graded algebra with permutations in S_r being of degree 0. For $\lambda \in \Lambda(n, r)$, there is also a graded right $\mathbb{k}S_r \otimes P_r$ -module $M(\lambda) \otimes P_r$, which is the tensor product $M(\lambda) \otimes P_r$ with P_r acting by right multiplication and $w \in S_r$ acting by $(m_{\lambda} y \otimes f)w = m_{\lambda} y w \otimes w^{-1}(f)$. We call the endomorphism algebra

$$\text{AS}_0(n, r) := \text{End}_{\mathbb{k}S_r \otimes P_r} \left(\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda) \otimes P_r \right) \quad (3.10)$$

the *current Schur algebra*.

Remark 3.4. In (3.10), one can also replace the polynomial algebra $P_r = \mathbb{k}[x_1, \dots, x_r]$ with the algebra $\mathbb{k}[x_1^{\pm 1}, \dots, x_r^{\pm r}]$ of Laurent polynomials. The resulting endomorphism algebra could be called the “loop Schur algebra” but it is just the same as the affine Schur algebra $\text{AS}_1(n, r)$ mentioned above, and we will continue to use this established terminology for it. This coincidence is apparent from the exposition in [Ant20, Sec. 2.4].

There is an ascending filtration

$$\{0\} = F_{-1} \text{AH}_r \subseteq F_0 \text{AH}_r \subseteq F_1 \text{AH}_r \subseteq \cdots$$

defined by letting $F_d \text{AH}_r$ be the subspace spanned by all wf for polynomials $f \in P_r$ of degree $\leq d$ and $w \in S_r$. Thus, x_1, \dots, x_r are in filtered degree 1, and permutations are of degree 0. The associated graded algebra $\text{gr} \text{AH}_r$ is identified with $\mathbb{k}S_r \otimes P_r$ so that $\text{gr}_0 s_i = s_i \otimes 1$ and $\text{gr}_1 x_i = 1 \otimes x_i$. There is an induced filtration making $M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$ into a filtered right AH_r -module, with $F_d M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r := m_\lambda \otimes (F_d \text{AH}_r)$. The associated graded right $\text{gr} \text{AH}_r$ -module $\text{gr}(M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r)$ is identified with the graded right $\mathbb{k}S_r \otimes P_r$ -module $M(\lambda) \otimes P_r$, that is, the tensor product $M(\lambda) \otimes P_r$ with P_r acting by right multiplication and $w \in S_r$ acting by $(m_\lambda y \otimes f)w = m_\lambda yw \otimes w^{-1}(f)$. Finally, there is an ascending filtration

$$\{0\} = F_{-1} \text{AS}(n, r) \subseteq F_0 \text{AS}(n, r) \subseteq F_1 \text{AS}(n, r) \subseteq \cdots$$

on $\text{AS}(n, r)$ with $F_d(1_\lambda \text{AS}(n, r)1_\mu)$ being all homomorphisms $M(\mu) \otimes_{\mathbb{k}S_r} \text{AH}_r \rightarrow M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$ which take the subspace $F_i M(\mu) \otimes_{\mathbb{k}S_r} \text{AH}_r$ into $F_{d+i} M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$.

Theorem 3.5. *The associated graded algebra $\text{gr} \text{AS}(n, r)$ may be identified with $\text{AS}_0(n, r)$ in such a way that $\text{gr}_d \xi_{A,f}$ (for $A \in \text{Mat}(\lambda, \mu)$ and $f \in P^{\mu(A)}$ that is homogeneous of degree d) is identified with the unique right $\mathbb{k}S_r \otimes P_r$ -module homomorphism*

$$\varsigma_{A,f} : M(\mu) \otimes P_r \rightarrow M(\lambda) \otimes P_r, \quad m_\mu \otimes 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda d_A y \otimes y^{-1}(f). \quad (3.11)$$

The homomorphisms $\varsigma_{A,f}$ defined by (3.11) for $A \in \text{Mat}(\lambda, \mu)$ and f running over a basis for $P^{\mu(A)}$ give a basis for $1_\lambda \text{AS}_0(n, r)1_\mu$ as a free \mathbb{k} -module.

Proof. Under the identifications explained above and f that is homogeneous of degree d , $\text{gr}_d \xi_{A,f}$ is the graded right $\mathbb{k}S_r \otimes P_r$ -module homomorphism

$$M(\mu) \otimes P_r \rightarrow M(\lambda) \otimes P_r, \quad m_\mu \otimes 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} (m_\lambda d_A \otimes f)y,$$

which is the same map as in (3.11). In view of Theorem 3.3, the other parts of the present theorem follow if we can show that these homomorphisms for $A \in \text{Mat}(\lambda, \mu)$ and f running over a basis for $P^{\mu(A)}$ give a basis for $\text{Hom}_{\mathbb{k}S_r \otimes P_r}(M(\mu) \otimes P_r, M(\lambda) \otimes P_r)$. This can be proved by mimicking the proof of Theorem 3.3. In fact, several of the steps are easier in the graded setting: the analog of the Mackey theorem of [Kle05, Th. 3.5.2] gives a decomposition as a direct sum rather than merely being a filtration, and [Kle05, Cor. 3.7.3] can be simplified because $\mathbb{k}S_\mu \otimes P_r < \mathbb{k}S_r \otimes P_r$ is a Frobenius extension. We omit the details. \square

Corollary 3.6. *There is an injective algebra homomorphism $\iota : S(n, r) \hookrightarrow \text{AS}(n, r)$ mapping ξ_A to $\xi_{A,1} = \xi_{1,A}$ for $A \in \text{Mat}(\lambda, \mu)$. Its image is the subalgebra $F_0 \text{AS}(n, r)$.*

Proof. The existence of ι follows by applying the functor $- \otimes_{\mathbb{k}S_r} \text{AH}_r$ to the definition (2.5) of $S(n, r)$ and using the definition (3.7) $\text{AS}(n, r)$. It is an isomorphism $S(n, r) \xrightarrow{\sim} F_0 \text{AS}(n, r)$ because it sends the basis vectors ξ_A of $S(n, r)$ to the basis vectors $\xi_{A,1}$ of $F_0 \text{AS}(n, r)$. \square

Corollary 3.7. *For $\lambda, \mu \in \Lambda(n, r)$, $1_\lambda \text{AS}(n, r)1_\mu = \text{Hom}_{\text{AH}_r}(M(\mu) \otimes_{\mathbb{k}S_r} \text{AH}_r, M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r)$ is free as a \mathbb{k} -module with a basis $\{\xi_{f,A}\}$ indexed by pairs (A, f) as A runs over the set $\text{Mat}(\lambda, \mu)$ and f runs over a basis for $P^{\lambda(A)}$. By definition, $\xi_{f,A}$ is the unique right AH_r -module homomorphism*

$$M(\mu) \otimes_{\mathbb{k}S_r} \text{AH}_r \rightarrow M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r, \quad m_\mu \otimes 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda \otimes f d_A y. \quad (3.12)$$

Proof. One first checks that there is a homomorphism $\xi_{f,A}$ as described by following the argument from the first paragraph of the proof of Theorem 3.3. Acting with d_A^{-1} defines an isomorphism $P^{\lambda(A)} \xrightarrow{\sim} P^{\mu(A)}$. If $f \in P^{\lambda(A)}$ is homogeneous of degree d then $\xi_{f,A}$ belongs to $F_d \text{AS}(n, r)$ with $\text{gr}_d \xi_{f,A} = \text{gr}_d \xi_{A, d_A^{-1}(f)}$. Now Theorem 3.5 implies that the homomorphisms $\xi_{f,A}$ give a basis for $1_\lambda \text{AS}(n, r) 1_\mu$ for $A \in \text{Mat}(\lambda, \mu)$ and f running over a basis for $P^{\lambda(A)}$. \square

In the previous section, we identified $\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda)$ with the tensor space $V^{\otimes r}$. Consequently, $\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$ is identified with the *induced tensor space* $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$, and we have that

$$\text{AS}(n, r) \equiv \text{End}_{\text{AH}_r}(V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r). \quad (3.13)$$

Similarly, $\bigoplus_{\lambda \in \Lambda(n, r)} M(\lambda) \otimes P_r$ is identified with $V^{\otimes r} \otimes P_r$, so

$$\text{AS}_0(n, r) \equiv \text{End}_{\mathbb{k}S_r \otimes P_r}(V^{\otimes r} \otimes P_r). \quad (3.14)$$

Finally, we assume that $n \geq r$, when a little more can be said. Let $\omega := (1^r, 0^{n-r}) \in \Lambda(n, r)$. The module $M(\omega) \otimes_{\mathbb{k}S_r} \text{AH}_r$ is obviously isomorphic to the right regular AH_r -module. So we have that

$$1_\omega \text{AS}(n, r) 1_\omega = \text{End}_{\text{AH}_r}(M(\omega) \otimes_{\mathbb{k}S_r} \text{AH}_r) \cong \text{End}_{\text{AH}_r}(\text{AH}_r) \cong \text{AH}_r. \quad (3.15)$$

Also, for $\lambda \in \Lambda(n, r)$, we have that

$$\begin{aligned} 1_\lambda \text{AS}(n, r) 1_\omega &= \text{Hom}_{\text{AS}(n, r)}(M(\omega) \otimes_{\mathbb{k}S_r} \text{AH}_r, M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r) \\ &\cong \text{Hom}_{\text{AH}_r}(\text{AH}_r, M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r) \cong M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r. \end{aligned} \quad (3.16)$$

Identifying $1_\omega \text{AS}(n, r) 1_\omega$ with AH_r via (3.15), it follows that the $(\text{AS}(n, r), \text{AH}_r)$ -bimodule $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ is isomorphic to the left ideal $\text{AS}(n, r) 1_\omega$. The following two results are well known in this sort of situation.

Theorem 3.8. *When $n \geq r$, the right AH_r -module $T := V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ satisfies the double centralizer property, i.e., $\text{End}_{\text{End}(T)}(T) = T$.*

Proof. This follows because $\text{End}_{\text{AS}(n, r)}(\text{AS}(n, r) 1_\omega) \cong 1_\omega \text{AS}(n, r) 1_\omega$. \square

Theorem 3.9. *If \mathbb{k} is a field of characteristic 0 and $n \geq r$ then $\text{AS}(n, r)$ and AH_r are Morita equivalent. The functor*

$$\mathbf{F}_{n, r} : \text{AH}_r\text{-mod} \rightarrow \text{AS}(n, r)\text{-mod} \quad (3.17)$$

defined by tensoring over AH_r with the $(\text{AS}(n, r), \text{AH}_r)$ -bimodule $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ is an equivalence of categories. Identifying AH_r with $1_\omega \text{AS}(n, r) 1_\omega$ as in (3.15), a quasi-inverse equivalence is given by the idempotent truncation functor $1_\omega(-) : \text{AS}(n, r)\text{-mod} \rightarrow \text{AH}_r\text{-mod}$, $M \mapsto 1_\omega M$.

Proof. Note that $\text{AS}(n, r) 1_\omega \text{AS}(n, r) = \text{AS}(n, r)$. This follows because every idempotent 1_λ ($\lambda \in \Lambda(n, r)$) lies in $\text{AS}(n, r) 1_\omega \text{AS}(n, r)$. Indeed, $1_\lambda = \lambda_1! \cdots \lambda_n! \xi_A 1_\omega \xi_B$ where $A \in \text{Mat}(\lambda, \omega)$ corresponds to the double coset diagram that merges r thin strings to thick strings of thickness $\lambda_1, \dots, \lambda_n$ with no crossings, and $B := A^T$. This is a well-known identity already in $S(n, r)$. Hence, by standard Morita theory, the idempotent truncation functor $1_\omega(-)$ is an equivalence of categories $\text{AS}(n, r)\text{-mod} \rightarrow \text{AH}_r\text{-mod}$. Moreover, this functor is isomorphic to the functor $1_\omega \text{AS}(n, r) \otimes_{\text{AS}(n, r)} -$, which is left adjoint to $\text{Hom}_{\text{AH}_r}(1_\omega \text{AS}(n, r), -) \cong \mathbf{F}_{n, r}$, so the latter functor is also an equivalence. \square

4. THE STRICT MONOIDAL CATEGORY **ASchur**

Sometimes it is convenient to repack the affine Schur algebras $\text{AS}(n, r)$ for all n and r as follows:

Definition 4.1. The *degenerate affine Schur category* **ASchur** is the \mathbb{k} -linear category with object set $\coprod_{n \geq 0} \Lambda(n)$ (i.e., all compositions), and morphisms

$$\text{Hom}_{\mathbf{ASchur}}(\mu, \lambda) := \begin{cases} \text{Hom}_{\text{AH}_r}(M(\mu) \otimes_{\mathbb{k}S_r} \text{AH}_r, M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r) & \text{if } r := |\lambda| = |\mu| \\ \{0\} & \text{if } |\lambda| \neq |\mu|. \end{cases} \quad (4.1)$$

The composition law $- \circ -$ making **ASchur** into a \mathbb{k} -linear category is the obvious composition of morphisms; sometimes, we might omit the symbol \circ , denoting a composition $f \circ g$ of morphisms in **ASchur** simply by fg . We write 1_λ for the identity endomorphism $\text{id}_{M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r}$.

Remark 4.2. The papers [SW24b, SW24a] use “affine web category” for our “degenerate affine Schur category,” using “affine Schur category” for an extended tensor product version with additional red strands. The terminology becomes even more variable when it comes to cyclotomic quotients.

From Definition 4.1, it is clear that the path algebra of the full subcategory of **ASchur** generated by the objects $\Lambda(n, r)$ is the degenerate affine Schur algebra $\text{AS}(n, r)$.

Theorem 4.3. For $\lambda \in \Lambda(n, r), \mu \in \Lambda(m, r)$, $\text{Hom}_{\mathbf{ASchur}}(\mu, \lambda)$ is free as a \mathbb{k} -module with a basis $\xi_{A,f}$ for $A \in \text{Mat}(\lambda, \mu)$ and f running over a basis for $P^{\mu(A)}$, with the homomorphism $\xi_{A,f} : M(\mu) \otimes_{\mathbb{k}S_r} \text{AH}_r \rightarrow M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$ defined in exactly the same way as in (3.8).

Proof. This follows from the proof of Theorem 3.3. There, λ and μ were assumed to be of the same length, but there is no need to make this assumption. \square

There is also the *current Schur category* **ASchur**₀, which is defined similarly to **ASchur** replacing AH_r with $\mathbb{k}S_r \otimes P_r$ and $M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$ with $M(\lambda) \otimes P_r$. Similar to Theorem 3.5, **ASchur** is naturally filtered and the associated graded category $\text{gr } \mathbf{ASchur}$ is identified with **ASchur**₀. Moreover, $\text{Hom}_{\mathbf{ASchur}_0}(\mu, \lambda)$ is free as a \mathbb{k} -module with a natural basis $\varsigma_{A,f}$ for $A \in \text{Mat}(\lambda, \mu)$ and f running over a basis for $P^{\mu(A)}$, which is defined as in (3.11). As in Corollary 3.7, it follows that **ASchur** has another basis $\xi_{f,A}$ for $A \in \text{Mat}(\lambda, \mu)$ and f running over a basis for $P^{\lambda(A)}$, which is defined as in (3.12).

More elementary, the *Schur category* **Schur** is the \mathbb{k} -linear category defined in the same way as (4.1), replacing AH_r with $\mathbb{k}S_r$ and the AH_r -modules $M(\lambda) \otimes_{\mathbb{k}S_r} \text{AH}_r$ with the $\mathbb{k}S_r$ -modules $M(\lambda)$. The morphism space $\text{Hom}_{\mathbf{Schur}}(\mu, \lambda)$ has basis $\{\xi_A \mid A \in \text{Mat}(\lambda, \mu)\}$ defined by (2.6). Almost the same category was defined in [BEAEO20, Def. 4.2]. We are allowing compositions with some parts equal to 0 whereas [BEAEO20] only considered strict compositions, which requires some minor modifications to the definition. This is discussed in detail in [Bru25, Sec. 5], which treats the q -analog.

Like in Corollary 3.6, there is a faithful \mathbb{k} -linear functor

$$\iota : \mathbf{Schur} \hookrightarrow \mathbf{ASchur}, \quad \lambda \mapsto \lambda, \quad \xi_A \mapsto \xi_{A,1} = \xi_{1,A}. \quad (4.2)$$

This functor identifies **Schur** with the wide subcategory of **ASchur** consisting of morphisms that are of filtered degree 0, which is also the wide subcategory of **ASchur**₀ consisting of the homogeneous morphisms of degree 0.

The most interesting new feature is that all of the categories **ASchur**, **ASchur**₀ and **Schur** have the additional structure of a tensor product bifunctor $- * -$ making them into strict \mathbb{k} -linear monoidal categories. We explain this just in the case of **ASchur**, but the construction is similar for the other two categories. For $a, b \geq 0$, we identify $S_a \times S_b$ with the parabolic subgroup

$S_{(a,b)} < S_{a+b}$, the tensor product $P_a \otimes P_b$ of polynomial algebras with P_{a+b} , and the tensor product $AH_a \otimes AH_b$ with a subalgebra of AH_{a+b} , all in the obvious way; e.g., $x_i \otimes 1 \in P_a \otimes P_b$ is identified with $x_i \in P_{a+b}$, and $1 \otimes x_j \in P_a \otimes P_b$ is identified with $x_{a+j} \in P_{a+b}$. Given a right AH_a -module U and a right AH_b -module V , there is a right AH_{a+b} -module

$$U \otimes V := (U \otimes V) \otimes_{AH_a \otimes AH_b} AH_{a+b}.$$

In fact, this defines a bifunctor $- \otimes -$, often called *induction product*. Then the tensor product bifunctor

$$- * - : \mathbf{ASchur} \boxtimes \mathbf{ASchur} \rightarrow \mathbf{ASchur} \quad (4.3)$$

is defined on objects by concatenation of compositions, and on morphisms $f : \lambda \rightarrow \mu$ and $g : \lambda' \rightarrow \mu'$ with $a := |\lambda| = |\mu|$ and $b := |\lambda'| = |\mu'|$ so that $f * g : \lambda * \lambda' \rightarrow \mu * \mu'$ is the morphism obtained from $f \otimes g : (M(\lambda) \otimes_{\mathbb{k}S_a} AH_a) \otimes (M(\lambda') \otimes_{\mathbb{k}S_b} AH_b) \rightarrow (M(\mu) \otimes_{\mathbb{k}S_a} AH_a) \otimes (M(\mu') \otimes_{\mathbb{k}S_b} AH_b)$ using the canonical isomorphisms $(M(\lambda) \otimes_{\mathbb{k}S_a} AH_a) \otimes (M(\lambda') \otimes_{\mathbb{k}S_b} AH_b) \cong M(\lambda * \lambda') \otimes_{\mathbb{k}S_{a+b}} AH_{a+b}$ and $(M(\mu) \otimes_{\mathbb{k}S_a} AH_a) \otimes (M(\mu') \otimes_{\mathbb{k}S_b} AH_b) \cong M(\mu * \mu') \otimes_{\mathbb{k}S_{a+b}} AH_{a+b}$. We have that

$$\xi_{A,f} * \xi_{B,g} = \xi_{\text{diag}(A,B), f \otimes g}, \quad \xi_{f,A} * \xi_{g,B} = \xi_{f \otimes g, \text{diag}(A,B)}, \quad (4.4)$$

It is straightforward to verify that the axioms of strict \mathbb{k} -linear monoidal category are satisfied; this amounts to verifying that the Interchange Law holds.

The embedding ι of **Schur** into **ASchur** is a strict monoidal functor. The full monoidal subcategory of **Schur** generated by the objects $(1^r) \in \Lambda(r, r)$ for all $r \geq 0$ is the *symmetric category* **Sym**. This is the \mathbb{k} -linearization of the symmetric groupoid, which is the free symmetric strict monoidal category generated by one object. The full monoidal subcategory of **ASchur** generated by the objects (1^r) for all $r \geq 0$ is the *degenerate affine symmetric category*, which we denote by **ASym**.

The monoidal categories **Sym**, **ASym**, **Schur**, **ASchur**₀ and **ASchur** have explicit monoidal presentations, which we explain next.

Monoidal presentation of **Sym**: The Coxeter presentation of symmetric groups implies that **Sym** can be presented as the strict \mathbb{k} -linear monoidal category generated by the object (1) , whose identity endomorphism we denote by a thin string, together with the morphism $\times : (1, 1) \rightarrow (1, 1)$ subject just to the relations

$$\begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} = \text{thin string}, \quad \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} = \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array}. \quad (4.5)$$

Monoidal presentation of **ASym**: To obtain a monoidal presentation for **ASym** from the one for **Sym**, one just needs to add one more generating morphism $\bullet : (1) \rightarrow (1)$ subject to one of the following equivalent relations:

$$\begin{array}{c} \diagup \diagdown \\ \bullet \end{array} = \begin{array}{c} \diagup \diagdown \\ \bullet \end{array} + \text{thin string}, \quad \begin{array}{c} \diagup \diagdown \\ \bullet \end{array} = \begin{array}{c} \diagdown \diagup \\ \bullet \end{array} + \text{thin string}. \quad (4.6)$$

This is clear from (3.15) since we already know presentations for each AH_r .

