

Homogeneous Designs and Geometric Lattices

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1. INTRODUCTION

During the last 20 years, there has been a great deal of research concerning designs with $\lambda = 1$ admitting 2-transitive groups. The following theorems will be proved in this note; they are fairly simple consequences of the classification¹ of all finite simple groups (see, e.g., [6]).

THEOREM 1. *Let \mathcal{D} be a design with $\lambda = 1$ admitting an automorphism group 2-transitive on points. Then \mathcal{D} is one of the following designs:*

- (i) $PG(d, q)$,
- (ii) $AG(d, q)$,
- (iii) *The design with $v = q^3 + 1$ and $k = q + 1$ associated with $PSU(3, q)$ or ${}^2G_2(q)$,*
- (iv) *One of two affine planes, having 3^4 or 3^6 points [5, p. 236], or*
- (v) *One of two designs having $v = 3^6$ and $k = 3^2$ [12].*

THEOREM 2. *Let \mathcal{L} be a finite geometric lattice of rank at least 3 such that $\text{Aut } \mathcal{L}$ is transitive on ordered bases. Then either*

- (i) \mathcal{L} *is a truncation of a Boolean lattice or a projective or affine geometry,*
- (ii) \mathcal{L} *is the lattice associated with a Steiner system $S(3, 6, 22)$, $S(4, 7, 23)$, or $S(5, 8, 24)$, or*
- (iii) \mathcal{L} *is the lattice associated with the 65-point design for $PSU(3, 4)$.*

The groups in Theorems 1 and 2 are described in the course of the proof. It would, of course, be desirable to have more elementary proofs of both

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¹ At the time of writing (December 1982), this classification is not quite complete: the uniqueness of the Monster has not been proved. However, this does not cause any difficulties with our use of the classification.

theorems. Unfortunately, even the determination of all 2-transitive collineation groups of $AG(d, q)$ seems to require the classification of all finite simple groups. (The case $PG(d, q)$ is much simpler [2].)

The above theorems were proved more than three years ago, on the assumption that the aforementioned classification would be completed. Since then, special cases have appeared: Buekenhout [1, Sect. 4], Key and Shult [14], Hall [7], and Cherlin, Harrington, and Lachlan [3]. None of these is used in our proof; each also assumes the aforementioned classification. I am grateful to F. Buekenhout and P. Seymour for urging that I write up the proofs of Theorems 1 and 2.

2. PRELIMINARIES

Let G be a 2-transitive group of permutations of a set X of size v . If $Y \subseteq X$ let G_Y be its set stabilizer, $G(Y)$ its pointwise stabilizer, and set $G_Y^Y = G_Y/G(Y)$.

If $S \subseteq G$ let $F(S)$ be the set of fixed points of S .

All other notation is very standard.

The classification of all finite 2-transitive groups is a consequence of the classification of all finite simple groups: see [4, 9–11, 13, 15]. (Note, however, that not all sporadic simple groups were dealt with in those references. These are not difficult to eliminate by using properties of the individual groups [6] and imitating those references, especially [10, 11].) The list of groups is as follows.

(A) G has a simple normal subgroup N , and $N \leq G \leq \text{Aut } N$, where N and v are as follows:

- (1) A_v , $v \geq 5$.
- (2) $PSL(d, q)$, $d \geq 2$, $v = (q^d - 1)/(q - 1)$ (two representations if $d > 2$); here, $(d, q) \neq (2, 2), (2, 3)$.
- (3) $PSU(3, q)$, $v = q^3 + 1$, $q > 2$.
- (4) $Sz(q)$, $v = q^2 + 1$, $q = 2^{2e+1} > 2$.
- (5) ${}^2G_2(q)'$, $v = q^3 + 1$, $q = 3^{2e+1}$.
- (6) $Sp(2n, 2)$, $n \geq 3$, $v = 2^{2n-1} \pm 2^{n-1}$.
- (7) $PSL(2, 11)$, $v = 11$ (two representations).
- (8) Mathieu groups M_v , $v = 11, 12, 22, 23, 24$ (two representations for M_{12}).
- (9) M_{11} , $v = 12$.
- (10) A_7 , $v = 15$ (two representations).
- (11) HS (Higman–Sims group), $v = 176$ (two representations).
- (12) .3 (Conway's smallest group), $v = 276$.

(B) G has a regular normal subgroup N which is elementary abelian of order $v = p^d$, where p is a prime. Identify G with a group of affine transformations $x \rightarrow x^g + c$ of $GF(p)^d$, where $g \in G_0$. Then one of the following occurs:

- (1) $G \leq AFL(1, v)$.
- (2) $G_0 \cong SL(n, q)$, $q^n = p^d$.
- (3) $G_0 \cong Sp(n, q)$, $q^n = p^d$.
- (4) $G_0 \cong G_2(q)'$, $q^6 = p^d$, q even,
- (5) $G_0 \cong A_6$ or A_7 , $v = 2^4$.
- (6) $G_0 \cong SL(2, 3)$ or $SL(2, 5)$, $v = p^2$, $p = 5, 7, 11, 19, 23, 29$, or 59 or $v = 3^4$.
- (7) G_0 has a normal extraspecial subgroup E of order 2^5 , and G_0/E is isomorphic to a subgroup of S_5 , where $v = 3^4$.
- (8) $G_0 = SL(2, 13)$, $v = 3^6$.

The remark "two representations" refers to the fact that there are two different 2-transitive permutation representations of degree v , and these are interchanged by an outer automorphism of G .

Almost all of the examples on the above lists are familiar in various contexts. We will need only a few properties of each one, especially the orbit-lengths of the stabilizer G_{xy} of two different points x and y . In almost every case, the reader should have no trouble bounding these lengths as required in the next two sections. The lengths are relevant because of Lemma 2.1 below.

Throughout Sections 3 and 4, G will be 2-transitive on the set X of points of the design \mathcal{D} , where $\lambda = 1$ and $k > 2$. Let x and y be as above, and let B be the block on x and y . Then G_{xy} fixes B , and hence acts on $B - \{x, y\}$. Consequently, G_{xy} must have a fairly short orbit on $X - \{x, y\}$, in view of the following standard, elementary facts.

- LEMMA 2.1. (i) There are $r = (v - 1)/(k - 