Monoidal presentation of **Schur**: There are a couple of known presentations for **Schur** as a strict \mathbb{k} -linear monoidal category. One was described in [BEAO20], in which the string diagram representing basis element ξ_A is simply its double coset diagram. The presentation for **Schur** requires generating objects (r) for $r \in \mathbb{N}$, and generating morphisms given by the two-fold *merges* and *splits*

$$\xi_{[a \ b]} = \begin{array}{c} \diagup \diagdown \\ a \quad b \end{array} : (a, b) \rightarrow (a+b), \quad \xi_{[a \ b]} = \begin{array}{c} a \quad b \\ \diagdown \diagup \end{array} : (a+b) \rightarrow (a, b), \quad (4.7)$$

the *thick crossings*

$$\xi \begin{bmatrix} 0 & b \\ a & 0 \end{bmatrix} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} : (a, b) \rightarrow (b, a), \quad (4.8)$$

and also the *spots*

$$\begin{array}{c} \text{spot} \\ \text{with } 0 \text{ on top} \end{array} : (0) \rightarrow \mathbb{1}, \quad \begin{array}{c} \text{spot} \\ \text{with } 0 \text{ on bottom} \end{array} : \mathbb{1} \rightarrow (0) \quad (4.9)$$

which are ξ_A for the unique matrices A in $\text{Mat}((), (0))$ and $\text{Mat}((0), ())$, respectively. When drawing more complicated string diagrams, we use the same conventions as in (2.4), so dotted lines denote strings of thickness 0, and unlabelled thin solid lines denote strings of thickness 1. Also, spots may be contracted to the boundary but should not be removed entirely. A full set of relations is given by the following for $a, b, c, d \geq 0$ with $a + b = c + d$:

$$\begin{array}{c} \text{vertical line} \\ \text{with } 0 \text{ on top} \end{array} = \text{id}_{\mathbb{1}}, \quad \begin{array}{c} \text{vertical line} \\ \text{with } 0 \text{ on bottom} \end{array} = \text{id}_{\mathbb{1}}, \quad (4.10)$$

$$\begin{array}{c} \text{split} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \begin{array}{c} \text{split} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array}, \quad \begin{array}{c} \text{split} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \begin{array}{c} \text{split} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array}, \quad \begin{array}{c} \text{merge} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \begin{array}{c} \text{merge} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array}, \quad \begin{array}{c} \text{merge} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \begin{array}{c} \text{merge} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array}, \quad (4.11)$$

$$\begin{array}{c} \text{split} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{split} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}, \quad \begin{array}{c} \text{merge} \\ \text{with } a \text{ on left, } b \text{ on left, } c \text{ on right} \end{array} = \begin{array}{c} \text{merge} \\ \text{with } a \text{ on left, } b \text{ on left, } c \text{ on right} \end{array}, \quad (4.12)$$

$$\begin{array}{c} \text{spot} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \binom{a+b}{a} \begin{array}{c} \text{vertical line} \\ \text{with } a+b \text{ on bottom} \end{array}, \quad \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right, } d \text{ on right} \end{array} = \sum_{\substack{0 \leq s \leq \min(a,c) \\ 0 \leq t \leq \min(b,d) \\ t-s=d-a=b-c}} \begin{array}{c} \text{thick crossing} \\ \text{with } s \text{ on left, } t \text{ on right} \end{array}. \quad (4.13)$$

The spot generators do not appear in [BEAEO20] but are needed here since we have added the additional generating object (0) which is isomorphic but not equal to the strict identity object $\mathbb{1} = ()$. This is discussed further for the q -analog of **Schur** in [Bru25, Th. 6.1].

Using the *associativity* and *coassociativity relations* of (4.12), one can introduce n -fold merges and splits by composing the two-fold ones in obvious ways; in fact, these are the standard basis elements ξ_A for matrices A that have a single row or a single column, respectively. Then, for any $A \in \text{Mat}(\lambda, \mu)$, the standard basis element ξ_A is equal to the string diagram that is simply equal to the double coset diagram for the matrix A . This is explained in [BEAEO20, Sec. 4]; see also Example 4.4 below. Various other relations are deduced from the defining relations in [BEAEO20] too, including the following which imply that **Schur** is symmetric monoidal with a symmetric braiding defined by the thick crossings:

$$\begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}, \quad \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}, \quad \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}, \quad \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}, \quad (4.14)$$

$$\begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}, \quad \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array} = \begin{array}{c} \text{thick crossing} \\ \text{with } a \text{ on left, } b \text{ on right, } c \text{ on right} \end{array}. \quad (4.15)$$

Also useful are the *absorption relations*:

$$\begin{array}{c} \text{spot} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \begin{array}{c} \text{spot} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array}, \quad \begin{array}{c} \text{spot} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array} = \begin{array}{c} \text{spot} \\ \text{with } a \text{ on left, } b \text{ on right} \end{array}. \quad (4.16)$$

There is another more efficient presentation for **Schur** which was known before [BEAEO20]. Algebraically, the idea for this can already be seen in [DG02], and it is closely related to the

more sophisticated monoidal presentations in [CKM14]. To explain this, we note first that the thick crossings can be written in terms of splits and merges since we have that

$$\begin{array}{c} \diagup \\ a \end{array} \begin{array}{c} \diagdown \\ b \end{array} = \sum_{s=0}^{\min(a,b)} (-1)^s \begin{array}{c} \begin{array}{c} \diagup \\ a-s \end{array} \begin{array}{c} \diagdown \\ b-s \end{array} \\ a \end{array} = \sum_{s=0}^{\min(a,b)} (-1)^s \begin{array}{c} \begin{array}{c} \diagdown \\ a-s \end{array} \begin{array}{c} \diagup \\ b-s \end{array} \\ a \end{array}. \quad (4.17)$$

So **Schur** is already generated by the two-fold splits and merges and the spots. A full set of relations for these generators is given by (4.10) to (4.12) and one of the equivalent *square-switch relations*

$$\begin{array}{c} \begin{array}{c} \diagup \\ c \end{array} \begin{array}{c} \diagdown \\ d \end{array} \\ a \end{array} = \sum_{s=\max(0,c-b)}^{\min(c,d)} \binom{a-b+c-d}{s} \begin{array}{c} \begin{array}{c} \diagup \\ d-s \end{array} \begin{array}{c} \diagdown \\ c-s \end{array} \\ a \end{array}, \quad (4.18)$$

$$\begin{array}{c} \begin{array}{c} \diagdown \\ c \end{array} \begin{array}{c} \diagup \\ d \end{array} \\ b \end{array} = \sum_{s=\max(0,c-b)}^{\min(c,d)} \binom{a-b+c-d}{s} \begin{array}{c} \begin{array}{c} \diagdown \\ d-s \end{array} \begin{array}{c} \diagup \\ c-s \end{array} \\ b \end{array} \quad (4.19)$$

for $a, b, c, d \geq 0$ with $d \leq a$ and $c \leq b + d$.

Monoidal presentation of \mathbf{ASchur}_0 : We obtain a monoidal presentation of \mathbf{ASchur}_0 from the one for **Schur** by adjoining one additional family of generating morphisms, which represent $\varsigma_{[r],f} = \varsigma_{f,[r]} \in \text{End}_{\mathbf{ASchur}_0}([r])_d$ for $f \in P^{(r)}$ that is homogeneous of degree d . We denote them by pinning the symmetric polynomial f to a string of thickness r :

$$\begin{array}{c} \text{---} f \text{---} \\ r \end{array} : (r) \rightarrow (r). \quad (4.20)$$

A full set of relations is given by (4.10) to (4.13) together with four additional families of relations. First, we need the *algebra relations*

$$\begin{array}{c} \text{---} c \text{---} \\ r \end{array} = c \begin{array}{c} \text{---} \\ r \end{array}, \quad \begin{array}{c} \text{---} f+g \text{---} \\ r \end{array} = \begin{array}{c} \text{---} f \text{---} \\ r \end{array} + \begin{array}{c} \text{---} g \text{---} \\ r \end{array}, \quad \begin{array}{c} \text{---} fg \text{---} \\ r \end{array} = \begin{array}{c} \begin{array}{c} \text{---} f \text{---} \\ r \end{array} \begin{array}{c} \text{---} g \text{---} \\ r \end{array} \end{array} \quad (4.21)$$

for $r \geq 0$, $c \in \mathbb{k}$ and $f, g \in P^{(r)}$, i.e., all of the maps $P^{(r)} \rightarrow \text{End}_{\mathbf{ASchur}_0}((r))$, $f \mapsto \begin{array}{c} \text{---} f \text{---} \\ r \end{array}$ are graded algebra homomorphisms. Next, recall that we have identified $P_a \otimes P_b$ with P_{a+b} . Under this identification, any symmetric polynomial $f \in P_{a+b}^{S_{a+b}}$ is equal to $\sum_{i=1}^n f_{1,i} \otimes f_{2,i}$ for some $n \geq 0$, $f_{1,i} \in P_a^{S_a}$ and $f_{2,i} \in P_b^{S_b}$. We use the Sweedler-type notation $f_{(1)} \otimes f_{(2)}$ as a shorthand for this summation. The next relations are the *coproduct relations*

$$\begin{array}{c} \begin{array}{c} \text{---} f \text{---} \\ a \end{array} \begin{array}{c} \text{---} \\ b \end{array} = \begin{array}{c} \begin{array}{c} \text{---} f_{(1)} \text{---} \\ a \end{array} \begin{array}{c} \text{---} f_{(2)} \text{---} \\ b \end{array} \end{array}, \quad \begin{array}{c} \begin{array}{c} \text{---} f \text{---} \\ a \end{array} \begin{array}{c} \text{---} \\ b \end{array} = \begin{array}{c} \begin{array}{c} \text{---} f_{(1)} \text{---} \\ a \end{array} \begin{array}{c} \text{---} f_{(2)} \text{---} \\ b \end{array} \end{array} \quad (4.22)$$

for all $a, b \geq 0$ and $f \in P_{a+b}^{S_{a+b}}$. Then there is the *shuffle relation*

$$\begin{array}{c} \begin{array}{c} \text{---} f \text{---} \\ a \end{array} \begin{array}{c} \text{---} g \text{---} \\ b \end{array} = \sum_{w \in (S_{a+b}/S_a \times S_b)_{\min}} \begin{array}{c} \text{---} w(f \otimes g) \text{---} \\ a+b \end{array} \quad (4.23)$$

for all $a, b \geq 0$, $f \in P_a^{S_a}$ and $g \in P_b^{S_b}$. Finally, we have that

$$\begin{array}{c} \begin{array}{c} \text{---} f \text{---} \\ a \end{array} \begin{array}{c} \text{---} \\ b \end{array} = \begin{array}{c} \begin{array}{c} \text{---} \\ a \end{array} \begin{array}{c} \text{---} f \text{---} \\ b \end{array} \end{array}, \quad \begin{array}{c} \begin{array}{c} \text{---} g \text{---} \\ a \end{array} \begin{array}{c} \text{---} \\ b \end{array} = \begin{array}{c} \begin{array}{c} \text{---} \\ a \end{array} \begin{array}{c} \text{---} g \text{---} \\ b \end{array} \end{array}, \quad (4.24)$$

again for all $a, b \geq 0$, $f \in P_a^{S_a}$ and $g \in P_b^{S_b}$. The last relation implies that \mathbf{ASchur}_0 is symmetric monoidal with the same symmetric braiding defined by the thick crossings as on **Schur**.

Monoidal presentation of \mathbf{ASchur} : Finally we come to the monoidal presentation of \mathbf{ASchur} , which was worked out recently in [SW24b]. It has the same generating objects and morphisms as \mathbf{ASchur}_0 —merges, splits, thick crossings, spots, and the pins (4.20) labelled by symmetric polynomials $f \in P^{(r)}$ which denote $f1_{(r)} = \xi_{[r],f} = \xi_{f,[r]} \in \text{End}_{\mathbf{ASchur}}((r))$, notation as in (6.1). Then we need the **Schur** relations (4.10) to (4.13), the algebra relations (4.21), the coproduct relations (4.22), and two more relations which are deformed version of (4.23) and (4.24). The *deformed shuffle relation* is

$$\begin{array}{c} f \\ a \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} g \\ b \end{array} = \sum_{w \in (S_{a+b}/S_a \times S_b)_{\min}} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} w \diamond (f \otimes g) \\ a+b \end{array}, \quad (4.25)$$

where \diamond here is the deformed left action of the symmetric group on polynomials defined by (3.4). There is not any obvious analog of (4.24) in the deformed setting for general symmetric polynomials f and g . However, if we require that f and g are elementary symmetric polynomials, there is a reasonable replacement, which is sufficient because elementary polynomials generate the algebra of all symmetric polynomials. We adopt the convention that a pin with label e_d (resp., h_d) attached to a string of thickness r refers to the elementary symmetric polynomial $e_d(x_1, \dots, x_r)$ (resp., the complete symmetric polynomial $h_d(x_1, \dots, x_r)$) of degree d . Then, in place of (4.24), we have the *elementary dot slide relations*

$$\begin{array}{c} e_d \\ a \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} b \end{array} = \sum_{s=0}^{\min(a,b,d)} s! \begin{array}{c} e_{d-s} \\ s \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} a \end{array} \begin{array}{c} b \end{array}, \quad \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} e_d \\ b \end{array} \begin{array}{c} a \end{array} = \sum_{s=0}^{\min(a,b,d)} (-1)^s s! \begin{array}{c} e_{d-s} \\ s \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} b \end{array} \begin{array}{c} a \end{array}, \quad (4.26)$$

$$\begin{array}{c} e_d \\ a \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} b \end{array} = \sum_{s=0}^{\min(a,b,d)} s! \begin{array}{c} a \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} b \end{array} \begin{array}{c} e_{d-s} \\ s \end{array}, \quad \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} e_d \\ b \end{array} \begin{array}{c} a \end{array} = \sum_{s=0}^{\min(a,b,d)} (-1)^s s! \begin{array}{c} b \end{array} \begin{array}{c} \bullet \\ \bullet \end{array} \begin{array}{c} a \end{array} \begin{array}{c} e_{d-s} \\ s \end{array}. \quad (4.27)$$

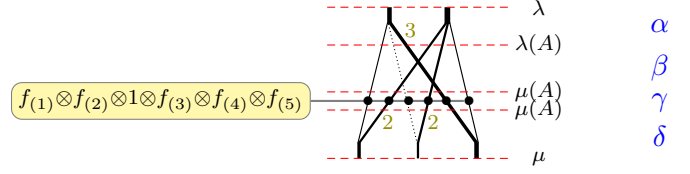
for all $a, b, d \geq 1$. When $a = b = d = 1$ these relations are the same as (4.6). In fact, the arguments below show that any one of these four relations implies the other three (in the presence of the earlier relations).

Proofs. Now we explain how to establish these presentations in the cases of \mathbf{ASchur}_0 and \mathbf{ASchur} . The first important step is to understand how to represent the morphisms $\xi_{A,f}$ and $\xi_{f,A}$ using string diagrams. We do this with an example, but the general case is similar.

Example 4.4. Take $\lambda = (4, 5)$, $\mu = (3, 2, 4)$ and $A \in \text{Mat}(\lambda, \mu)$ as in (2.3). We have that $\lambda(A) = (1, 0, 3, 2, 2, 1)$ and $\mu(A) = (1, 2, 0, 2, 3, 1)$. Let $f = f_{(1)} \otimes f_{(2)} \otimes f_{(3)} \otimes f_{(4)} \otimes f_{(5)}$ be a symmetric polynomial in $P_9^{S_{\mu(A)}} \cong P_1 \otimes P_2^{S_2} \otimes P_2^{S_2} \otimes P_3^{S_3} \otimes P_1$ and $g = g_{(1)} \otimes g_{(2)} \otimes g_{(3)} \otimes g_{(4)} \otimes g_{(5)}$ be in $P_9^{S_{\lambda(A)}} = P_1 \otimes P_3^{S_3} \otimes P_2^{S_2} \otimes P_2^{S_2} \otimes P_1$. Then

$$\xi_{A,f} = \begin{array}{c} \text{Diagram with 5 inputs labeled } f_{(1)} \dots f_{(5)} \text{ and 6 outputs labeled } 3, 2, 2 \end{array}, \quad \xi_{g,A} = \begin{array}{c} \text{Diagram with 6 inputs labeled } g_{(1)} \dots g_{(6)} \text{ and 5 outputs labeled } 3, 2, 2 \end{array}.$$

We explain how to see this in more detail for $\xi_{A,f}$. The string diagram can be split into four horizontal strips



We have drawn the string of thickness 0 too for clarity. As spelled out in [BEAEO20, Sec. 4], the horizontal composition of the three two-fold splits at the bottom is

$$\delta := \xi_{\begin{bmatrix} 1 \\ 2 \end{bmatrix}} * \xi_{\begin{bmatrix} 0 \\ 2 \end{bmatrix}} * \xi_{\begin{bmatrix} 3 \\ 1 \end{bmatrix}} = \xi_{\begin{bmatrix} 1 & 0 & 0 \\ 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \\ 0 & 0 & 1 \end{bmatrix}} : M(\mu) \otimes_{\mathbb{k}S_9} \text{AH}_9 \hookrightarrow M(\mu(A)) \otimes_{\mathbb{k}S_9} \text{AH}_9,$$

which maps $m_\mu \otimes 1 \mapsto \sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_{\mu(A)} \otimes y$. Next up is

$$\gamma := \xi_{[1], f(1)} * \xi_{[2], f(2)} * \text{id}_{(0)} * \xi_{[2], f(3)} * \xi_{[3], f(4)} * \xi_{[1], f(5)} : M(\mu(A)) \otimes_{\mathbb{k}S_9} \text{AH}_9 \rightarrow M(\mu(A)) \otimes_{\mathbb{k}S_9} \text{AH}_9,$$

the right AH_9 -module homomorphism $m_{\mu(A)} \otimes 1 \mapsto m_{\mu(A)} \otimes f$. It takes $\sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_{\mu(A)} \otimes y$ to $\sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_{\mu(A)} \otimes fy$. Then comes the generalized permutation

$$\beta : M(\mu(A)) \otimes_{\mathbb{k}S_9} \text{AH}_9 \rightarrow M(\lambda(A)) \otimes_{\mathbb{k}S_9} \text{AH}_9, \quad m_{\mu(A)} \otimes 1 \mapsto m_{\lambda(A)} \otimes d_A,$$

producing the vector $\sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_{\lambda(A)} \otimes d_A fy$. Finally, the horizontal composition of three-fold merges in the top portion of the diagram is

$$\alpha := \xi_{\begin{bmatrix} 1 & 0 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 2 & 1 \end{bmatrix}} : M(\lambda(A)) \otimes_{\mathbb{k}S_9} \text{AH}_9 \rightarrow M(\lambda) \otimes_{\mathbb{k}S_9} \text{AH}_9, \quad m_{\lambda(A)} \otimes 1 \mapsto m_\lambda \otimes 1.$$

This takes our vector to $\sum_{y \in (S_{\mu(A)} \setminus S_\mu)_{\min}} m_\lambda \otimes d_A fy$. This is the same as the image of $m_\mu \otimes 1$ under $\xi_{A,f}$ from (3.8), so the morphism defined by this string diagram is indeed equal to $\xi_{A,f}$. Thus, we have factored $\xi_{A,f}$ as the composition $\alpha \circ \beta \circ \gamma \circ \delta$.

The discussion in Example 4.4 makes it clear that all of the morphisms in a basis for any morphism space in **ASchur** can be obtained by vertical and horizontal composition of (4.7), (4.8), (4.9) and (4.20) plus appropriate identity morphisms. This proves the following lemma for **ASchur**, and similar considerations prove it for **ASchur**₀.

Lemma 4.5. *Morphisms in the \mathbb{k} -linear monoidal categories **ASchur**₀ and **ASchur** are generated by (4.7) to (4.9) and (4.20).*

Next, we show that all of the **ASchur** relations are valid.

Lemma 4.6. *The generating morphisms (4.7), (4.8), (4.9) and (4.20) of **ASchur** satisfy all of the relations (4.10), (4.11), (4.12), (4.13), (4.21), (4.22) and (4.25) to (4.27).*

Proof. The relations (4.10) to (4.13) are shown to hold in **Schur** in [BEAEO20], hence, they also follow in **ASchur** since **Schur** is a monoidal subcategory. The relations (4.21) follow immediately since the map $P^{(r)} \rightarrow \text{End}_{\text{AH}_r}(\mathbb{k} \otimes_{\mathbb{k}S_r} \text{AH}_r)$ defined by right multiplication is an algebra homomorphism. The coproduct relation for merge follows because the two-fold merge is the homomorphism mapping $m_{(a,b)} \otimes 1 \mapsto m_{(a+b)} \otimes 1$, and this commutes with right multiplication by any central element $f = f_{(1)} \otimes f_{(2)} \in P_{a+b}^{S_{a+b}}$. The coproduct relation for split follows because the two-fold split is the homomorphism mapping $m_{(a+b)} \otimes 1 \mapsto \sum_{d \in (S_a \times S_b \setminus S_{a+b})_{\min}} m_{(a,b)} \otimes d$, and again this commutes with right multiplication by $f \in P_{a+b}^{S_{a+b}}$.

To check the deformed shuffle relation (4.25), take $f \in P_a^{S_a}$ and $g \in P_b^{S_b}$. The morphism on the left hand side of (4.25) maps

$$m_{(a+b)} \otimes 1 \mapsto \sum_{w \in (S_{a+b}/S_a \times S_b)_{\min}} m_{(a+b)} \otimes (f \otimes g)w^{-1}.$$

By the second identity in (3.2), we have that $m_{(a+b)} \otimes (f \otimes g)s_i = m_{(a+b)} \otimes (s_i \diamond (f \otimes g))$ (i.e., the module $M((a+b)) \otimes_{\mathbb{k}S_{a+b}} \text{AH}_{a+b}$ is the *right* polynomial representation). So this expression equals

$$\sum_{w \in (S_{a+b}/S_a \times S_b)_{\min}} m_{(a+b)} \otimes (w \diamond (f \otimes g)),$$

which is the image of $m_{(a+b)}$ under the morphism on the right hand side of (4.25).

The derivations of the elementary dot slide relations (4.26) and (4.27) are more complicated and the proof will be explained in the next section. Specifically, these relations follow from Theorem 5.1 below by equating coefficients in the generating functions there. \square

Finally, we can explain the proofs of the main theorems establishing the presentations for **ASchur**₀ and **ASchur**. We start with the easier **ASchur**₀.

Theorem 4.7. *The current Schur category **ASchur**₀ is the strict graded \mathbb{k} -linear monoidal category obtained from **Schur** by adjoining the additional morphisms (4.20) for all $r \geq 1$ and homogeneous $f \in P^{(r)}$, subject to the additional relations (4.21) to (4.24).*

Proof. Let **ASchur**'₀ be the strict \mathbb{k} -linear monoidal category defined by these generators and relations. It is easy to see directly that all of the defining relations of **ASchur**'₀ hold in **ASchur**₀ (this can also be deduced from Lemma 4.6 by passing to the associated graded category). Hence, there is a strict \mathbb{k} -linear functor $G : \mathbf{ASchur}'_0 \rightarrow \mathbf{ASchur}_0$. It is bijective on objects by definition, and Lemma 4.5 shows that it is full. It just remains to show that G is faithful. To see this, we know bases for morphism spaces in **ASchur**₀ by Theorem 3.5, with basis elements represented by string diagrams. It suffices to show that the morphisms in **ASchur**'₀ defined by the same string diagrams span morphism spaces in **ASchur**'₀. In view of Lemma 4.5, this follows from the existence of a straightening algorithm which expresses the vertical composition (either way around) of a basis vector and a generator as a linear combination of basis vectors. This is similar to the algorithm for the Schur category explained in the proof of [BEAEO20, Lem. 4.9], using the local relations (4.12) and (4.13). To modify it so that it can be applied in the current Schur category (where there are additional symmetric polynomials pinned to strings), one also needs to use the coproduct relations (4.22) to slide symmetric polynomials across merges and splits from thick to thinner strings, the relations (4.24) to slide symmetric polynomials past crossings, and the shuffle relation (4.23) in place of the first relation from (4.13). \square

Theorem 4.8. *The degenerate affine Schur category **ASchur** is isomorphic to the strict \mathbb{k} -linear monoidal category obtained from **Schur** by adjoining the additional morphisms (4.20) for all $r \geq 1$ and $f \in P^{(r)}$, subject to the additional relations (4.21), (4.22) and (4.25) and any one of the four relations (4.26) and (4.27).*

Proof. Let **ASchur**' be the strict \mathbb{k} -linear monoidal category with these generators and relations. Lemma 4.6 implies that there is a strict \mathbb{k} -linear monoidal functor $F : \mathbf{ASchur}' \rightarrow \mathbf{ASchur}$. Lemma 4.5 shows that this functor is full. It is bijective on objects by definition. It remains to show that F is faithful. There is a filtration on **ASchur**' defined by declaring that the generators $\text{---} \underset{r}{\text{---}} \text{---} f \text{---}$ are of filtered degree equal to the usual degree of the symmetric polynomial f , and all other generators are of filtered degree 0. The functor F is filtered, so it induces a functor $\text{gr } F : \text{gr } \mathbf{ASchur}' \rightarrow \text{gr } \mathbf{ASchur}$ between the associated graded categories. Using the presentation for **ASchur**₀ from Theorem 4.7, it follows that there is a full strict \mathbb{k} -linear monoidal

functor $G : \mathbf{ASchur}_0 \rightarrow \text{gr } \mathbf{ASchur}'$ such that the composite $(\text{gr } F) \circ G : \mathbf{ASchur}_0 \rightarrow \mathbf{ASchur}$ is the isomorphism $\mathbf{ASchur}_0 \xrightarrow{\sim} \text{gr } \mathbf{ASchur}$ discussed earlier. This implies that $\text{gr } F$ is faithful, hence, so too is F . \square

Remark 4.9. Theorem 4.8 is a slightly modified version of the presentation for \mathbf{ASchur} proved originally by Song and Wang in [SW24b]. The main advantage of our setup compared to [SW24b] is that we allow arbitrary symmetric polynomials to be pinned to thick strings. Also the relations (4.26) and (4.27) for $d < a$ seem to be new. Song and Wang observed that to present \mathbf{ASchur} , one only needs to impose these relations in the special case that $d = a$ (when all of the elementary symmetric polynomials arising are of the same degree as the thickness of the strings that they are pinned to). To make further comparison with the setup of [SW24b], we note that the morphism denoted $\downarrow_{a+b}^{\varpi_a}$ in [SW24b] is equal to

$$\begin{array}{c} \text{Diagram: A thick string of thickness } a+b \text{ with a loop labeled } e_a \text{ and } a \text{ on the left.} \\ \downarrow_{a+b}^{\varpi_a} \end{array} = \sum_{w \in (S_{a+b}/S_a \times S_b)_{\min}} \begin{array}{c} \text{Diagram: A thick string of thickness } a+b \text{ with a box labeled } w \diamond (x_1 \cdots x_a) \text{ on the right.} \\ \downarrow_{a+b} \end{array}$$

in our notation. When $a, b \geq 1$, this is rather a complicated, inhomogeneous symmetric polynomial, but it is equal to the elementary symmetric polynomial $e_a(x_1, \dots, x_{a+b})$ plus terms of lower degree, which is all that really matters. To see that the monoidal category \mathbf{ASchur} as we have defined it is indeed isomorphic to the monoidal category from [SW24b, Def. 2.1], using the relations in \mathbf{ASchur} from Lemma 4.6, it follows easily that there is a strict \mathbb{k} -linear monoidal functor from the Song-Wang category to \mathbf{ASchur} mapping $\downarrow_r^{\varpi_r} \mapsto \downarrow_r^{e_r}$ and the other generators to the morphisms represented by the same diagrams in \mathbf{ASchur} . This functor is an isomorphism because it maps the spanning sets for morphism spaces from [SW24b, Prop. 3.6] to particular bases for morphism spaces in \mathbf{ASchur} arising from Theorem 4.3.

With generators and relations in hand, it follows that \mathbf{ASchur} (hence, also \mathbf{Schur} and \mathbf{ASchur}_0) has two natural symmetries

$$\div : \mathbf{ASchur}^{\text{op}} \rightarrow \mathbf{ASchur}, \quad \cdot | : \mathbf{ASchur}^{\text{rev}} \rightarrow \mathbf{ASchur}. \quad (4.28)$$

The first of these is defined on string diagrams by reflecting in a horizontal axis; it takes $\xi_{A,f}$ to ξ_{f,A^τ} . The second reflects in a vertical axis then multiplies by $(-1)^d$ where d is the total degree of all of the symmetric polynomials present in the diagram (assumed homogeneous); it takes $\xi_{A,f}$ to $(-1)^{\deg(f)} \xi_{A^\dagger, f^\dagger}$ where A^\dagger is obtained from A by reversing the order of rows and columns and f^\dagger is obtained from f by replacing x_1, \dots, x_r by x_r, \dots, x_1 (the number r of variables is the sum of the entries of A).

5. FURTHER RELATIONS

In this section, we prove a couple more relations in \mathbf{ASchur} which require some more sophisticated technique. The main point is to work systematically with generating functions, which typically will be formal Laurent series in an auxiliary variable u . For example, working in $\text{Pr}((u^{-1}))$,

$$(u - x_1) \cdots (u - x_r) = u^r - e_1(x_1, \dots, x_r)u^{r-1} + \cdots + (-1)^r e_r(x_1, \dots, x_r)$$

is the generating function for the elementary symmetric polynomials, and

$$\frac{1}{(u - x_1) \cdots (u - x_r)} = u^{-r} + u^{-r-1} h_1(x_1, \dots, x_r) + u^{-r-2} h_2(x_1, \dots, x_r) + \cdots$$

is the generating function for the complete symmetric polynomials. We also use the convention that $e_0(x_1, \dots, x_r) = h_0(x_1, \dots, x_r) = 1$ for any $r \geq 0$.

We introduce the following shorthands for the pins involving these generating functions:

$$\begin{array}{c} \textcircled{u} \\ r \end{array} := \begin{array}{c} | \\ r \end{array} \text{ (yellow box) } (u-x_1)\cdots(u-x_r), \quad \begin{array}{c} \bullet \\ r \end{array} := \begin{array}{c} | \\ r \end{array} \text{ (yellow box) } \frac{1}{(u-x_1)\cdots(u-x_r)}. \quad (5.1)$$

These are elements of $\text{End}_{\mathbf{ASchur}}((r))((u^{-1}))$. They also make sense if $r = 0$, when they are both equal to the identity endomorphism of the unit object $\mathbb{1}$. By the coproduct relations (4.22), we have that

$$\begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array} = \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array}, \quad \begin{array}{c} a \quad b \\ | \\ \textcircled{u} \end{array} = \begin{array}{c} a \quad b \\ | \\ \textcircled{u} \end{array}, \quad (5.2)$$

$$\begin{array}{c} | \\ \bullet \\ a \quad b \end{array} = \begin{array}{c} | \\ \bullet \\ a \quad b \end{array}, \quad \begin{array}{c} a \quad b \\ | \\ \bullet \end{array} = \begin{array}{c} a \quad b \\ | \\ \bullet \end{array}. \quad (5.3)$$

Note also that the symmetry \div from (4.28) fixes both of the generating functions in (5.1), while $\cdot|$ maps them to

$$(-1)^r \begin{array}{c} | \\ \textcircled{u} \\ r \end{array} = \begin{array}{c} | \\ \textcircled{u} \\ r \end{array} (u+x_1)\cdots(u+x_r), \quad (-1)^r \begin{array}{c} | \\ \bullet \\ r \end{array} = \begin{array}{c} | \\ \bullet \\ r \end{array} \frac{1}{(u+x_1)\cdots(u+x_r)}, \quad (5.4)$$

respectively.

Theorem 5.1. *The following hold in $\mathbf{ASchur}[u]$ for $a, b \geq 0$:*

$$\begin{aligned} (1) \quad \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array} &= \sum_{s=0}^{\min(a,b)} (-1)^s s! \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array}^s. \\ (2) \quad \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array} &= \sum_{s=0}^{\min(a,b)} (-1)^s s! \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array}^s. \\ (3) \quad \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array} &= \sum_{s=0}^{\min(a,b)} s! \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array}^s. \\ (4) \quad \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array} &= \sum_{s=0}^{\min(a,b)} s! \begin{array}{c} | \\ \textcircled{u} \\ a \quad b \end{array}^s. \end{aligned}$$

Proof. We prove (1). The proofs of (2)–(4) are similar, or they can be deduced from (1) by applying the symmetries \div and $\cdot|$ from (4.28). It suffices to prove the relation in the case that the ground ring \mathbb{k} is \mathbb{Z} —the relation over any other ground ring follows from this case by basis change. In turn, to prove it over \mathbb{Z} , we can extend scalars to \mathbb{Q} . We assume this from now on, and proceed to prove (1) by induction on $a + b$. The relation is trivial if $a = 0$ or $b = 0$. The base case $a = b = 1$ follows easily from (4.6). The following inductive calculation proves the relation for $a = 1$ and $b > 1$:

$$\begin{aligned} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} &\stackrel{(4.13)}{=} \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} \stackrel{(4.6)}{=} \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} \stackrel{(4.16)}{=} \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} \\ &\stackrel{(4.12)}{=} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} \stackrel{(4.16)}{=} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \frac{1}{b} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} \stackrel{(4.12)}{=} \begin{array}{c} | \\ \textcircled{u} \\ b \end{array} - \begin{array}{c} | \\ \textcircled{u} \\ b \end{array}. \end{aligned}$$

Then the following inductive calculation proves the relation for $a > 1$ and $b \geq 1$:

$$\begin{aligned}
& \begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} \stackrel{(4.25)}{=} \frac{1}{a} \begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} = \frac{1}{a} \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ a \quad b \end{array} - \frac{1}{a} \begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} \stackrel{(4.14)}{=} \frac{1}{a} \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ a \quad b \end{array} - \frac{1}{a} \begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} \\
& = \frac{1}{a} \sum_{s=0}^{\min(a-1,b)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} - \frac{1}{a} \sum_{s=0}^{\min(a-1,b-1)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} \\
& \stackrel{(4.12)}{=} \frac{1}{a} \sum_{s=0}^{\min(a-1,b)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} - \frac{1}{a} \sum_{s=0}^{\min(a-1,b-1)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} \\
& \stackrel{(4.12)}{=} \frac{1}{a} \sum_{s=0}^{\min(a-1,b)} (-1)^s s! (a-s) \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} + \frac{1}{a} \sum_{s=0}^{\min(a-1,b-1)} (-1)^{s+1} (s+1)! (s+1) \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s+1 \quad s+1 \end{array} \\
& \stackrel{(4.25)}{=} \frac{1}{a} \sum_{s=0}^{\min(a,b)} (-1)^s s! (a-s) \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} + \frac{1}{a} \sum_{s=0}^{\min(a,b)} (-1)^s s! s \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} = \sum_{s=0}^{\min(a,b)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array}.
\end{aligned}$$

□

Corollary 5.2. $\begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} = \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ a \quad b \end{array}$ and $\begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} = \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ a \quad b \end{array}.$

Proof. Theorem 5.1(1),(3) and (5.2) give that

$$\begin{aligned}
& \begin{array}{c} \diagup \\ \textcircled{u} \\ \diagdown \\ a \quad b \end{array} = \sum_{s=0}^{\min(a,b)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} = \sum_{s=0}^{\min(a,b)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} = \sum_{s=0}^{\min(a,b)} (-1)^s s! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad s \end{array} \\
& = \sum_{s=0}^{\min(a,b)} \sum_{t=0}^{\min(a,b)-s} (-1)^s s! t! \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s \quad t \end{array} = \sum_{s=0}^{\min(a,b)} \sum_{t=0}^{\min(a,b)-s} (-1)^s (s+t)! \binom{s+t}{s} \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ s+t \quad s+t \end{array} \\
& = \sum_{n=0}^{\min(a,b)} n! \left(\sum_{s=0}^n (-1)^s \binom{n}{s} \right) \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ n \quad n \end{array} = \sum_{n=0}^{\min(a,b)} n! (1-1)^n \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ n \quad n \end{array} = \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ a \quad b \end{array}.
\end{aligned}$$

This proves the first identity. The second follows from the first on composing on the bottom with $\begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ a \quad b \end{array}$ and on the top with $\begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ b \quad a \end{array}.$ □

Corollary 5.3. *The following hold in $\mathbf{ASchur}[u^{-1}]$ for $r \geq 1$:*

$$\begin{aligned}
(1) \quad & \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ r \quad r \end{array} = \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ r \quad r \end{array} + \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ r \quad r \end{array}. \\
(2) \quad & \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ r \quad r \end{array} = \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ r \quad r \end{array} + \begin{array}{c} \textcircled{u} \\ \diagup \\ \diagdown \\ r \quad r \end{array}.
\end{aligned}$$

Proof. Theorem 5.1(1) with $a = r$ and $b = 1$ gives $\text{diagram} = \text{diagram} - \text{diagram}$. The identity (1) follows from this by composing on the bottom with diagram and using the previous corollary. Then (2) follows by applying \div . \square

Lemma 5.4. $1 + (1 + s_1 + s_2 s_1 + \cdots + s_{r-1} \cdots s_2 s_1) \diamond \frac{1}{u - x_1} = \frac{(u + 1 - x_1) \cdots (u + 1 - x_r)}{(u - x_1) \cdots (u - x_r)}$.

Proof. This is an induction exercise! It is easily checked in the case $r = 1$, using that

$$s_1 \diamond \frac{1}{u - x_1} = \frac{1}{u - x_2} + \frac{1}{(u - x_1)(u - x_2)} = \frac{u + 1 - x_1}{(u - x_1)(u - x_2)} \quad (5.5)$$

by (3.3) and (3.4). For $r > 1$, using induction for the equality (*), we have that

$$\begin{aligned} 1 + (1 + s_1 + s_2 s_1 + \cdots + s_{r-1} \cdots s_2 s_1) \diamond \frac{1}{u - x_1} \\ &= 1 + \frac{1}{u - x_1} + (1 + s_2 + \cdots + s_{r-1} \cdots s_2) \diamond \frac{u + 1 - x_1}{(u - x_1)(u - x_2)} \\ &= \frac{u + 1 - x_1}{u - x_1} + \frac{u + 1 - x_1}{u - x_1} \left((1 + s_2 + \cdots + s_{r-1} \cdots s_2) \diamond \frac{1}{u - x_2} \right) \\ &\stackrel{(*)}{=} \frac{u + 1 - x_1}{u - x_1} + \frac{u + 1 - x_1}{u - x_1} \left(\frac{(u + 1 - x_2) \cdots (u + 1 - x_r)}{(u - x_2) \cdots (u - x_r)} - 1 \right) \\ &= \frac{(u + 1 - x_1)(u + 1 - x_2) \cdots (u + 1 - x_r)}{(u - x_1)(u - x_2) \cdots (u - x_r)}. \end{aligned}$$

\square

We introduce symmetric polynomials $\tilde{p}_d(x_1, \dots, x_r)$ defined from the expansion of the expression appearing in Lemma 5.4, setting

$$1 + \sum_{d \geq 0} \tilde{p}_d(x_1, \dots, x_r) u^{-d-1} := \frac{(u + 1 - x_1) \cdots (u + 1 - x_r)}{(u - x_1) \cdots (u - x_r)}. \quad (5.6)$$

This definition makes sense even if $r = 0$, in which case $\tilde{p}_d(x_1, \dots, x_r) = 0$ for all d . The right hand side of (5.6) can also be written as

$$\left(1 + \frac{1}{u - x_1}\right) \left(1 + \frac{1}{u - x_2}\right) \cdots \left(1 + \frac{1}{u - x_r}\right) = \sum_{s \geq 0} \sum_{1 \leq p_1 < \cdots < p_s \leq r} \frac{1}{(u - x_{p_1}) \cdots (u - x_{p_s})}. \quad (5.7)$$

From this, it is easy to see that $\tilde{p}_d(x_1, \dots, x_r)$ is equal to the usual power sum $p_d(x_1, \dots, x_r) = x_1^d + \cdots + x_r^d$ plus an inhomogeneous symmetric polynomial of strictly smaller degree. Consequently, we call $\tilde{p}_d(x_1, \dots, x_r)$ the *deformed power sum*. The following lemma gives a more explicit formula for it.

Lemma 5.5. *For $d \geq 0$, we have that*

$$\tilde{p}_d(x_1, \dots, x_r) = p_d(x_1, \dots, x_r) + \sum_{i=0}^{d-1} (-1)^i \binom{r-i}{d+1-i} e_i(x_1, \dots, x_r). \quad (5.8)$$

Proof. Fix the number r of variables and write simply e_d for $e_d(x_1, \dots, x_r)$; in particular, $e_0 = 1$ and $e_d = 0$ for $d > r$. Let $e(u) := u^r - u^{r-1} e_1 + \cdots + (-1)^r e_r$, then define $\bar{p}(u) = \bar{p}_0 + \bar{p}_1 u^{-1} + \bar{p}_2 u^{-2} \cdots \in \mathbb{P}^{(r)}[h][[u^{-1}]]$ by

$$\bar{p}(u) e(u) = u \frac{e(u+h) - e(u)}{h}. \quad (5.9)$$

Here, h is a gratuitous new variable (we will only be interested in the cases $h = 0$ and $h = 1$).

Equating coefficients of u^{r-d} on both sides of (5.9) gives the identity

$$\sum_{i=0}^d (-1)^i e_i \bar{p}_{d-i} = \sum_{i=0}^d (-1)^i \binom{r-i}{d+1-i} h^{d-i} e_i. \quad (5.10)$$

The $d = 0$ case of this implies that $\bar{p}_0 = r$. Using this, the identity can be rearranged to obtain


$$\bar{p}_d = (-1)^{d-1} d e_d + \sum_{i=1}^{d-1} (-1)^{i-1} e_i \bar{p}_{d-i} + \sum_{i=0}^{d-1} (-1)^i \binom{r-i}{d+1-i} h^{d-i} e_i. \quad (5.11)$$

Now let $p(u) = p_0 + p_1 u^{-1} + \dots$ be obtained from $\bar{p}(u)$ by setting $h = 0$. By (5.9), we have that $p(u)e(u) = ue'(u)$. When we set $h = 0$ in (5.11), we obtain

$$p_d = (-1)^{d-1} d e_d + \sum_{i=1}^{d-1} (-1)^{i-1} e_i p_{d-i}. \quad (5.12)$$

This is exactly Newton's identity relating power sums to elementary symmetric polynomials, so we have that $p_d = p_d(x_1, \dots, x_r)$.

Finally, let $\tilde{p}(u) = \tilde{p}_0 + \tilde{p}_1 u^{-1} + \dots$ be obtained from $\bar{p}(u)$ by setting $h = 1$. The identity (5.9) implies that $1 + u^{-1} \tilde{p}(u) = \frac{e(u+1)}{e(u)}$. Comparing with (5.6), it follows that $\tilde{p}_d = \tilde{p}_d(x_1, \dots, x_r)$. The identity (5.11) at $h = 1$ combined with (5.12) implies (5.8). \square

The generating function (5.6) pinned to a string of thickness r can be represented diagrammatically by . In view of (4.25), Lemma 5.4 implies the relation

$$\begin{array}{c} | \\ r \end{array} + \begin{array}{c} \text{circle with } u \text{ and } u+1 \\ r \end{array} = \begin{array}{c} \text{circle with } u+1 \text{ and } u \\ r \end{array} \quad (5.13)$$

for any $r \geq 1$. Applying $\cdot \cdot$ gives also the relation

$$\begin{array}{c} | \\ r \end{array} - \begin{array}{c} \text{circle with } u \text{ and } u-1 \\ r \end{array} = \begin{array}{c} \text{circle with } u-1 \text{ and } u \\ r \end{array} \quad (5.14)$$

for $r \geq 1$. The following theorem gives some generalizations.

Theorem 5.6. *The following hold in $\mathbf{ASchur}((u^{-1}))$ for $a, b \geq 0$:*

$$\begin{aligned} (1) \quad \begin{array}{c} \text{circle with } u \text{ and } u+1 \\ a+b \end{array} &= \frac{1}{a!} \sum_{i=0}^a (-1)^{a-i} \binom{a}{i} \begin{array}{c} \text{circle with } u+1 \text{ and } u \\ a+b \end{array} \\ (2) \quad \begin{array}{c} \text{circle with } u \text{ and } u-1 \\ a+b \end{array} &= \frac{1}{b!} \sum_{i=0}^b (-1)^i \binom{b}{i} \begin{array}{c} \text{circle with } u-1 \text{ and } u \\ a+b \end{array} \end{aligned}$$

(The right hand sides here involve division by some factorials. This should be interpreted by working first over \mathbb{Z} , when the right hand side can be rewritten as a linear combination involving only integer coefficients. Then one can base change to obtain a valid formula for any \mathbb{k} .)

Proof. We prove (1). Then (2) follows by applying $\cdot \cdot$. We proceed by induction on a . The case $a = 0$ is trivial, while the $a = 1$ case follows from (5.13). For the induction step, for $a > 1$, we

have that

$$\begin{array}{c} \text{Diagram 1} \\ a \quad b \end{array} = \frac{1}{a} \begin{array}{c} \text{Diagram 2} \\ a-1 \quad u \quad b \end{array} = \frac{1}{a} \begin{array}{c} \text{Diagram 3} \\ a-1 \quad u \quad b+1 \end{array} \stackrel{(5.13)}{=} \frac{1}{a} \begin{array}{c} \text{Diagram 4} \\ a-1 \quad u \quad b+1 \end{array} - \frac{1}{a} \begin{array}{c} \text{Diagram 5} \\ a-1 \quad u \quad b+1 \end{array} = \frac{1}{a} \begin{array}{c} \text{Diagram 6} \\ a-1 \quad u+1 \quad b+1 \end{array} - \frac{1}{a} \begin{array}{c} \text{Diagram 7} \\ a-1 \quad u \quad b+1 \end{array}.$$

The two terms at the end here can now be rewritten using the induction hypothesis (with u replaced by $u+1$ for the first one). The result can then be simplified using Pascal's identity. \square

6. THE CENTER OF THE DEGENERATE AFFINE SCHUR ALGEBRA

Let $Z(\text{AS}(n, r))$ be the center of the degenerate affine Schur algebra. In this section, we prove that $Z(\text{AS}(n, r)) \cong P^{(r)}$, the algebra of symmetric polynomials in x_1, \dots, x_r , for all $n \geq 1$. We will also determine the center $Z(\mathbf{ASchur})$ of the category \mathbf{ASchur} , that is, the algebra of endomorphisms of the identity functor $\text{id}_{\mathbf{ASchur}}$, and the centers of each of the endomorphism algebras $\text{End}_{\mathbf{ASchur}}(\lambda)$.

For $\lambda \in \Lambda(n, r)$ and $f \in P^\lambda$, we start now to use the shorthand

$$f1_\lambda = 1_\lambda f := \xi_{\text{diag}(\lambda_1, \dots, \lambda_n), f} = \xi_{f, \text{diag}(\lambda_1, \dots, \lambda_n)} \in \text{End}_{\mathbf{ASchur}}(\lambda) \quad (6.1)$$

The diagram for this is just f pinned to the diagram for 1_λ , that is, n parallel vertical strings of thicknesses $\lambda_1, \dots, \lambda_n$. We use similar shorthand for $\varsigma_{\text{diag}(\lambda_1, \dots, \lambda_n), f}$ in $\text{End}_{\mathbf{ASchur}_0}(\lambda)$. The identity element of $\text{AS}(n, r)$ is $1_{n, r} := \sum_{\lambda \in \Lambda(n, r)} 1_\lambda$. For $f \in P^{(r)}$, we let

$$f1_{n, r} := \sum_{\lambda \in \Lambda(n, r)} f1_\lambda. \quad (6.2)$$

Lemma 6.1. *For any $r \geq 0$ and $f \in P^{(r)}$, there is a natural transformation $(\delta_{r, |\lambda|} f1_\lambda)_{\lambda \in \Lambda}$ in $Z(\mathbf{ASchur})$.*

Proof. Since $P^{(r)}$ is generated by elementary symmetric polynomials, it suffices to show that $(\delta_{r, |\lambda|} e_d(x_1, \dots, x_r) 1_\lambda)_{\lambda \in \Lambda}$ is a natural transformation in $Z(\mathbf{ASchur})$ for each $d \geq 1$. This follows from (5.2) and Corollary 5.2. \square

Corollary 6.2. *For $f \in P^{(r)}$ and $\lambda \in \Lambda(n, r)$, $f1_\lambda$ is central in $\text{End}_{\mathbf{ASchur}}(\lambda)$, and $f1_{n, r}$ is central in $\text{AS}(n, r)$.*

The following lemma proves [SW24b, Conj. 3.14].

Lemma 6.3. *For any $n, r \geq 0$ and $\lambda \in \Lambda(n, r)$, the map*

$$P^{(r)} \rightarrow Z(\text{End}_{\mathbf{ASchur}}(\lambda)), \quad f \mapsto f1_\lambda \quad (6.3)$$

is an algebra isomorphism.

Proof. Corollary 6.2 implies that $f1_\lambda$ ($f \in P^{(r)}$) belongs to $Z(\text{End}_{\mathbf{ASchur}}(\lambda))$, so the map makes sense. It is also clear that it is an algebra homomorphism, and its injectivity follows from Theorem 3.3. To show that it is surjective, we pass to the associated graded algebra $\text{gr } \text{End}_{\mathbf{ASchur}}(\lambda)$, which is identified with $\text{End}_{\mathbf{ASchur}_0}(\lambda)$. Since $\text{gr } Z(\text{End}_{\mathbf{ASchur}}(\lambda)) \subseteq Z(\text{End}_{\mathbf{ASchur}_0}(\lambda))$, it suffices to show that

$$Z(\text{End}_{\mathbf{ASchur}_0}(\lambda)) \subseteq \{f1_\lambda \mid f \in P^{(r)}\}.$$

Take a central element of $\text{End}_{\mathbf{ASchur}_0}(\lambda)$. It can be expressed in terms of the basis from Theorem 3.5 as

$$z = \sum_{A \in \text{Mat}(\lambda, \lambda)} \varsigma_{A, f_A}$$

Consider $A \in \text{Mat}(\lambda, \lambda)$ which is *not* a diagonal matrix. Let i be minimal such that $a_{i,j} \neq 0$ for some $j \neq i$. We then have that $a_{i,k} \neq 0$ and $a_{k',i} \neq 0$ for some $i < k, k' \leq n$. Let

$$I := \{\lambda_{<i} + 1, \dots, \lambda_{\leq i}\},$$

$$J := \prod_{j=1}^n \{\lambda_{<j} + a_{1,j} + \dots + a_{i-1,j} + 1, \dots, \lambda_{<j} + a_{1,j} + \dots + a_{i-1,j} + a_{i,j}\}.$$

Let $x_I := \prod_{i \in I} x_i \in P^\lambda$ and $x_J := \prod_{j \in J} x_j \in P^{\mu(A)}$. We have that $(x_I 1_\lambda) \varsigma_{A,f_A} = \varsigma_{A,f_A} x_J$ and $\varsigma_{A,f_A}(x_I 1_\lambda) = \varsigma_{A,f_A} x_I$. Using $(x_I 1_\lambda)z = z(x_I 1_\lambda)$, we deduce that $f_A x_I = f_A x_J$. The choice of i ensures that $x_I \neq x_J$, so it follows that $f_A = 0$.

We have now proved that $z = f 1_\lambda$ for $f \in P^\lambda$. It remains to show that in fact f belongs to $P^{(r)} \subseteq P^\lambda$. This follows if we can show that $s_k(f) = f$ for each $1 \leq k < n$ of the form $k = \lambda_{\leq i}$. Given such a k , we can choose $1 \leq i < j \leq n$ so that $k = \lambda_{\leq i}$, $\lambda_i \neq 0$, $\lambda_{i+1} = \dots = \lambda_{j-1} = 0$ and $\lambda_j \neq 0$. Let $A \in \text{Mat}(\lambda, \lambda)$ be the matrix $\text{diag}(\lambda_1, \dots, \lambda_n) + e_{i,j} + e_{j,i} - e_{i,i} - e_{j,j}$. The corresponding double coset diagram has a thin crossing between its i th and j th vertical strings. We have that $(f 1_\lambda) \xi_A = \xi_{A,s_k(f)}$ and $\xi_A(f 1_\lambda) = \xi_{A,f}$. The centrality of $f 1_\lambda$ implies that these are equal, hence, $s_k(f) = f$. \square

Theorem 6.4. *For $n \geq 1$, the map $P^{(r)} \rightarrow Z(\text{AS}(n, r)), f \mapsto f 1_{n,r}$ is an algebra isomorphism.*

Proof. Corollary 6.2 implies that $f 1_{n,r}$ is central for each $f \in P^{(r)}$, so the map is well defined. It is clearly an algebra homomorphism, and it is injective by Theorem 3.3. To show that it is surjective, take a central element $z \in Z(\text{AS}(n, r))$. Using that $1_\lambda z = z 1_\lambda$, it follows easily that $z = \sum_{\lambda \in \Lambda(n, r)} z_\lambda$ with $z_\lambda \in 1_\lambda \text{AS}(n, r) 1_\lambda$. The centrality of z in $\text{AS}(n, r)$ implies that each z_λ is central in $\text{End}_{\mathbf{ASchur}}(\lambda)$. Hence, by Lemma 6.3, we have that $z_\lambda = f_\lambda 1_\lambda$ for $f_\lambda \in P^{(r)}$. Finally, we let $\mu := (r, 0, \dots, 0) \in \Lambda(n, r)$ and $f := f_\mu \in P^{(r)}$. Then take any $\lambda \in \Lambda(n, r)$ and let A be the unique element of $\text{Mat}(\lambda, \mu)$; the basis vector ξ_A splits a single string of thickness r into strings of thicknesses $\lambda_1, \dots, \lambda_n$. Using $\xi_A z = z \xi_A$, we get that $\xi_{A,f} = \xi_{A,f_\lambda}$. Hence, $f_\lambda = f$ for all $\lambda \in \Lambda(n, r)$. This shows that $z = f 1_{n,r}$, completing the proof. \square

Corollary 6.5. *$\text{AS}(n, r)$ is free of finite rank as a module over its center.*

Proof. For $\lambda \in \Lambda(n, r)$, P^λ is a free $P^{(r)}$ -module of rank $r!/\lambda_1! \dots \lambda_n!$, so this follows from Theorems 3.3 and 6.4. \square

Corollary 6.6. *The center $Z(\mathbf{ASchur})$ of the degenerate affine Schur category is isomorphic to $\prod_{r \geq 0} P^{(r)}$ via the map sending $(f_r)_{r \geq 0} \in \prod_{r \geq 0} P^{(r)}$ to the natural transformation $(f_{|\lambda|} 1_\lambda)_{\lambda \in \Lambda}$.*

Proof. This follows from Lemma 6.1 and Theorem 6.4. \square

Remark 6.7. When $n \geq r$, Theorem 6.4 also follows from the double centralizer property Theorem 3.8 and the already known description of $Z(\text{AH}_r)$.

7. DRINFELD'S HOMOMORPHISM FROM YANGIANS TO DEGENERATE AFFINE SCHUR ALGEBRAS

The exposition in this section is based on the beautiful paper [Ara99]. An important point is that Arakawa works with a different definition of the degenerate affine Hecke algebra AH_r to us. An isomorphism from our version to his is given by mapping x_i to ϵ_i and $w \in S_r$ to $(-1)^{\ell(w)} w$. We have systematically translated the results in [Ara99] taking this additional sign twist into account, but also repeat the proof of Lemma 7.3 in order to be self-contained.

As at the end of Section 2, let V be the natural representation of $\mathfrak{g} := \mathfrak{gl}_n(\mathbb{k})$ with standard basis v_1, \dots, v_n . Let

$$P := \sum_{i,j=1}^n e_{i,j} \otimes e_{j,i} \in \text{End}_{\mathbb{k}}(V)^{\otimes 2}, \quad Q := \sum_{i,j=1}^n e_{i,j} \otimes e_{i,j} \in \text{End}_{\mathbb{k}}(V)^{\otimes 2}. \quad (7.1)$$

Note that P acts on $V \otimes V$ as the tensor flip: $P(v_i \otimes v_j) = v_j \otimes v_i$. In $\text{End}_{\mathbb{k}}(V)^{\otimes 3}$, we have that

$$P^{[2,3]}Q^{[1,3]} = Q^{[1,2]}P^{[2,3]} = Q^{[1,2]}Q^{[1,3]}, \quad P^{[2,3]}Q^{[1,2]} = Q^{[1,3]}P^{[2,3]} = Q^{[1,3]}Q^{[1,2]}. \quad (7.2)$$

Here, $P^{[2,3]}$ denotes $1 \otimes P$, that is, P in the tensor positions 2 and 3 with the identity in the first position, $Q^{[1,3]}$ denotes Q in tensor positions 1 and 3 with the identity in the second position, etc.. Using these identities and (3.1), one can check the following:

Lemma 7.1. *In the algebra $\text{End}_{\mathbb{k}}(V)^{\otimes 3} \otimes \text{AH}_2[u]$, we have that*

$$(u - x_1 + Q^{[1,2]})(u - x_2 + Q^{[1,3]})(P^{[2,3]} - s_1) = (P^{[2,3]} - s_1) \left((u - x_1 + Q^{[1,3]})(u - x_2 + Q^{[1,2]}) + s_1(Q^{[1,2]} - Q^{[1,3]}) \right).$$

(In this equation, x_1, x_2 and s_1 denote these elements of AH_2 identified with the subalgebra $1 \otimes 1 \otimes 1 \otimes \text{AH}_2$ of $\text{End}_{\mathbb{k}}(V)^{\otimes 3} \otimes \text{AH}_2[u]$ in the obvious way.)

Let $Y(\mathfrak{gl}_n)$ be the Yangian associated to \mathfrak{g} . The quickest way to define this algebra is via the RTT presentation: it has generators $T_{i,j}^{(d)}$ ($1 \leq i, j \leq n, d \geq 1$) subject to the relations

$$[T_{i,j}^{(a)}, T_{k,l}^{(b)}] = \sum_{c=0}^{\min(a,b)-1} \left(T_{i,l}^{(a+b-1-c)} T_{k,j}^{(b)} - T_{i,l}^{(c)} T_{k,j}^{(a+b-1-c)} \right) \quad (7.3)$$

for every $1 \leq i, j, k, l \leq n$ and $a, b \geq 1$, where $T_{i,j}^{(0)} := \delta_{i,j}$. These relations can be written equivalently in terms of generating functions as

$$R^{[1,2]}(u-v)T^{[1,3]}(u)T^{[2,3]}(v) = T^{[2,3]}(v)T^{[1,3]}(u)R^{[1,2]}(u-v), \quad (7.4)$$

equality in $\text{End}_{\mathbb{k}}(V)^{\otimes 2} \otimes Y(\mathfrak{gl}_n)((u^{-1}, v^{-1}))$. This needs a little more explanation; see also [MNO96] for a fuller account: the superscript notation indicates tensor positions like in the opening paragraph; the variables u and v are indeterminates; and

$$R(u) := u + P \in \text{End}_{\mathbb{k}}(V)^{\otimes 2}[u],$$

$$T_{i,j}(u) := \sum_{t \geq 0} T_{i,j}^{(t)} u^{-t} \in Y(\mathfrak{gl}_n)[[u^{-1}]], \quad T(u) := \sum_{i,j=1}^n e_{i,j} \otimes T_{i,j}(u) \in \text{End}_{\mathbb{k}}(V) \otimes Y(\mathfrak{gl}_n)[[u^{-1}]].$$

Lemma 7.2. *The Yangian $Y(\mathfrak{gl}_n)$ is generated as an algebra by the elements $T_{1,1}^{(d)}$ ($d \geq 1$) and $T_{i,j}^{(1)}$ ($1 \leq i, j \leq n$).*

Proof. By (7.3), $[T_{1,1}^{(d)}, T_{1,j}^{(1)}]$ and $[T_{i,1}^{(1)}, T_{1,1}^{(d)}]$ yield $T_{1,j}^{(d)}$ ($j > 1$) and $T_{i,1}^{(d)}$ ($i > 1$), respectively. Then $[T_{i,1}^{(d)}, T_{1,j}^{(1)}] + \delta_{i,j} T_{1,1}^{(d)}$ yields $T_{i,j}^{(d)}$ ($i, j > 1$). \square

There are some useful symmetries; see [MNO96, Prop. 1.12]:

- (Translation) For $c \in \mathbb{k}$, let $\eta_c : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_n)$ be the automorphism defined by $\eta_c(T_{i,j}(u)) = T_{i,j}(u+c)$, i.e., $\eta_c(T_{i,j}^{(d)}) = \sum_{s=0}^{d-1} \binom{d-1}{s} (-c)^s T_{i,j}^{(d-s)}$.
- (Multiplication by a power series) For $f(u) \in 1 + u^{-1}\mathbb{k}[[u^{-1}]]$, let $\mu_f : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_n)$ be the automorphism defined by $\mu_f(T_{i,j}(u)) = f(u)T_{i,j}(u)$, i.e., $\mu_f(T_{i,j}^{(d)}) = \sum_{r=0}^d a_r T_{i,j}^{(d-r)}$ if $f(u) = \sum_{r \geq 0} a_r u^{-r}$.
- (Transposition) Let $\tau : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_n)$ be the antiautomorphism of order 2 defined from $\tau(T_{i,j}(u)) = T_{j,i}(u)$, i.e., $\tau(T_{i,j}^{(t)}) = T_{j,i}^{(t)}$.
- (Inversion) Let $\omega_n : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_n)$ be the automorphism of order 2 defined from the equation $(\text{id}_{\text{End}_{\mathbb{k}}(V)} \otimes \omega_n)(T(u)) = T(-u)^{-1}$.

The Yangian is a Hopf algebra with comultiplication Δ defined by

$$(\text{id}_{\text{End}_{\mathbb{k}}(V)} \otimes \Delta)(T(u)) := T^{[1,2]}(u)T^{[1,3]}(u) \in \text{End}_{\mathbb{k}}(V) \otimes Y(\mathfrak{gl}_n)^{\otimes 2}[[u^{-1}]]. \quad (7.5)$$

Also, for any scalar c , there is the *evaluation homomorphism* $\text{ev}_c : Y(\mathfrak{gl}_n) \rightarrow \text{End}_{\mathbb{k}}(V)$ defined by

$$(\text{id}_{\text{End}_{\mathbb{k}}(V)} \otimes \text{ev}_c)(T(u)) := 1 + \frac{Q}{u - c} \in \text{End}_{\mathbb{k}}(V)^{\otimes 2}[[u^{-1}]]. \quad (7.6)$$

Evaluation homomorphisms can be defined more generally: for any algebra A and any $c \in A$ there is an algebra homomorphism $\text{ev}_c : Y(\mathfrak{gl}_n) \rightarrow \text{End}_{\mathbb{k}}(V) \otimes A$ defined by the same formula (7.6). Identifying $\text{End}_{\mathbb{k}}(V) \otimes A$ with $\text{End}_A(V \otimes A)$, this makes $V \otimes A$ into a $(Y(\mathfrak{gl}_n), A)$ -bimodule.

Now let $\Delta^{(r)} : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_n)^{\otimes r}$ be the r th iterated comultiplication. Similar to the previous paragraph, using also that $x_1, \dots, x_r \in \text{AH}_r$ commute, there is an algebra homomorphism

$$\tilde{\text{D}}_{n,r} := (\text{ev}_{x_1} \bar{\otimes} \dots \bar{\otimes} \text{ev}_{x_r}) \circ \Delta^{(r)} : Y(\mathfrak{gl}_n) \rightarrow \text{End}_{\mathbb{k}}(V)^{\otimes r} \otimes \text{AH}_r \quad (7.7)$$

defined in terms of generating functions by

$$(\text{id}_{\text{End}_{\mathbb{k}}(V)} \otimes \tilde{\text{D}}_{n,r})(T(u)) := \left(1 + \frac{Q^{[1,2]}}{u - x_1}\right) \cdots \left(1 + \frac{Q^{[1,r+1]}}{u - x_r}\right), \quad (7.8)$$

equality in $\text{End}_{\mathbb{k}}(V)^{\otimes(r+1)} \otimes \text{AH}_r[[u^{-1}]]$. The definition implies that

$$\begin{aligned} \tilde{\text{D}}_{n,r}(T_{i,j}(u)) &= \sum_{\substack{i \in I(n,r) \\ i_r = j}} \left(\delta_{i,i_1} + \frac{e_{i,i_1}^{[1]}}{u - x_1} \right) \left(\delta_{i,i_2} + \frac{e_{i,i_2}^{[2]}}{u - x_2} \right) \cdots \left(\delta_{i,i_{r-1},i_r} + \frac{e_{i,i_{r-1},i_r}^{[r]}}{u - x_r} \right) \\ &= \delta_{i,j} + \sum_{\substack{s \geq 1 \\ 1 \leq p_1 < \dots < p_s \leq r}} \sum_{\substack{i \in I(n,s) \\ i_s = j}} \frac{e_{i,i_1}^{[p_1]} e_{i,i_2}^{[p_2]} \cdots e_{i,i_{s-1},i_s}^{[p_s]}}{(u - x_{p_1}) \cdots (u - x_{p_s})} \in \text{End}_{\mathbb{k}}(V)^{\otimes r} \otimes \text{AH}_r[[u^{-1}]]. \end{aligned} \quad (7.9)$$

(7.10)

Identifying $\text{End}_{\mathbb{k}}(V)^{\otimes r} \otimes \text{AH}_r$ with $\text{End}_{\text{AH}_r}(V^{\otimes r} \otimes \text{AH}_r)$, the homomorphism $\tilde{\text{D}}_{n,r}$ makes $V^{\otimes r} \otimes \text{AH}_r$ into a $(Y(\mathfrak{gl}_n), \text{AH}_r)$ -bimodule.

Lemma 7.3. *The action of $Y(\mathfrak{gl}_n)$ on $V^{\otimes r} \otimes \text{AH}_r$ induces an action on the quotient $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$.*

Proof. In this proof, which follows [Ara99, Prop. 2], we use s_i and x_j to denote the endomorphisms of $V^{\otimes r} \otimes \text{AH}_r$ defined by left multiplication by these elements on the last tensor factor AH_r . The endomorphism of $V^{\otimes r} \otimes \text{AH}_r$ defined by the right action of s_i on $V^{\otimes r}$ is the operator $P^{[i,i+1]}$. We need to show that the subspace $\sum_{i=1}^{r-1} \text{Im}(P^{[i,i+1]} - s_i)$ is a $Y(\mathfrak{gl}_n)$ -submodule of $V^{\otimes r} \otimes \text{AH}_r$. Using (7.8), this follows if we show for $i = 1, \dots, r-1$ that

$$\frac{(u - x_1 + Q^{[1,2]}) \cdots (u - x_r + Q^{[1,r+1]})}{(u - x_1) \cdots (u - x_r)} : V^{\otimes(r+1)} \otimes \text{AH}_r[[u^{-1}]] \rightarrow V^{\otimes(r+1)} \otimes \text{AH}_r[[u^{-1}]]$$

maps $\text{Im}(P^{[i+1,i+2]} - s_i)$ into $\text{Im}(P^{[i+1,i+2]} - s_i)$. Since the coefficients of $(u - x_1) \cdots (u - x_r)$ are in the center of AH_r , we can ignore the denominator. Then the conclusion follows since

$$(u - x_1 + Q^{[1,2]}) \cdots (u - x_r + Q^{[1,r+1]}) (P^{[i+1,i+2]} - s_i) = (P^{[i+1,i+2]} - s_i) X$$

for some $X \in \text{End}_{\mathbb{k}}(V)^{\otimes(r+1)} \otimes \text{AH}_r[[u]]$ by Lemma 7.1. \square

To avoid potential confusion, we will use the notation $v \bar{\otimes} h$ to denote the image of $v \otimes h \in V^{\otimes r} \otimes \text{AH}_r$ under the quotient map $\pi : V^{\otimes r} \otimes \text{AH}_r \twoheadrightarrow V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$. We have now made both of these into $(Y(\mathfrak{gl}_n), \text{AH}_r)$ -bimodules in such a way that π is a bimodule homomorphism. Now recall from (3.13) that $\text{AS}(n, r) = \text{End}_{\text{AH}_r}(V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r)$. So the action of $Y(\mathfrak{gl}_n)$ on $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ induces an algebra homomorphism

$$\mathbf{D}_{n,r} : Y(\mathfrak{gl}_n) \rightarrow \text{AS}(n, r), \quad (7.11)$$

which we call the *Drinfeld homomorphism*. Recall also the homomorphism $\mathbf{d}_{n,r} : U(\mathfrak{gl}_n) \rightarrow S(n, r)$ from (2.13).

Lemma 7.4. *The following diagram commutes:*

$$\begin{array}{ccc} U(\mathfrak{gl}_n) & \xrightarrow{\mathbf{d}_{n,r}} & S(n, r) \\ \downarrow & & \downarrow \\ Y(\mathfrak{gl}_n) & \xrightarrow{\mathbf{D}_{n,r}} & \text{AS}(n, r). \end{array}$$

Here, the left hand vertical map is the natural embedding $e_{i,j} \mapsto T_{i,j}^{(1)}$, and the right hand vertical map is the inclusion from Corollary 3.6.

Proof. Taking u^{-1} -coefficients in (7.10) gives that $\mathbf{D}_{n,r}(T_{i,j}^{(1)}) = \sum_{p=1}^r e_{i,j}^{[p]}$. This acts in the same way as $\mathbf{d}_{n,r}(e_{i,j})$ on $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ by (2.11) and (2.14). \square

8. DIAGRAMS FOR THE DRINFELD HOMOMORPHISM

We would like to find a formula expressing the image of $T_{i,j}(u) \in Y(\mathfrak{gl}_n)[[u^{-1}]]$ under the homomorphism $\mathbf{D}_{n,r} : Y(\mathfrak{gl}_n) \rightarrow \text{AS}(n, r)$ in terms of the basis vectors $\xi_{A,f}$ of the degenerate affine Schur algebra. To do this, since the vectors $v_{\mathbf{i}^\mu} \bar{\otimes} 1$ generate $V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ as a right AH_r -module, we should think about how $T_{i,j}(u)$ acts on $v_{\mathbf{i}^\mu} \bar{\otimes} 1 \in V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$ for $\mu \in \Lambda(n, r)$. By the definition of the action, this is the image of $T_{i,j}(u)(v_{\mathbf{i}^\mu} \otimes 1) \in V^{\otimes r} \otimes \text{AH}_r$ under the quotient map $\pi : V^{\otimes r} \otimes \text{AH}_r \twoheadrightarrow V^{\otimes r} \otimes_{\mathbb{k}S_r} \text{AH}_r$, $v \otimes h \mapsto v \bar{\otimes} h$.

Recall that $e_{i,j}^{[p]} (1 \leq i, j \leq n, 1 \leq p \leq r)$ denotes the endomorphism of $V^{\otimes r} \otimes \text{AH}_r$ that is $e_{i,j}$ acting on the p th tensor position, and elements of AH_r are viewed as endomorphisms of this \mathbb{k} -module acting by left multiplication on AH_r in the last tensor factor. For $1 \leq a, b \leq r$, let

$$e_{i,j}^{[a,b]}(u) := \begin{cases} \sum_{p=a}^b \frac{e_{i,j}^{[p]}}{u - x_p} \left(1 + \frac{1}{u - x_{p+1}}\right) \cdots \left(1 + \frac{1}{u - x_b}\right) & \text{if } i \neq j \\ \left(1 + \frac{1}{u - x_a}\right) \left(1 + \frac{1}{u - x_{a+1}}\right) \cdots \left(1 + \frac{1}{u - x_b}\right) & \text{if } i = j. \end{cases} \quad (8.1)$$

This is $\delta_{i,j}$ if $a > b$.

Lemma 8.1. *Let $\mathbf{i} = \mathbf{i}^\mu$ for $\mu \in \Lambda(n, r)$. Let $a_j := \mu_{<j} + 1$ and $b_j := \mu_{\leq j}$; when $\mu_j > 0$, these index the first and last entries that equal j in the increasing multi-index \mathbf{i} , respectively. For $1 \leq i, j \leq n$, $T_{i,j}(u)$ acts on $v_{\mathbf{i}} \otimes 1$ in the same way as*

$$\sum_{\substack{t \geq 1 \\ 1 \leq j_1 < \cdots < j_t = j \\ i \geq j_1}} e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) e_{j_1, j_2}^{[a_{j_2}, b_{j_2}]}(u) \cdots e_{j_{t-1}, j_t}^{[a_{j_t}, b_{j_t}]}(u). \quad (8.2)$$

Proof. We first show that the expression (8.2) equals

$$\delta_{i,j} + \sum_{\substack{t \geq 1 \\ 1 \leq j_1 < \dots < j_t = j}} \left(e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) - \delta_{i,j_1} \right) \prod_{k=2}^t e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u). \quad (8.3)$$

To see this, (8.3) is equal to $\delta_{i,j} + A + B + C$ where A , B and C are the sums of the terms of the summation with $i = j_1$, $i > j_1$ and $i < j_1$, respectively. We have that $\delta_{i,j} + A = A_1 - A_2$ where

$$A_1 = \sum_{\substack{t \geq 1 \\ 1 \leq j_1 < \dots < j_t = j \\ i = j_1}} e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) \prod_{k=2}^t e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u), \quad A_2 = \sum_{\substack{t \geq 2 \\ 1 \leq j_1 < \dots < j_t = j \\ i = j_1}} e_{i,j_2}^{[a_{j_2}, b_{j_2}]}(u) \prod_{k=3}^t e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u).$$

Also

$$B = \sum_{\substack{t \geq 1 \\ 1 \leq j_1 < \dots < j_t = j \\ i > j_1}} e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) \prod_{k=2}^t e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u), \quad C = \sum_{\substack{t \geq 1 \\ 1 \leq j_1 < \dots < j_t = j \\ i < j_1}} e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) \prod_{k=2}^t e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u).$$

It remains to observe that $A_1 + B$ is equal to (8.2) and $A_2 = C$.

By (7.10), $T_{i,j}(u)$ acts on $v_i \otimes 1$ in the same way as

$$\delta_{i,j} + \sum_{\substack{s \geq 1 \\ 1 \leq p_1 < \dots < p_s \leq r \\ i_{p_s} = j}} \frac{e_{i,i_{p_1}}^{[p_1]} e_{i_{p_1}, i_{p_2}}^{[p_2]} \dots e_{i_{p_{s-1}}, i_{p_s}}^{[p_s]}}{(u - x_{p_1}) \dots (u - x_{p_s})}. \quad (8.4)$$

Using the claim established in the previous paragraph, to complete the proof, it suffices to show that the expressions (8.4) and (8.3) act on $v_i \otimes 1$ in the same way. For $s \geq 1$ and $1 \leq p_1 < \dots < p_s \leq r$ with $i_{p_s} = j$, we have that $\{i_{p_1}, \dots, i_{p_s}\} = \{j_1, \dots, j_t\}$ for unique $t \geq 1$ and $1 \leq j_1 < \dots < j_t = j$. Consequently, the proof reduces further to showing for any $t \geq 1$ and $1 \leq j_1 < \dots < j_t = j$ that

$$\sum_{\substack{s \geq 1 \\ 1 \leq p_1 < \dots < p_s \leq r \\ \{i_{p_1}, \dots, i_{p_s}\} = \{j_1, \dots, j_t\}}} \frac{e_{i,i_{p_1}}^{[p_1]} e_{i_{p_1}, i_{p_2}}^{[p_2]} \dots e_{i_{p_{s-1}}, i_{p_s}}^{[p_s]}}{(u - x_{p_1}) \dots (u - x_{p_s})} (v_i \otimes 1) = \left(e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) - \delta_{i,j_1} \right) \prod_{k=2}^t e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u) (v_i \otimes 1). \quad (8.5)$$

It remains to prove (8.5). Each $e_{i_{p_{q-1}}, i_{p_q}}^{[p_q]}$ on the left hand side with $i_{p_{q-1}} = i_{p_q}$ acts as the identity on $v_i \otimes 1$, so these terms can be omitted. Then we factor to see that the left hand side equals

$$\left(\sum_{\substack{s \geq 1 \\ 1 \leq p_1 < \dots < p_s \leq r \\ i_{p_1} = \dots = i_{p_s} = j_1}} \frac{e_{i,j_1}^{[p_1]}}{(u - x_{p_1}) \dots (u - x_{p_s})} \right) \times \prod_{k=2}^t \left(\sum_{\substack{s \geq 1 \\ 1 \leq p_1 < \dots < p_s \leq r \\ i_{p_1} = \dots = i_{p_s} = j_k}} \frac{e_{j_{k-1}, j_k}^{[p_k]}}{(u - x_{p_1}) \dots (u - x_{p_s})} \right) (v_i \otimes 1).$$

The expression in the first big bracket here is equal to

$$\begin{cases} \sum_{\substack{s \geq 1 \\ a_{j_1} \leq p_1 < \dots < p_s \leq b_{j_1}}} \frac{e_{i,j_1}^{[p_1]}}{u - x_{p_1}} \frac{1}{(u - x_{p_2}) \cdots (u - x_{p_s})} & \text{if } i \neq j_1 \\ \sum_{\substack{s \geq 1 \\ a_{j_1} \leq p_1 < \dots < p_s \leq b_{j_1}}} \frac{1}{(u - x_{p_1}) \cdots (u - x_{p_s})} & \text{if } i = j_1, \end{cases}$$

which is equal to $e_{i,j_1}^{[a_{j_1}, b_{j_1}]}(u) - \delta_{i,j_1}$ by (5.7). Similarly, the k th big bracket in the product is equal to $e_{j_{k-1}, j_k}^{[a_{j_k}, b_{j_k}]}(u)$. This shows that the left hand side of (8.5) equals the right hand side. \square

Lemma 8.2. *Suppose that $1 \leq i, j \leq n$ and $\mu \in \Lambda(n, r)$ with $\mu_j > 0$. Let $a := \mu_{<j} + 1$ and $b := \mu_{\leq j}$. The following hold for any $\mathbf{i} \in I(n, r)$ with $i_a = i_{a+1} = \dots = i_b = j$:*

(1) *If $i < j$ then*

$$\pi \left(e_{i,j}^{[a,b]}(u)(v_{\mathbf{i}} \otimes 1) \right) = \sum_{d \in (S_\nu \setminus S_\mu)_{\min}} v_{\mathbf{h}} \bar{\otimes} \frac{1}{u - x_a} d$$

where $v_{\mathbf{h}} := e_{i,j}^{[a]} v_{\mathbf{i}}$ and $\nu := (\mu_1, \dots, \mu_{j-1}, 1, \mu_j - 1, \mu_{j+1}, \dots, \mu_r)$.

(2) *If $i = j$ then*

$$\pi \left(e_{i,j}^{[a,b]}(u)(v_{\mathbf{i}} \otimes 1) \right) = v_{\mathbf{i}} \bar{\otimes} \prod_{p=a}^b \left(1 + \frac{1}{u - x_p} \right).$$

(3) *If $i > j$ then*

$$\pi \left(e_{i,j}^{[a,b]}(u)(v_{\mathbf{i}} \otimes 1) \right) = \sum_{d \in (S_\nu \setminus S_\mu)_{\min}} v_{\mathbf{h}} \bar{\otimes} \left[\prod_{p=a}^{b-1} \left(1 + \frac{1}{u - x_p} \right) \right] \frac{1}{u - x_b} d$$

where $v_{\mathbf{h}} := e_{i,j}^{[b]} v_{\mathbf{i}}$ and $\nu := (\mu_1, \dots, \mu_{j-1}, \mu_j - 1, 1, \mu_{j+1}, \dots, \mu_r)$.

Proof. (1) Note that the sum $\sum_{d \in (S_\nu \setminus S_\mu)_{\min}} d$ is $\sum_{p=a}^b s_a s_{a+1} \cdots s_{p-1}$. From the definition (8.1), it is easy to see that

$$\pi \left(e_{i,j}^{[a,b]}(u)(v_{\mathbf{i}} \otimes 1) \right) = v_{\mathbf{h}} \bar{\otimes} \sum_{p=a}^b s_a s_{a+1} \cdots s_{p-1} \frac{1}{u - x_p} \left(1 + \frac{1}{u - x_{p+1}} \right) \cdots \left(1 + \frac{1}{u - x_b} \right) \quad (8.6)$$

where $v_{\mathbf{h}} := e_{i,j}^{[a]} v_{\mathbf{i}}$. To complete the proof, we use induction on $(b - a)$ to show for any \mathbf{h} such that $h_{a+1} = \dots = h_b$ that the right hand side of (8.6) equals

$$v_{\mathbf{h}} \bar{\otimes} \frac{1}{u - x_a} \sum_{p=a}^b s_a s_{a+1} \cdots s_{p-1}. \quad (8.7)$$

The case $a = b$ is trivial. For the induction step, we have by induction that

$$\begin{aligned} v_{\mathbf{h} \cdot s_a} \bar{\otimes} \sum_{p=a+1}^b s_{a+1} s_{a+2} \cdots s_{p-1} \frac{1}{u - x_p} \left(1 + \frac{1}{u - x_{p+1}} \right) \cdots \left(1 + \frac{1}{u - x_b} \right) = \\ v_{\mathbf{h} \cdot s_a} \bar{\otimes} \frac{1}{u - x_{a+1}} \sum_{p=a+1}^b s_{a+1} s_{a+2} \cdots s_{p-1}. \end{aligned}$$

Using this, we deduce that (8.6) equals

$$v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a} \left(1 + \frac{1}{u-x_{a+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right) + v_{\mathbf{h}} \bar{\otimes} s_a \frac{1}{u-x_{a+1}} \sum_{p=a+1}^b s_{a+1} s_{a+2} \cdots s_{p-1}.$$

Then we use the commutation relation $s_a \frac{1}{u-x_{a+1}} = \frac{1}{u-x_a} s_a - \frac{1}{u-x_a} \frac{1}{u-x_{a+1}}$, which may be proved using (3.2), to deduce that this equals

$$\begin{aligned} v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a} \left(1 + \frac{1}{u-x_{a+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right) + v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a} \sum_{p=a+1}^b s_a s_{a+1} \cdots s_{p-1} \\ - v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a} \frac{1}{u-x_{a+1}} \sum_{p=a+1}^b s_{a+1} \cdots s_{p-1}. \end{aligned}$$

We apply the induction hypothesis to rewrite the third term in this expression to obtain

$$\begin{aligned} v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a} \left(1 + \frac{1}{u-x_{a+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right) + v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a} \sum_{p=a+1}^b s_a s_{a+1} \cdots s_{p-1} \\ - v_{\mathbf{h}} \bar{\otimes} \sum_{p=a+1}^b s_{a+1} \cdots s_{p-1} \frac{1}{u-x_a} \frac{1}{u-x_p} \left(1 + \frac{1}{u-x_{p+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right). \quad (8.8) \end{aligned}$$

In the third term of (8.8), the word $s_{a+1} \cdots s_{p-1}$ fixes $v_{\mathbf{h}}$, so it can be removed. Then the first and third terms of (8.8) together give $v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a}$ multiplied on the right by

$$\left(1 + \frac{1}{u-x_{a+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right) - \sum_{p=a+1}^b \frac{1}{u-x_p} \left(1 + \frac{1}{u-x_{p+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right),$$

which is simply equal to 1 by (5.7). Thus, we have $v_{\mathbf{h}} \bar{\otimes} \frac{1}{u-x_a}$ plus the second term of (8.8), which is the required (8.7).

(2) This is obvious from (8.1).

(3) This is proved in a similar way to (1). The counterpart of (8.6) when $i > j$ is

$$\pi \left(e_{i,j}^{[a,b]}(u)(v_i \otimes 1) \right) = v_{\mathbf{h}} \bar{\otimes} \sum_{p=a}^b s_{b-1} s_{b-2} \cdots s_p \frac{1}{u-x_p} \left(1 + \frac{1}{u-x_{p+1}}\right) \cdots \left(1 + \frac{1}{u-x_b}\right) \quad (8.9)$$

where $v_{\mathbf{h}} := e_{i,j}^{[b]} v_i$. We need to show that the right hand side of this equation equals

$$v_{\mathbf{h}} \bar{\otimes} \left(1 + \frac{1}{u-x_a}\right) \cdots \left(1 + \frac{1}{u-x_{b-1}}\right) \frac{1}{u-x_b} \sum_{p=a}^b s_{b-1} s_{b-2} \cdots s_p. \quad (8.10)$$

This follows by an induction argument like in the proof of (1). \square

Theorem 8.3. *For $1 \leq i, j \leq n$, we have that*

$$\mathbb{D}_{n,r}(T_{i,j}(u)) = \sum_{\substack{t \geq 1 \\ 1 \leq j_1 < \cdots < j_t = j \\ i \geq j_1}} \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_{j_1} > 0 \text{ if } i \neq j_1 \\ \mu_{j_2}, \dots, \mu_{j_t} > 0}} \xi_{A_{\mu}[i \geq j_1 < \cdots < j_t], f_{\mu}[i \geq j_1 < \cdots < j_t]} \quad (8.11)$$

where $A_\mu(i \geq j_1 < \dots < j_t) \in \text{Mat}(\mu + \varepsilon_i - \varepsilon_j, \mu)$ is the $n \times n$ matrix

$$\text{diag}(\mu_1, \dots, \mu_n) + (e_{i,j_1} - e_{j_1,j_1}) + \sum_{k=2}^t (e_{j_{k-1},j_k} - e_{j_k,j_k}),$$

and $f_\mu(i \geq j_1 < \dots < j_t) \in \mathbb{P}_r$ is the polynomial

$$\begin{cases} \left[\prod_{p=a_{j_1}}^{b_{j_1}-1} \left(1 + \frac{1}{u - x_p} \right) \right] \frac{1}{u - x_{b_{j_1}}} \left[\prod_{k=2}^t \frac{1}{u - x_{a_{j_k}}} \right] & \text{if } i > j_1 \\ \left[\prod_{p=a_{j_1}}^{b_{j_1}} \left(1 + \frac{1}{u - x_p} \right) \right] \left[\prod_{k=2}^t \frac{1}{u - x_{a_{j_k}}} \right] & \text{if } i = j_1 \end{cases}$$

for $a_j := \mu_{<j} + 1$ and $b_j := \mu_{\leq j}$.

Before we give the proof, we explain how to work with the formula in Theorem 8.3 diagrammatically, using the notation from (5.1). Let μ and $[i \geq j_1 < \dots < j_t]$ be as in (8.11). The double coset diagram for the matrix $A_\mu[i \geq j_1 < \dots < j_t]$ in Theorem 8.3 has vertical strings of thickness μ_1, \dots, μ_n at the bottom and thickness $\mu_1, \dots, \mu_i + 1, \dots, \mu_j - 1, \dots, \mu_n$ at the top. When $i > j_1$, there is a propagating string $/$ of thickness 1 from the i th vertical string at the top to the j_1 th one at the bottom, and there are propagating strings \backslash of thickness 1 from the j_k th vertical string at the top to the j_{k+1} th one at the bottom for $k = 1, \dots, t-1$. Then the string diagram for the morphism $\xi_{A_\mu[i \geq j_1 < \dots < j_t], f_\mu[i \geq j_1 < \dots < j_t]}$ is obtained from this by adding a label \bullet_u to each of the non-vertical propagating strings, and the labels $\overset{u+1}{\bullet_u}$ on the i th vertical string. These labels should be placed below all of the merges and crossings and above all of the splits.

Example 8.4. When $n = 1$, the Drinfeld homomorphism $D_{1,r} : Y(\mathfrak{gl}_1) \rightarrow \text{AS}(1, r)$ maps

$$T_{1,1}(u) \mapsto \begin{array}{c} [1 \geq 1] \\ \downarrow \\ \overset{u+1}{\bullet_u} \\ \downarrow \\ r \end{array}.$$

When $n = 2$, the homomorphism $D_{2,r} : Y(\mathfrak{gl}_2) \rightarrow \text{AS}(2, r)$ maps

$$\begin{aligned} T_{1,1}(u) &\mapsto \sum_{\mu \in \Lambda(2,r)} \begin{array}{c} [1 \geq 1] \\ \downarrow \\ \overset{u+1}{\bullet_u} \\ \downarrow \\ \mu_1 \quad \mu_2 \end{array}, & T_{1,2}(u) &\mapsto \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \begin{array}{c} [1 \geq 1 < 2] \\ \downarrow \\ \overset{u+1}{\bullet_u} \quad \bullet_u \\ \downarrow \\ \mu_1 \quad \mu_2 \end{array}, \\ T_{2,1}(u) &\mapsto \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1 > 0}} \begin{array}{c} (2 \geq 1) \\ \downarrow \\ \overset{u+1}{\bullet_u} \quad \bullet_u \\ \downarrow \\ \mu_1 \quad \mu_2 \end{array}, & T_{2,2}(u) &\mapsto \sum_{\mu \in \Lambda(2,r)} \begin{array}{c} [2 \geq 2] \\ \downarrow \\ \overset{u+1}{\bullet_u} \\ \downarrow \\ \mu_1 \quad \mu_2 \end{array} + \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \begin{array}{c} [2 \geq 1 < 2] \\ \downarrow \\ \overset{u+1}{\bullet_u} \quad \bullet_u \quad \bullet_u \\ \downarrow \\ \mu_1 \quad \mu_2 \end{array}. \end{aligned}$$

Also, when $n = 3$, the homomorphism $D_{3,r} : Y(\mathfrak{gl}_3) \rightarrow \text{AS}(3, r)$ maps

$$\begin{aligned} T_{3,1}(u) &\mapsto \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_1 > 0}} \begin{array}{c} [3 \geq 1] \\ \downarrow \\ \overset{u+1}{\bullet_u} \quad \bullet_u \quad \bullet_u \\ \downarrow \\ \mu_1 \quad \mu_2 \quad \mu_3 \end{array}, & T_{1,3}(u) &\mapsto \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_3 > 0}} \begin{array}{c} [1 \geq 1 < 3] \\ \downarrow \\ \overset{u+1}{\bullet_u} \quad \bullet_u \quad \bullet_u \\ \downarrow \\ \mu_1 \quad \mu_2 \quad \mu_3 \end{array} + \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_2, \mu_3 > 0}} \begin{array}{c} [1 \geq 1 < 2 < 3] \\ \downarrow \\ \overset{u+1}{\bullet_u} \quad \bullet_u \quad \bullet_u \quad \bullet_u \\ \downarrow \\ \mu_1 \quad \mu_2 \quad \mu_3 \end{array}. \end{aligned}$$

(We have also written the label $[i \geq j_1 < \dots < j_t]$ from Theorem 8.3 above each diagram.)

Proof of Theorem 8.3. It suffices to show that the left and right hand sides of (8.11) act in the same way on $v_{\mathbf{i}} i \bar{\otimes} 1$ for each $\mu \in \Lambda(n, r)$. We fix such a choice of μ and \mathbf{i} from now on and let $\mathbf{i} := \mathbf{i}^\mu$, $a_j := \mu_{<j} + 1$ and $b_j := \mu_{\leq j}$ as in Lemma 8.1. Note that both (8.2) and (8.11) involve the same summation over $t \geq 1$ and $1 \leq j_1 < \dots < j_t = j$ with $i \geq j_1$. Also $e_{i, j_1}^{[a_{j_1}, b_{j_1}]} e_{j_1, j_2}^{[a_{j_2}, b_{j_2}]} \dots e_{j_{t-1}, j_t}^{[a_{j_t}, b_{j_t}]} (v_{\mathbf{i}} \otimes 1) = 0$ if $\mu_{j_1} = 0$ and $i \neq j_1$ or if any of $\mu_{j_2}, \dots, \mu_{j_t}$ are equal to 0. Consequently, applying Lemma 8.1, the theorem follows if we can show that

$$\pi(e_{i, j_1}^{[a_{j_1}, b_{j_1}]} e_{j_1, j_2}^{[a_{j_2}, b_{j_2}]} \dots e_{j_{t-1}, j_t}^{[a_{j_t}, b_{j_t}]} (v_{\mathbf{i}} \otimes 1)) = \xi_{A_\mu[i \geq j_1 < \dots < j_t], f_\mu[i \geq j_1 < \dots < j_t]} (v_{\mathbf{i}} \bar{\otimes} 1), \quad (8.12)$$

for $t \geq 1$ and $1 \leq j_1 < \dots < j_t = j$ such that $i \geq j_1$, $\mu_{j_1} > 0$ if $i \neq j_1$, and $\mu_{j_1}, \dots, \mu_{j_t} > 0$.

To prove (8.12), let $\lambda := \mu + \varepsilon_i - \varepsilon_j$, $A := A_\mu[i \geq j_1 < \dots < j_t] \in \text{Mat}(\lambda, \mu)$ and $f := f_\mu[i \geq j_1 < \dots < j_t]$. As in Example 4.4, we have that $\xi_{A, f} = \alpha \circ \beta \circ \gamma \circ \delta$ where $\delta \in 1_{\mu(A)} \text{AS}(n, r) 1_\mu$ is defined by the bottom horizontal strip of the string diagram of $\xi_{A, f}$, i.e., the splits, $\gamma \in 1_{\mu(A)} \text{AS}(n, r) 1_{\mu(A)}$ is defined by the next horizontal strip up, i.e., the pin labelled by f , $\beta \in 1_{\lambda(A)} \text{AS}(n, r) 1_{\mu(A)}$ comes from the strip above that, i.e., the crossings of propagating strings, and $\alpha \in 1_\lambda \text{AS}(n, r) 1_{\lambda(A)}$ is defined by the top horizontal strip, i.e., the merges. Similarly to Example 4.4, we have that

$$\delta \circ \gamma(v_{\mathbf{i}} \bar{\otimes} 1) = \sum_{d \in (S_\mu / S_{\mu(A)})_{\min}} v_{\mathbf{i}^{\mu(A)}} \bar{\otimes} f d.$$

By the definitions, we have that

$$\alpha \circ \beta(v_{\mathbf{i}^{\mu(A)}} \bar{\otimes} 1) = v_{\mathbf{h}} \bar{\otimes} 1$$

for $\mathbf{h} \in I(n, r)$ defined so that $v_{\mathbf{h}} = e_{i, j_1}^{[a_{j_1}]} e_{j_1, j_2}^{[a_{j_2}]} \dots e_{j_{t-1}, j_t}^{[a_{j_t}]} v_{\mathbf{i}}$. Hence, the right hand side of (8.12) is equal to

$$\sum_{d \in (S_\mu / S_{\mu(A)})_{\min}} v_{\mathbf{h}} \bar{\otimes} f d.$$

This is equal to the left hand side of (8.12) by Lemma 8.2. \square

Corollary 8.5. *The following diagram commutes*

$$\begin{array}{ccc} Y(\mathfrak{gl}_n) & \xrightarrow{\tau} & Y(\mathfrak{gl}_n) \\ \text{D}_{n,r} \downarrow & & \downarrow \text{D}_{n,r} \\ \text{AS}(n, r) & \xrightarrow{\div} & \text{AS}(n, r). \end{array}$$

Proof. By Lemma 7.2, it suffices to check that $\text{D}_{n,r}(\tau(X)) = \text{D}_{n,r}(X)^\div$ just for $X = T_{1,1}(u)$ and for $X = T_{i,j}^{(1)}$. When $X = T_{1,1}(u)$, this follows from the form of (8.11). When $X = T_{i,j}^{(1)}$, it follows using (2.14) and Lemma 7.4. \square

Remark 8.6. Using Corollary 8.5 and Theorem 8.3, one obtains another formula describing $\text{D}_{n,r}$ on the RTT generators: we have that

$$\text{D}_{n,r}(T_{i,j}(u)) = \sum_{\substack{t \geq 1 \\ i = i_1 > \dots > i_t \geq 1 \\ i_t \leq j}} \sum_{\substack{\mu \in \Lambda(n, r) \\ \mu_{i_1}, \dots, \mu_{i_{t-1}} > 0 \\ \mu_t > 0 \text{ if } i \neq j_t}} \xi_{f_\mu[i_1 > \dots > i_t \leq j], A_\mu[i_1 > \dots > i_t \leq j]} \quad (8.13)$$

where $A_\mu[i_1 > \dots > i_t \leq j] \in \text{Mat}(\mu + \varepsilon_i - \varepsilon_j, \mu)$ is the $n \times n$ matrix

$$\text{diag}(\mu_1, \dots, \mu_n) + \sum_{k=1}^{t-1} (e_{i_k, i_{k+1}} - e_{i_k, i_k}) + (e_{i_t, j} - e_{i_t, i_t}),$$

and $f_\mu[i_1 > \dots > i_t \leq j] \in P_r$ is the polynomial

$$\begin{cases} \left[\prod_{k=1}^{t-1} \frac{1}{u - x_{a_{i_k}}} \right] \left[\prod_{p=a_{i_t}}^{b_{i_t}-1} \left(1 + \frac{1}{u - x_p} \right) \right] \frac{1}{u - x_{b_{i_t}}} & \text{if } i_t < j \\ \left[\prod_{k=1}^{t-1} \frac{1}{u - x_{a_{i_k}}} \right] \left[\prod_{p=a_{i_t}}^{b_{i_t}} \left(1 + \frac{1}{u - x_p} \right) \right] & \text{if } i_t = j \end{cases}$$

for $a_i := \mu_{<i} + 1$ and $b_i := \mu_{\leq i}$. For example, $D_{3,r} : Y(\mathfrak{gl}_3) \rightarrow \text{AS}(3, r)$ maps

$$T_{3,1}(u) \mapsto \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_1 > 0}} \text{diagram} + \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_1 > 0, \mu_2 > 0}} \text{diagram}, \quad T_{1,3}(u) \mapsto \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_3 > 0}} \text{diagram}.$$

The diagrams are string diagrams with three vertical lines labeled μ_1, μ_2, μ_3 at the bottom. The first diagram for $T_{3,1}(u)$ has a blue label $[3 > 1 \leq 1]$ and a black dot on the first line with a loop labeled $u+1$. The second diagram has a blue label $[3 > 2 > 1 \leq 1]$ and two black dots on the first and second lines, each with a loop labeled $u+1$. The third diagram for $T_{1,3}(u)$ has a blue label $[1 \leq 3]$ and a black dot on the first line with a loop labeled $u+1$.

(The expressions in this example can also be derived directly from the ones for $T_{3,1}(u)$ and $T_{1,3}(u)$ from Example 8.4 using Corollary 5.3.)

Take $m \geq 0$. Tensoring with the object (m) either on the right or the left defines \mathbb{k} -linear functors $- * (m) : \mathbf{ASchur} \rightarrow \mathbf{ASchur}$ and $(m) * - : \mathbf{ASchur} \rightarrow \mathbf{ASchur}$. On string diagrams, $- * (m)$ adds a vertical string of thickness m on the right hand side, and $(m) * -$ adds such a string on the left hand side. Recalling that $\text{AS}(n, r)$ is the path algebra of the full subcategory of \mathbf{ASchur} with object set $\Lambda(n, r)$, these functors induce a pair of algebra homomorphisms

$$\varphi_m : \text{AS}(n, r) \rightarrow \text{AS}(n+1, r+m), \quad \xi_{A,f} \mapsto \xi_{\text{diag}(A, (m)), f \otimes 1}, \quad (8.14)$$

$$\psi_m : \text{AS}(n, r) \rightarrow \text{AS}(n+1, m+r), \quad \xi_{A,f} \mapsto \xi_{\text{diag}((m), A), 1 \otimes f}. \quad (8.15)$$

There are also homomorphisms

$$\varphi : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_{n+1}), \quad \psi : Y(\mathfrak{gl}_n) \rightarrow Y(\mathfrak{gl}_{n+1}). \quad (8.16)$$

The first is the natural embedding taking $T_{i,j}(u)$ to $T_{i,j}(u)$ for $1 \leq i, j \leq n$. The second is defined in [NT98b] by the formula

$$\psi := \omega_{n+1} \circ \varphi \circ \omega_n. \quad (8.17)$$

Because of this formula, we sometimes call ψ the *unnatural* embedding. By [BK05, Lem. 4.2], we have that

$$\psi(T_{i,j}(u)) = T_{i+1,j+1}(u) - T_{i+1,1}(u)T_{1,1}(u)^{-1}T_{1,j+1}(u). \quad (8.18)$$

The following theorem explains a sense in which φ corresponds to φ_m and ψ corresponds to ψ_m . For the statement, recall from the previous section that η_{-1} is the shift automorphism mapping $T_{i,j}(u)$ to $T_{i,j}(u-1)$.

Lemma 8.7. *For any $m, n, r \geq 1$, the following diagrams commute*

$$\begin{array}{ccc} Y(\mathfrak{gl}_n) & \xrightarrow{\varphi} & Y(\mathfrak{gl}_{n+1}) \\ \mathcal{D}_{n,r} \downarrow & & \downarrow \mathcal{D}_{n+1,r+m} \\ \text{AS}(n, r) & \xrightarrow{\varphi_m} & \text{AS}(n+1, r+m) \end{array} \quad , \quad \begin{array}{ccc} Y(\mathfrak{gl}_n) & \xrightarrow{\psi \circ \eta_{-1}} & Y(\mathfrak{gl}_{n+1}) \\ \mathcal{D}_{n,r} \downarrow & & \downarrow \mathcal{D}_{n+1,m+r} \\ \text{AS}(n, r) & \xrightarrow{\psi_m} & \text{AS}(n+1, m+r) \end{array}.$$

Proof. The commutativity of the first diagram follows because the formula for $\mathcal{D}_{n,r}(T_{i,j}(u))$ from (8.11) only involves $j_1, \dots, j_t \leq \min(i, j)$, i.e., it is the same for any $n \geq \max(i, j)$.

To prove that the second diagram commutes, in view of Lemma 7.2, it suffices to show that

$$\mathcal{D}_{n+1,m+r} \circ \psi \circ \eta_{-1}(X) = \psi_m \circ \mathcal{D}_{n,r}(X) \quad (8.19)$$

for $X = T_{1,1}^{(d)}$ ($d \geq 1$) and for $X = T_{i,j}^{(1)}$ ($1 \leq i, j \leq n$). To check the equality (8.19) for $X = T_{i,j}^{(1)}$, we have that $D_{n+1,m+r} \circ \psi \circ \eta_{-1}(T_{i,j}^{(1)}) = D_{n+1,m+r}(T_{i+1,j+1}^{(1)}) = d_{n+1,m+r}(e_{i+1,j+1})$ and $\psi_m \circ D_{n,r}(T_{i,j}^{(1)}) = \psi_m(d_{n+1,m+r}(e_{i,j}))$, using Lemma 7.4. These are easily seen to be equal by (2.14). It remains to check the equality (8.19) when $X = T_{1,1}^{(d)}$ ($d \geq 1$). Using the commutativity of the first diagram, this follows if we can show that

$$D_{2,m+r} \circ \psi \circ \eta_{-1}(T_{1,1}(u)) = \psi_m \circ D_{1,r}(T_{1,1}(u)).$$

By (8.18), the left hand side is $D_{2,m+r}(T_{2,2}(u-1) - T_{2,1}(u-1)T_{1,1}(u-1)^{-1}T_{1,2}(u-1))$. Using the information in Example 8.4, we are reduced to showing that

$$\sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \left(\text{Diagram 1} \right) - \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 2} \right) = \sum_{\mu \in \Lambda(2,r)} \left(\text{Diagram 3} \right) - \sum_{\mu \in \Lambda(2,r)} \left(\text{Diagram 4} \right). \quad (8.20)$$

Using the coproduct relations (5.2) and (5.3), then the $r = 1$ case of Corollary 5.3(1), then (5.14), the first term on the left hand side of (8.20) equals

$$\begin{aligned} \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \left(\text{Diagram 1} \right) &= \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \left(\text{Diagram 2} \right) + \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \left(\text{Diagram 3} \right) \\ &= \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \left(\text{Diagram 4} \right) + \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 5} \right) - \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 6} \right). \end{aligned}$$

Using the coproduct relations then the merge-split relation (4.13), the second term on the left hand side of (8.20) equals

$$\sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 1} \right) = \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_1, \mu_2 > 0}} \left(\text{Diagram 2} \right) + \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 3} \right).$$

Subtracting gives that the left hand side of (8.20) equals

$$- \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 1} \right) = \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 2} \right) - \sum_{\substack{\mu \in \Lambda(2,r) \\ \mu_2 > 0}} \left(\text{Diagram 3} \right).$$

For the equality here, we used that $-\frac{1}{(u-x)(u-1-x)} = \frac{1}{u-x} - \frac{1}{u-1-x}$. This is equal to the right hand side of (8.20) thanks to (5.13). \square

Now we switch from the RTT generators $T_{i,j}^{(d)}$ for the Yangian to the Drinfeld generators $D_i^{(d)}$ ($d \geq 0, i = 1, \dots, n$) and $E_i^{(d)}, F_i^{(d)}$ ($d \geq 1, i = 1, \dots, n-1$). These generate $Y(\mathfrak{gl}_n)$ subject to relations which are recorded⁴ in [BT18, Th. 4.3]. We briefly recall their definition following

⁴We cite this relatively recent paper because the version of the relations recorded there are valid even if $2 = 0$ in the ground ring \mathbb{k} .

[BK05, Sec. 5]: since the leading minors of the matrix $T(u)$ are invertible, it possesses a Gauss factorization

$$T(u) = F(u)D(u)E(u) \quad (8.21)$$

for unique matrices

$$D(u) = \begin{pmatrix} D_1(u) & 0 & \cdots & 0 \\ 0 & D_2(u) & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & D_n(u) \end{pmatrix},$$

$$E(u) = \begin{pmatrix} 1 & E_{1,2}(u) & \cdots & E_{1,n}(u) \\ 0 & 1 & \cdots & E_{2,n}(u) \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 \end{pmatrix}, \quad F(u) = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ F_{1,2}(u) & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ F_{1,n}(u) & F_{2,n}(u) & \cdots & 1 \end{pmatrix}.$$

This defines the formal power series $D_i(u) = \sum_{d \geq 0} D_i^{(d)} u^{-d}$, $E_{i,j}(u) = \sum_{d \geq 1} E_{i,j}^{(d)} u^{-d}$ and $F_{i,j}(u) = \sum_{d \geq 1} F_{i,j}^{(d)} u^{-d}$. In particular, we have that $D_i^{(0)} = 1$. Finally, we let $E_i(u) = \sum_{d \geq 1} E_i^{(d)} u^{-d} := E_{i,i+1}(u)$ and $F_i(u) = \sum_{d \geq 1} F_i^{(d)} u^{-d} := F_{i,i+1}(u)$ for short, and have constructed the Drinfeld generators from the RTT generators. It is obvious from the definition that

$$\varphi(D_i(u)) = D_i(u), \quad \varphi(E_i(u)) = E_i(u), \quad \varphi(F_i(u)) = F_i(u). \quad (8.22)$$

Less obvious is that

$$\psi(D_i(u)) = D_{i+1}(u), \quad \psi(E_i(u)) = E_{i+1}(u), \quad \psi(F_i(u)) = F_{i+1}(u); \quad (8.23)$$

e.g., see [BK05, Lem. 5.1].

Theorem 8.8. *The Drinfeld homomorphism $\mathsf{D}_{n,r} : \mathsf{Y}(\mathfrak{gl}_n) \rightarrow \mathsf{AS}(n, r)$ maps*

$$D_i(u) \mapsto \sum_{\lambda \in \Lambda(n, r)} \left| \cdots \left| \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} \right| \cdots \right| \quad (8.24)$$

for $i = 1, \dots, n$, and

$$E_i(u) \mapsto \sum_{\substack{\mu \in \Lambda(n, r) \\ \mu_{i+1} > 0}} \left| \cdots \left| \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} \right| \cdots \right|, \quad F_i(u) \mapsto \sum_{\substack{\mu \in \Lambda(n, r) \\ \mu_i > 0}} \left| \cdots \left| \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} \right| \cdots \right| \quad (8.25)$$

for $i = 1, \dots, n-1$.

Proof. We first prove (8.24). When $n = 1$, it is true since $D_1(u) = T_{1,1}(u)$, and we computed $\mathsf{D}_{1,r}(T_{1,1}(u))$ in Example 8.4. Using Lemma 8.7 for the natural embedding φ and (8.22), it follows that (8.24) holds for $i = 1$ and all $n \geq 1$. Using Lemma 8.7 for the unnatural embedding ψ and (8.23), for any $0 \leq m \leq r$, $n > 1$ and $1 \leq i \leq n-1$, we have that

$$\mathsf{D}_{n,r}(D_{i+1}(u)) = \mathsf{D}_{n,r} \circ \psi \circ \eta_{-1}(D_i(u+1)) = \psi_m \circ \mathsf{D}_{n-1, r-m}(D_i(u+1)).$$

Using this identity, (8.24) for $i > 1$ follows by induction on i .

A similar induction argument can be used to prove (8.25), reducing the proofs of these to checking them just for $\mathsf{D}_{2,r}(E_1(u))$ and $\mathsf{D}_{2,r}(F_1(u))$. Since $E_1(u) = T_{1,1}(u)^{-1} T_{1,2}(u)$ and $F_1(u) = T_{2,1}(u) T_{1,1}(u)^{-1}$ by the definitions, these special cases may be checked using the $n = 2$ examples in Example 8.4, (5.2) and (5.3). \square

Corollary 8.9. *For $1 \leq i < j \leq n$, the Drinfeld homomorphism $\mathbb{D}_{n,r}$ maps*

$$E_{i,j}(u) \mapsto \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_j > 0}} \xi_{1/(u+i-x_{\mu_{\leq i+1}}), \text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}}, \quad (8.26)$$

$$F_{i,j}(u) \mapsto \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_i > 0}} \xi_{\text{diag}(\mu_1, \dots, \mu_n) + e_{j,i} - e_{i,i}, 1/(u+i-x_{\mu_{\leq i}})}. \quad (8.27)$$

The diagrams for (8.26) and (8.27) are similar to the ones in (8.25), but the diagonal string connects the i th vertical string to the j th vertical string, like in the following examples:

$$E_{1,3}(u) \mapsto \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_3 > 0}} \begin{array}{c} \text{---} \text{---} \text{---} \\ | \quad | \quad | \\ \mu_1 \quad \mu_2 \quad \mu_3 \end{array}, \quad F_{1,3}(u) \mapsto \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_1 > 0}} \begin{array}{c} \text{---} \text{---} \text{---} \\ | \quad | \quad | \\ \mu_1 \quad \mu_2 \quad \mu_3 \end{array}.$$

Proof of Corollary 8.9. We prove this for $E_{i,j}(u)$ by induction on j . The base case $j = i + 1$ follows from (8.25). The induction step uses the recursive formula⁵

$$E_{i,j}(u) = [E_{i,j-1}(u), E_{j-1,j}^{(1)}], \quad (8.28)$$

and the following diagrammatic relation, which is a special case of the merge-split relation from (4.13):

$$\begin{array}{c} \diagdown \\ | \\ \diagup \end{array} - \begin{array}{c} \diagdown \\ | \\ \diagdown \end{array} = \begin{array}{c} \diagdown \\ | \\ \diagdown \end{array}. \quad (8.29)$$

The result for $F_{i,j}(u)$ can be deduced from the one for $E_{i,j}(u)$ using Corollary 8.5, noting also that $F_{i,j}(u) = \tau(E_{i,j}(u))$. \square

9. PRESENTING DEGENERATE AFFINE SCHUR ALGEBRAS

Let $\mathfrak{gl}_n[x]$ be the current Lie algebra, that is, $\mathfrak{gl}_n \otimes \mathbb{k}[x]$. We use the notation

$$e_{i,j;d} := e_{i,j} \otimes x^d. \quad (9.1)$$

The Lie bracket satisfies $[e_{i,j;a}, e_{k,l;b}] = \delta_{j,k} e_{i,l;a+b} - \delta_{i,l} e_{k,j;a+b}$. Let $V[x] := V \otimes \mathbb{k}[x]$ be the natural $\mathfrak{gl}_n[x]$ -module with basis $v_{i;d} := v_i \otimes x^d$ ($i = 1, \dots, n, d \geq 0$). The action of $\mathfrak{gl}_n[x]$ on $V[x]$ is given explicitly by $e_{i,j;a} v_{k;b} = \delta_{j,k} v_{i;a+b}$. The tensor space $V[x]^{\otimes r}$ is a $(\mathfrak{gl}_n[x], \mathbb{k}S_r \otimes P_r)$ -bimodule in a natural way. The action of S_r is by permuting tensors, and the action of $x_i \in P_r$ is by multiplication by x on the i th tensor factor. The proof of the following fundamental lemma depends on Maschke's theorem for the symmetric group.

Lemma 9.1. *If \mathbb{k} is a field of characteristic 0, the homomorphism*

$$\rho_{n,r} : \mathcal{U}(\mathfrak{gl}_n[x]) \rightarrow \text{End}_{\mathbb{k}S_r \otimes P_r}(V[x]^{\otimes r})$$

induced by the natural action of $\mathfrak{gl}_n[x]$ on $V[x]$ is surjective.

Proof. See the proof of [Ant20, Cor. 2.48], which proves the analogous result with the current algebra $\mathfrak{gl}_n[x]$ replaced by the loop algebra $\mathfrak{gl}_n[t, t^{-1}]$. Exactly the same argument can be used in our polynomial setting. Thus, one uses the isomorphism

$$\text{End}_{\mathbb{k}S_r \otimes P_r}(V[x]^{\otimes r}) \cong \left(\text{End}_{\mathbb{k}[x]}(V[x])^{\otimes r} \right)^{S_r},$$

which is analogous to [Ant20, (21)], plus [Ant20, Lem. 2.47] applied to the algebra $A := \text{End}_{\mathbb{k}[x]}(V[x]) \cong \mathfrak{gl}_n[x]$. \square

⁵This is well known; e.g., see [BT18, (4.9)] which gives some justification.

To explain the relevance of Lemma 9.1, recall that there is a filtration on $Y(\mathfrak{gl}_n)$ in which the generator $T_{i,j}^{(d+1)}$ is of filtered degree d . The associated graded $\text{gr } Y(\mathfrak{gl}_n)$ is identified with the universal enveloping algebra $U(\mathfrak{gl}_n[x])$ so that $\text{gr}_d T_{i,j}^{(d+1)} = e_{i,j;d}$. We have also defined a filtration on $\text{AS}(n, r)$ such that $\text{gr } \text{AS}(n, r)$ is identified with the current Schur algebra $\text{AS}_0(n, r)$; cf. Theorem 3.5. The Drinfeld homomorphism is filtered, so it induces $\text{gr } \mathcal{D}_{n,r} : U(\mathfrak{gl}_n[x]) \rightarrow \text{AS}_0(n, r)$.

Theorem 9.2. *When \mathbb{k} is a field of characteristic 0, $\text{gr } \mathcal{D}_{n,r}$ is surjective.*

Proof. From the formula (8.11) and Lemma 5.5, one checks that $\text{gr } \mathcal{D}_{n,r}$ maps

$$e_{i,j;d} \mapsto \begin{cases} \sum_{\lambda \in \Lambda(n,r)} p_d(x_{\lambda_{<i}+1}, \dots, x_{\lambda_{\leq i}}) 1_\lambda & \text{if } i = j \\ \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_j > 0}} \varsigma_{\text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}, x_{\mu_{<j}+1}^d} & \text{if } i < j \\ \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu_j > 0}} \varsigma_{\text{diag}(\mu_1, \dots, \mu_n) + e_{i,j} - e_{j,j}, x_{\mu_{\leq j}}^d} & \text{if } i > j. \end{cases} \quad (9.2)$$

Recalling (3.14), this is an endomorphism of the right $\mathbb{k}S_r \otimes P_r$ -module $V^{\otimes r} \otimes P_r$. There is an obvious isomorphism of $\mathbb{k}S_r \otimes P_r$ -modules

$$\theta : V^{\otimes r} \otimes P_r \xrightarrow{\sim} V[x]^{\otimes r}, \quad v_i \otimes x_1^{d_1} \cdots x_r^{d_r} \mapsto v_{i_1;d_1} \otimes \cdots \otimes v_{i_r;d_r}. \quad (9.3)$$

Using (9.2), one checks that θ is also a left $U(\mathfrak{gl}_n[x])$ -module homomorphism. Hence, the following diagram commutes:

$$\begin{array}{ccc} & U(\mathfrak{gl}_n[x]) & \\ \text{gr } \mathcal{D}_{n,r} \swarrow & & \searrow \rho_{n,r} \\ \text{End}_{\mathbb{k}S_r \otimes P_r}(V^{\otimes d} \otimes P_r) & \xrightarrow[\sim]{f \mapsto \theta \circ f \circ \theta^{-1}} & \text{End}_{\mathbb{k}S_r \otimes P_r}(V[x]^{\otimes d}) \end{array} \quad (9.4)$$

The surjectivity of $\text{gr } \mathcal{D}_{n,r}$ follows from this and Lemma 9.1. \square

Corollary 9.3. *When \mathbb{k} is a field of characteristic zero, $\mathcal{D}_{n,r} : Y(\mathfrak{gl}_n) \rightarrow \text{AS}(n, r)$ is surjective.*

The Harish-Chandra center $Z_{HC}(Y(\mathfrak{gl}_n))$ of the Yangian is the central subalgebra of $Y(\mathfrak{gl}_n)$ which is freely generated by the elements $C_n^{(d)}$ ($d \geq 1$) defined from

$$C_n(u) = \sum_{d \geq 0} C_n^{(d)} u^{-d} := D_1(u) D_2(u-1) \cdots D_n(u-n+1). \quad (9.5)$$

This can also be expressed as a certain quantum determinant; e.g., see [BT18, Th. 8.6]. If \mathbb{k} is a field of characteristic 0, it is known that the Harish-Chandra center is the entire center of $Y(\mathfrak{gl}_n)$; see [MNO96, Th. 2.13] or [BK05, Th. 7.2].

Lemma 9.4. *We have that*

$$\mathcal{D}_{n,r}(C_n(u)) = \frac{(u+1-x_1)(u+1-x_2) \cdots (u+1-x_r)}{(u-x_1)(u-x_2) \cdots (u-x_r)} 1_{n,r}. \quad (9.6)$$

Hence, $\mathcal{D}_{n,r}(C_n^{(d+1)}) = \tilde{p}_d(x_1, \dots, x_r) 1_{n,r} \in Z(\text{AS}(n, r))$ for $d \geq 0$.

Proof. By (8.24) and the definition (9.5), we have that

$$D_{n,r}(C_n(u)) = \sum_{\lambda \in \Lambda(n,r)} \begin{array}{c} \textcircled{u+1} \\ \bullet \\ \lambda_1 \end{array} \begin{array}{c} \textcircled{u+1} \\ \bullet \\ \lambda_2 \end{array} \cdots \begin{array}{c} \textcircled{u+1} \\ \bullet \\ \lambda_n \end{array}.$$

This proves (9.6). The last assertion follows from the definition of the deformed power sums in (5.6), together with Lemma 6.1 which establishes the centrality. \square

Lemma 9.5. *When \mathbb{k} is a field of characteristic 0, the Drinfeld homomorphism maps the center of $Y(\mathfrak{gl}_n)$ surjectively onto $Z(\text{AS}(n, r))$.*

Proof. When \mathbb{k} is a field of characteristic 0, Newton's identity implies that $P^{(r)}$ is generated by the power sums $p_1(x_1, \dots, x_r), \dots, p_r(x_1, \dots, x_r)$. Hence, $P^{(r)}$ is also generated by the deformed power sums $\tilde{p}_1(x_1, \dots, x_r), \dots, \tilde{p}_r(x_1, \dots, x_r)$. Using this, the result follows from Theorem 6.4 and Lemma 9.4. \square

When \mathbb{k} is a field of characteristic $p > 0$, $Z(Y(\mathfrak{gl}_n))$ is much larger than in characteristic 0. It is generated by the Harish-Chandra center $Z_{HC}(Y(\mathfrak{gl}_n))$ together with the p -center $Z_p(Y(\mathfrak{gl}_n))$, which is the central subalgebra freely generated by the coefficients $B_i^{(pd)}$ for $1 \leq i \leq n$ and $d \geq 1$ defined by setting

$$B_i(u) = \sum_{d \geq 0} B_i^{(d)} u^{-d} := D_i(u) D_i(u-1) \cdots D_i(u-p+1) \quad (9.7)$$

for $i = 1, \dots, n$, together with the coefficients $P_{i,j}^{(pd)}, Q_{i,j}^{(pd)}$ for $1 \leq i < j \leq n$ and $d \geq 1$ defined by

$$P_{i,j}(u) = \sum_{d \geq p} P_{i,j}^{(d)} u^{-d} := E_{i,j}(u)^p, \quad (9.8)$$

$$Q_{i,j}(u) = \sum_{t \geq p} Q_{i,j}^{(t)} u^{-t} := F_{i,j}(u)^p. \quad (9.9)$$

In fact, *all* of the coefficients $B_i^{(d)}, P_{i,j}^{(d)}$ and $Q_{i,j}^{(d)}$ for $d \geq 1$ belong to the p -center. This is proved in [BT18, Th. 5.4 and Th. 5.11(2)]. The *restricted Yangian* $Y^{[p]}(\mathfrak{gl}_n)$ is the quotient of Y_n by the two-sided ideal generated by $B_i^{(d)}, P_{i,j}^{(d)}$ and $Q_{i,j}^{(d)}$ for $d \geq 1$, i.e., the generators of the p -center. This definition is due to Goodwin and Topley [GT21, Sec. 4.3].

Lemma 9.6. *If \mathbb{k} is a field of characteristic $p > 0$, the Drinfeld homomorphism $D_{n,r}$ maps $B_i(u)$ to $1_{n,r}$, and it maps $P_{i,j}(u)$ and $Q_{i,j}(u)$ to 0. Hence, $D_{n,r}$ factors through the quotient to induce a homomorphism*

$$D_{n,r}^{[p]} : Y^{[p]}(\mathfrak{gl}_n) \rightarrow \text{AS}(n, r). \quad (9.10)$$

Proof. This is straightforward. For ease of drawing diagrams, we just illustrate the idea by treating the case $p = 3$. By Theorem 8.8, the image of $B_i(u)$ is

$$\sum_{\mu \in \Lambda(n,r)} \begin{array}{c} \textcircled{u} \\ \textcircled{u+2} \\ \textcircled{u+2} \\ \textcircled{u+1} \\ \textcircled{u+1} \\ \bullet \\ \mu_i \end{array} \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array}$$

which equals $1_{n,r}$ because $\begin{array}{c} \textcircled{u} \\ \bullet \end{array} = \mathbf{1}$. The arguments for $P_{i,j}(u)$ and $Q_{i,j}(u)$ are similar. Again, we just illustrate with one example, namely, $P_{1,3}(u) \in Y_3$ in characteristic 3. By Corollary 8.9,

$\mathbb{D}_{3,r}(P_{1,3}(u))$ equals

$$\sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_3 \geq 3}} \begin{array}{c} \text{Diagram 1: Three vertical lines labeled } \mu_1, \mu_2, \mu_3. \text{ On line } \mu_1, \text{ there are three nodes labeled } u+1. \text{ Lines } \mu_1, \mu_2, \mu_3 \text{ are connected by a braid.} \end{array} = \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_3 \geq 3}} \begin{array}{c} \text{Diagram 2: Similar to Diagram 1, but with a loop on line } \mu_1 \text{ containing three nodes labeled } u+1. \end{array} = \sum_{\substack{\mu \in \Lambda(3,r) \\ \mu_3 \geq 3}} \begin{array}{c} \text{Diagram 3: Similar to Diagram 2, but with a single loop on line } \mu_1 \text{ containing one node labeled } u+1. \end{array},$$

which equals 0 because $\emptyset = 3! \mid$ by the split-merge relation. \square

In the remainder of the section, we assume that \mathbb{k} is a field of characteristic 0, so that $\mathbb{D}_{n,r}$ is surjective. What can be said about its kernel? To make a precise statement, we are going to replace $Y(\mathfrak{gl}_n)$ with a modified form $Y_{n,r}$ via a construction which is similar in spirit to the passage from $U(\mathfrak{gl}_n)$ to $U_{n,r}$ in Theorem 2.2. Fix a choice of r from now on, and let

$$P := \bigoplus_{\lambda \in \Lambda(n,r)} P^\lambda 1_\lambda \quad (9.11)$$

The root grading $Y(\mathfrak{gl}_n) = \bigoplus_{\alpha \in X(n)} Y_\alpha$ is defined so that $T_{i,j}^{(d)}$ is of weight $\varepsilon_i - \varepsilon_j$; equivalently, $E_i^{(d)}$ is of weight α_i and $F_i^{(d)}$ is of weight $-\alpha_i$. Let

$$\tilde{Y}_{n,r} := \bigoplus_{\lambda, \mu \in \Lambda(n,r)} P^\lambda \otimes Y_{\lambda-\mu} \otimes P^\mu \quad (9.12)$$

viewed as a (P, P) -bimodule so that $(f1_\lambda)a(g1_\mu) = ff_\lambda \otimes a_{\lambda,\mu} \otimes g_\mu g$ for $f \in P^\lambda, g \in P^\mu$ and $a = \sum_{\lambda, \mu \in \Lambda(n,r)} f_\lambda \otimes a_{\lambda,\mu} \otimes g_\mu \in \tilde{Y}_{n,r}$. Then we define $Y_{n,r}$ to be the quotient of the tensor algebra

$$T_P(\tilde{Y}_{n,r}) = P \oplus \tilde{Y}_{n,r} \oplus \tilde{Y}_{n,r} \otimes_P \tilde{Y}_{n,r} \oplus \tilde{Y}_{n,r} \otimes_P \tilde{Y}_{n,r} \otimes_P \tilde{Y}_{n,r} \oplus \cdots \quad (9.13)$$

by the two-sided ideal generated by the relations

$$1_\lambda(1 \otimes a \otimes 1)1_\mu \otimes 1_\nu(1 \otimes b \otimes 1)1_\nu = 1_\lambda(1 \otimes ab \otimes 1)1_\nu, \quad (9.14)$$

$$1_\lambda(1 \otimes D_i^{(d+1)} \otimes 1)1_\lambda = \tilde{p}_d(x_{\lambda_{<i+1}}, \dots, x_{\lambda_{\leq i}})1_\lambda, \quad (9.15)$$

for all $\lambda, \mu, \nu \in \Lambda(n, r), a \in Y_{\lambda-\mu}, b \in Y_{\mu-\nu}, i = 1, \dots, n$ and $d \geq 0$. As we are in characteristic 0, any symmetric polynomial can be expressed in terms of the deformed power sums. Hence, the relations (9.14) and (9.15) imply that $1_\lambda Y_{n,r} 1_\mu$ is spanned by images $1_\lambda(\overline{1 \otimes a \otimes 1})1_\mu$ of elements of the form $1_\lambda(1 \otimes a \otimes 1)1_\mu$ for $a \in Y_{\lambda-\mu}$. The Drinfeld homomorphism $\mathbb{D}_{n,r}$ induces a homomorphism

$$\bar{\mathbb{D}}_{n,r} : Y_{n,r} \rightarrow \text{AS}(n, r), \quad 1_\lambda(\overline{f \otimes a \otimes g})1_\mu \mapsto (f1_\lambda)\mathbb{D}_{n,r}(a)(g1_\mu) \quad (9.16)$$

for $f \in P^\lambda, a \in Y_{\lambda-\mu}$ and $g \in P^\mu$. By Corollary 9.3, this homomorphism is surjective.

Theorem 9.7. *If $n > r$ then $\bar{\mathbb{D}}_{n,r}$ is an isomorphism.*

Proof. We begin by defining another algebra $\text{SY}_{n,r}$ which is the analog of $Y_{n,r}$ for $Y(\mathfrak{sl}_n)$. Recall that $Y(\mathfrak{sl}_n)$ is the subalgebra of $Y(\mathfrak{gl}_n)$ generated by the coefficients of $E_i(u), F_i(u)$ and

$$H_i(u) = \sum_{d \geq 0} H_i^{(d)} u^{-d} := -\frac{D_{i+1}(u)}{D_i(u)} \quad (9.17)$$

for $i = 1, \dots, n-1$; e.g., see [BT18, Sec. 6.1]. The root grading of $Y(\mathfrak{sl}_n)$ is a grading

$$Y(\mathfrak{sl}_n) = \bigoplus_{\bar{\lambda} \in \bar{X}(n)} \text{SY}_{\bar{\lambda}}$$

by the quotient group $\overline{X}(n) := X(n)/(\varepsilon_1 + \cdots + \varepsilon_n)$. We denote the image of $\lambda \in X(n)$ in $\overline{X}(n)$ by $\bar{\lambda}$. Let \mathbb{K} be as in (2.15). Let

$$\widetilde{\text{SY}}_{n,r} := \bigoplus_{\lambda, \mu \in \Lambda(n,r)} \text{SY}_{\bar{\lambda} - \bar{\mu}}$$

viewed as a (\mathbb{K}, \mathbb{K}) -bimodule so that $1_\lambda a 1_\mu$ is the projection $a_{\lambda, \mu}$ of $a = \sum_{\lambda, \mu \in \Lambda(n,r)} a_{\lambda, \mu} \in \widetilde{\text{SY}}_{n,r}$ onto the (λ, μ) th summand. Then we define $\text{SY}_{n,r}$ to be the quotient of the tensor algebra $T_{\mathbb{K}}(\widetilde{\text{SY}}_{n,r})$ by the two-sided ideal generated by the relations

$$1_\lambda a 1_\mu \otimes 1_\nu b 1_\nu = 1_\lambda a b 1_\nu, \quad 1_\lambda H_i^{(1)} 1_\lambda = (\lambda_i - \lambda_{i+1}) 1_\lambda, \quad (9.18)$$

for all $\lambda, \mu, \nu \in \Lambda(n, r)$, $a \in \text{SY}_{\bar{\lambda} - \bar{\mu}}$, $b \in \text{SY}_{\bar{\mu} - \bar{\nu}}$, and $1, \dots, n-1$.

The inclusions of $Y(\mathfrak{sl}_n)$ into $Y(\mathfrak{gl}_n)$ and \mathbb{K} into \mathbb{P} induce an algebra homomorphism

$$\text{inc} : \text{SY}_{n,r} \rightarrow Y_{n,r}. \quad (9.19)$$

One can show directly from the definitions that inc is injective. We will not need to use this here so omit the details; in the case $n > r$, arguments in the next paragraphs prove more, namely, that inc is an isomorphism.

Assume from now on that $n > r$. We claim that inc is surjective. To prove this, we know already that $Y_{n,r}$ is generated by the coefficients of $1_{\mu+\alpha_i}(1 \otimes \overline{E_i(u)} \otimes 1)1_\mu$ and $1_\mu(1 \otimes \overline{F_i(u)} \otimes 1)1_{\mu+\alpha_i}$ for $i = 1, \dots, n-1$ and μ with $\mu_{i+1} > 0$, which are obviously in the image of inc , together with the coefficients of $1_\lambda(1 \otimes \overline{D_i(u)} \otimes 1)1_\lambda$ for $i = 1, \dots, n$ and all λ . Thus, it suffices to show for each i and $\lambda \in \Lambda(n, r)$ that all coefficients of $1_\lambda(1 \otimes \overline{D_i(u)} \otimes 1)1_\lambda$ are in the image of inc . Given λ , we can choose j so that $\lambda_j = 0$; this is the place that the assumption $n > r$ is required. For this j , we have that $1_\lambda(1 \otimes \overline{D_j(u)} \otimes 1)1_\lambda = 1_\lambda$. For any $i \neq j$, all coefficients of $\frac{D_i(u)}{D_j(u)}$ are in $Y(\mathfrak{sl}_n)$. From these two statements, it follows that all coefficients of $1_\lambda(1 \otimes \overline{D_i(u)} \otimes 1)1_\lambda$ are in the image of inc for all $i = 1, \dots, n$. This proves the claim.

By the claim, there is a surjective homomorphism $\overline{\text{SD}}_{n,r}$ fitting into the commutative diagram

$$\begin{array}{ccc} \text{SY}_{n,r} & \xrightarrow{\text{inc}} & Y_{n,r} \\ & \searrow \overline{\text{SD}}_{n,r} & \swarrow \overline{\text{D}}_{n,r} \\ & \text{AS}(n, r) & \end{array} \quad (9.20)$$

We complete the proof of the theorem by showing that $\overline{\text{SD}}_{n,r}$ is an isomorphism. Equivalently, we show that the pull-back functor $\overline{\text{SD}}_{n,r}^* : \text{AS}(n, r)\text{-mod} \rightarrow \text{SY}_{n,r}\text{-mod}$ is an equivalence of categories. Recall the functor $\text{F}_{n,r} : \text{AH}_r\text{-mod} \rightarrow \text{AS}(n, r)\text{-mod}$ from (3.17). It is an equivalence of categories by Theorem 3.9. Therefore, $\overline{\text{SD}}_{n,r}^*$ is an equivalence of categories if and only if $\overline{\text{SD}}_{n,r}^* \circ \text{F}_{n,r}$ is an equivalence of categories. The latter statement is proved in the next paragraph.

There is an \mathfrak{sl}_n analog $\text{SU}_{n,r}$ of the algebra $\text{U}_{n,r}$, and an \mathfrak{sl}_n analog of Theorem 2.2 which gives an isomorphism $\overline{\text{sd}}_{n,r} : \text{SU}_{n,r} \xrightarrow{\sim} \text{S}(n, r)$. It follows that an $\text{SU}_{n,r}$ -module is the same thing as an $\text{U}(\mathfrak{sl}_n)$ -module whose restriction to \mathfrak{sl}_n is a polynomial representation of degree r . There is also an inclusion $\text{SU}_{n,r} \hookrightarrow \text{SY}_{n,r}$ induced by the inclusion $\text{U}(\mathfrak{sl}_n) \hookrightarrow Y(\mathfrak{sl}_n)$; this induced homomorphism is injective because its composition with $\overline{\text{SD}}_{n,r}$ is the isomorphism $\overline{\text{sd}}_{n,r}$ composed with the inclusion $\text{S}(n, r) \hookrightarrow \text{AS}(n, r)$ from Corollary 3.6. It follows that the category appearing in the statement of Drinfeld's theorem in the introduction is identified with $\text{SY}_{n,r}\text{-mod}$. Also $\overline{\text{SD}}_{n,r}^* \circ \text{F}_{n,r}$ is identified the Drinfeld functor $\text{Res}_{Y(\mathfrak{sl}_n)}^{Y(\mathfrak{gl}_n)} \circ (V^{\otimes d} \otimes_{\mathbb{K}S_r} -)$. Hence, $\overline{\text{SD}}_{n,r}^* \circ \text{F}_{n,r}$ is an equivalence by Drinfeld's theorem. \square

Conjecture 9.8. $\overline{\text{D}}_{n,r}$ is an isomorphism for all values of n and r .

Remark 9.9. A consequence of Conjecture 9.8 and Theorem 3.9 is that the Drinfeld functor $V^{\otimes r} \otimes_{\mathbb{k}S_r} -$ is an equivalence between $\text{AH}_r\text{-mod}$ and $Y_{n,r}\text{-mod}$ for all $n \geq r$. Drinfeld's theorem for $n > r$ as stated in the introduction can be recovered from this by reversing the argument in the proof of Theorem 9.7.

10. REPRESENTATION THEORY OF $\text{AS}(n, r)$

We assume in this section that \mathbb{k} is an algebraically closed field of characteristic 0, so that $D_{n,r}$ is surjective thanks to Corollary 9.3. By a *polynomial representation* of $Y(\mathfrak{gl}_n)$ of degree r , we mean a $Y(\mathfrak{gl}_n)$ -module which is the pull-back $D_{n,r}^* M$ of a left $\text{AS}(n, r)$ -module M . The category of polynomial representations of $Y(\mathfrak{gl}_n)$ of degree r is naturally identified with $\text{AS}(n, r)\text{-mod}$; if Conjecture 9.8 is true it is also the same as $Y_{n,r}\text{-mod}$. The goal is to classify irreducible polynomial representations of $Y(\mathfrak{gl}_n)$.

The characteristic 0 assumption means that there is a well-defined partial order \leq on \mathbb{k} defined by $b \leq a \Leftrightarrow a - b \in \mathbb{N}$. It is also needed in order to be able to prove the following elementary lemma:

Lemma 10.1. *Let $f(u), g(u) \in \mathbb{k}[u]$ be monic polynomials. If $\frac{f(u+1)}{f(u)} = \frac{g(u+1)}{g(u)}$ then $f(u) = g(u)$.*

Proof. Exercise. □

Corollary 6.5 implies that every irreducible polynomial representation of degree r is finite-dimensional. So, in order to classify them, we should start by recalling the classification of finite-dimensional irreducible representations of $Y(\mathfrak{gl}_n)$ from [Dri87]. Let

$$A(u) = (A_1(u), \dots, A_n(u))$$

be an n -tuple of formal power series $A_1(u), \dots, A_n(u) \in 1 + u^{-1}\mathbb{k}[[u^{-1}]]$. There is a unique (up to isomorphism) irreducible $Y(\mathfrak{gl}_n)$ -module $L(A(u))$ generated by a non-zero vector v_+ such that

- $E_i(u)v_+ = 0$ for $i = 1, \dots, n-1$;
- $D_i(u)v_+ = A_i(u)v_+$ for $i = 1, \dots, n$.

The module $L(A(u))$ may be constructed as the unique irreducible quotient of a Verma-type module, which is defined using the triangular decomposition of $Y(\mathfrak{gl}_n)$ arising from the Drinfeld presentation.

Theorem 10.2 (Drinfeld). *For $A(u)$ as above, $L(A(u))$ is finite-dimensional if and only if*

$$\frac{A_i(u)}{A_{i+1}(u)} = \frac{P_i(u+1)}{P_i(u)} \tag{10.1}$$

for monic polynomials $P_1(u), \dots, P_{n-1}(u) \in \mathbb{k}[u]$ (called Drinfeld polynomials). Moreover, every finite-dimensional irreducible $Y(\mathfrak{gl}_n)$ -module is isomorphic to $L(A(u))$ for a unique such $A(u)$.

In view of this, the problem of classifying irreducible polynomial representations of $Y(\mathfrak{gl}_n)$ is thus reduced to the problem of determining which $L(A(u))$ are polynomial of degree r , which is the content of the next theorem:

Theorem 10.3. *For $A(u)$ as above, the irreducible $Y(\mathfrak{gl}_n)$ -module $L(A(u))$ is a polynomial representation of degree r if and only if there exists a (necessarily unique) sequence*

$$\lambda(u) = (\lambda_1(u), \dots, \lambda_n(u))$$

of monic polynomials $\lambda_i(u) \in \mathbb{k}[u]$ such that

- (1) $A_i(u) = \frac{\lambda_i(u+1)}{\lambda_i(u)}$ for $i = 1, \dots, n$;
- (2) $\deg \lambda_1(u) + \dots + \deg \lambda_n(u) = r$;
- (3) $\lambda_{i+1}(u) \mid \lambda_i(u)$ for $i = 1, \dots, n-1$.

Hence, over a field of characteristic 0, isomorphism classes of irreducible polynomial representations of $Y(\mathfrak{gl}_n)$ of degree r are naturally indexed by sequences $\lambda(u) = (\lambda_1(u), \dots, \lambda_n(u))$ of monic polynomials satisfying (2) and (3).

Proof of the necessary condition (\Rightarrow) in Theorem 10.3. Suppose that $L(A(u))$ is a polynomial representation of degree r , i.e., it is an $AS(n, r)$ -module. Let $\lambda \in \Lambda(n, r)$ be the weight of the highest weight vector $v_+ \in L(A(u))$; explicitly, λ_i is the u^{-1} -coefficient of $A_i(u)$. From $D_i(u)v_+ = A_i(u)v_+$ and (8.24), we deduce that $(u + i - 1 - x_{\lambda_{<i}+1}) \cdots (u + i - 1 - x_{\lambda_{<i}})1_\lambda \in AS(n, r)$ acts on v_+ by multiplication by a monic polynomial $\lambda_i(u) \in \mathbb{k}[u]$ of degree λ_i such that $A_i(u) = \frac{\lambda_i(u+1)}{\lambda_i(u)}$ for $i = 1, \dots, n$. This proves (1) and (2). For (3), since $L(A(u))$ is finite-dimensional, there are monic polynomials $P_i(u)$ such that the equation (10.1) holds for $i = 1, \dots, n-1$. This implies that

$$\frac{\lambda_i(u+1)}{\lambda_i(u)} = \frac{\lambda_{i+1}(u+1)P_i(u+1)}{\lambda_{i+1}(u)P_i(u)}.$$

Now Lemma 10.1 gives that $\lambda_i(u) = \lambda_{i+1}(u)P_i(u)$, and (3) follows. \square

The sufficient condition (\Leftarrow) needed to complete the proof of Theorem 10.3 will be proved a little later. To prepare for this, we need to recall some further results from [Ara99]. As in Theorem 3.9, let

$$\mathbf{F}_{n,r} : \mathbf{AH}_r\text{-mod} \rightarrow \mathbf{AS}(n, r)\text{-mod} \subset \mathbf{Y}(\mathfrak{gl}_n)\text{-mod} \quad (10.2)$$

be the functor defined by tensoring over \mathbf{AH}_r with $V^{\otimes r} \otimes_{\mathbb{k}S_r} \mathbf{AH}_r$ viewed as a $(\mathbf{Y}(\mathfrak{gl}_n), \mathbf{AH}_r)$ -bimodule via the Drinfeld homomorphism. We refer to this as the *Drinfeld functor*. For a left \mathbf{AH}_r -module M , there is the obvious isomorphism of vector spaces

$$V^{\otimes r} \otimes_{\mathbb{k}S_r} \mathbf{AH}_r \otimes_{\mathbf{AH}_r} M \cong V^{\otimes r} \otimes_{\mathbb{k}S_r} M. \quad (10.3)$$

It implies that $\text{Res}_{\mathbf{U}(\mathfrak{gl}_n)}^{\mathbf{Y}(\mathfrak{gl}_n)} \circ \mathbf{F}_{n,r} \cong \mathbf{f}_{n,r} \circ \text{Res}_{\mathbb{k}S_r}^{\mathbf{AH}_r}$ where $\mathbf{f}_{n,r} := V^{\otimes r} \otimes_{\mathbb{k}S_r} - : \mathbb{k}S_r\text{-mod} \rightarrow \mathbf{U}(\mathfrak{gl}_n)\text{-mod}$ is the usual Schur functor.

Lemma 10.4 (Chari-Pressley). *The natural tensor product on $\mathbf{Y}(\mathfrak{gl}_n)$ -mod restricts to a functor*

$$- \otimes - : \mathbf{AS}(n, r)\text{-mod} \times \mathbf{AS}(n, s)\text{-mod} \rightarrow \mathbf{AS}(n, r+s)\text{-mod}.$$

Moreover, there is an isomorphism $\mathbf{F}_{n,r}(-) \otimes \mathbf{F}_{n,s}(-) \cong \mathbf{F}_{n,r+s} \circ (- \otimes -)$ of functors from $\mathbf{AH}_r\text{-mod} \times \mathbf{AH}_s\text{-mod}$ to $\mathbf{AS}(n, r+s)\text{-mod}$.

Proof. There is an isomorphism

$$(V^{\otimes r} \otimes_{\mathbb{k}S_r} \mathbf{AH}_r) \otimes (V^{\otimes s} \otimes_{\mathbb{k}S_s} \mathbf{AH}_s) \cong V^{\otimes(r+s)} \otimes_{\mathbb{k}S_{r+s}} \mathbf{AH}_{r+s}$$

of $(\mathbf{Y}(\mathfrak{gl}_n), \mathbf{AH}_{(r,s)})$ -bimodules. \square

For $b \leq a$ in \mathbb{k} with $r = a - b + 1$, let $\mathbb{k}_{[b,a]}$ be the one-dimensional left \mathbf{AH}_r -module on which x_i ($1 \leq i \leq r$) acts as $b + i - 1$ and $w \in S_r$ acts as $(-1)^{\ell(w)}$. This module is a *segment* in the terminology of [Zel80].

Lemma 10.5 (Arakawa). *For $b \leq a$ with $r := a - b + 1 \leq n$, there are $\mathbf{Y}(\mathfrak{gl}_n)$ -module isomorphisms*

$$\mathbf{F}_{n,r} \mathbb{k}_{[b,a]} \cong \text{ev}_b^* (\bigwedge^r V) \cong L(A(u)) \quad (10.4)$$

where $A(u) := \left(\frac{\lambda_1(u+1)}{\lambda_1(u)}, \dots, \frac{\lambda_n(u+1)}{\lambda_n(u)} \right)$ with $\lambda_i(u) := \begin{cases} u - b & \text{if } 1 \leq i \leq r \\ 1 & \text{if } r + 1 \leq i \leq n. \end{cases}$

Proof. See [Ara99, Prop. 6]. Here is another proof. By (10.3), $\text{Res}_{\mathcal{U}(\mathfrak{gl}_n)}^{\mathcal{Y}(\mathfrak{gl}_n)}(\mathbb{F}_{n,r}\mathbb{k}_{[b,a]}) \cong \bigwedge^r V$. Thus, $\mathbb{F}_{n,r}\mathbb{k}_{[b,a]}$ is an irreducible $\mathcal{Y}(\mathfrak{gl}_n)$ -module. The vector $v_+ := v_1 \wedge \cdots \wedge v_r$ is a highest weight vector of weight $\varepsilon_1 + \cdots + \varepsilon_r$. By (8.24), $D_i(u)$ acts on v_+ in the same way as $\frac{u+i-x_i}{u+i-1-x_i}$, which is by multiplication by $\frac{u+1-b}{u-b} = 1 + \frac{1}{u-b}$ if $1 \leq i \leq r$, or as 1 if $r+1 \leq i \leq n$. This is the same as how $D_i(u)$ acts on this vector in $\text{ev}_b^*(\bigwedge^r V)$. Hence, the two modules are isomorphic. We have also computed how each $D_i(u)$ acts on v_+ , identifying both modules with $L(A(u))$. \square

Now suppose that we are given $m \geq 0$ and $\underline{a}, \underline{b} \in \mathbb{k}^m$ such that $0 \leq a_j - b_j \leq n-1$ for each $j = 1, \dots, m$. Consider the $\mathcal{Y}(\mathfrak{gl}_n)$ -module

$$M(\underline{a}, \underline{b}) := \text{ev}_{b_1}^* \left(\bigwedge^{a_1-b_1+1} V \right) \otimes \cdots \otimes \text{ev}_{b_m}^* \left(\bigwedge^{a_m-b_m+1} V \right). \quad (10.5)$$

We call $M(\underline{a}, \underline{b})$ a *standard module*.

Lemma 10.6. *Assume that $0 \leq a_j - b_j \leq n-1$ for $j = 1, \dots, m$. Then the standard module $M(\underline{a}, \underline{b})$ is a polynomial representation of degree $\sum_{j=1}^m (a_j - b_j + 1)$.*

Proof. Lemmas 10.4 and 10.5 imply that $M(\underline{a}, \underline{b})$ is isomorphic to the image under $\mathcal{D}_{n,r}$ of the multisegment $\mathbb{k}_{[b_1, a_1]} \otimes \cdots \otimes \mathbb{k}_{[b_m, a_m]}$. \square

We say that $\underline{a} \in \mathbb{k}^m$ is *dominant* if $1 \leq i < j \leq m \Rightarrow a_i \not\leq a_j$. The following theorem was proved originally by Nazarov and Tarasov [NT98a]; see [Ara99, Th. 8] for another proof exploiting the Drinfeld functor.

Theorem 10.7 (Nazarov-Tarasov, Arakawa). *If $\underline{a} \in \mathbb{k}^m$ is dominant and $0 \leq a_j - b_j \leq n-1$ for $j = 1, \dots, m$ then the standard module $M(\underline{a}, \underline{b})$ has a unique irreducible quotient $L(\underline{a}, \underline{b})$. Moreover, $L(\underline{a}, \underline{b}) \cong L(A(u))$ where $A(u) := \left(\frac{\lambda_1(u+1)}{\lambda_1(u)}, \dots, \frac{\lambda_n(u+1)}{\lambda_n(u)} \right)$ with*

$$\lambda_i(u) := \prod_{\substack{1 \leq j \leq m \\ i \leq a_j - b_j + 1}} (u - b_j). \quad (10.6)$$

Using this, we can complete the proof of Theorem 10.3.

Proof of the sufficient condition (\Leftarrow) in Theorem 10.3. Given $A(u)$ with $A_i(u) = \frac{\lambda_i(u+1)}{\lambda_i(u)}$ as in Theorem 10.3(1)–(3), we need to show that $L(A(u))$ is a polynomial representation of degree r . Let $m := \deg \lambda_1(u)$. We define $\underline{a}, \underline{b} \in \mathbb{k}^m$ as follows:

- Let b_1 be any root of $\lambda_1(u)$.
- Let $b_1 \leq a_1 \leq b_1 + n - 1$ be maximal such that b_1 is a root of $\lambda_i(u)$ for all $1 \leq i \leq a_1 - b_1 + 1$.
- Divide $\lambda_i(u)$ by $(u - b_1)$ for each $i = 1, \dots, a_1 - b_1 + 1$, then iterate $(m-1)$ more times with the new polynomials to obtain $a_2 \leq b_2, \dots, a_m \leq b_m$.

This ensures that $0 \leq a_j - b_j \leq n-1$ for each $j = 1, \dots, m$. Finally, we simultaneously rearrange the m -tuples $\underline{a}, \underline{b}$ to ensure that \underline{a} dominant. Theorem 10.7 implies that $L(A(u)) \cong L(\underline{a}, \underline{b})$, and this is a polynomial representation of degree r by Lemma 10.6. \square

Now that Theorem 10.3 is proved, we switch to using the notation $L(\lambda_1(u), \dots, \lambda_n(u))$ to denote the irreducible polynomial representation of $\mathcal{Y}(\mathfrak{gl}_n)$ indexed by a sequence $\lambda_1(u), \dots, \lambda_n(u)$ of monic polynomials satisfying Theorem 10.3(3). Using also Theorem 3.9, it is easy to deduce the following convenient parametrization of irreducible representations of the degenerate affine Hecke algebra AH_r , which is different from the usual parametrization by multisegments:

Corollary 10.8. *Isomorphism classes of irreducible left AH_r -modules are in bijection with sequences $\lambda(u) = (\lambda_1(u), \lambda_2(u), \dots)$ of monic polynomials in $\mathbb{k}[u]$ whose degrees sum to r and*

$\lambda_{i+1}(u) \mid \lambda_i(u)$ for each $i \geq 1$. The irreducible module $D(\lambda(u))$ labelled by such a sequence may be constructed explicitly by setting

$$D(\lambda(u)) := 1_\omega L(\lambda_1(u), \dots, \lambda_n(u)) \quad (10.7)$$

in the setup of Theorem 3.9. Alternatively, letting $\underline{a}, \underline{b} \in \mathbb{k}^m$ be the sequences constructed from $\lambda(u)$ following the algorithm in the proof of the sufficient condition of Theorem 10.3 just explained (with \underline{a} dominant), $D(\lambda(u))$ is the irreducible head of $\mathbb{k}_{[b_1, a_1]} \otimes \cdots \otimes \mathbb{k}_{[b_m, a_m]}$

Remark 10.9. We would also like to point out that there is a remarkable explicit formula for the composition multiplicities of the standard modules $M(\underline{a}, \underline{b})$ in terms of Kazhdan-Lusztig polynomials. It is closely related to the degenerate analog of Zelevinsky's p -adic analog of the Kazhdan-Lusztig conjecture for GL_n . See [Ara99, Th. 15], which is proved using results from [AS98] deduced ultimately from the Kazhdan-Lusztig conjecture for the Lie algebra \mathfrak{gl}_r .

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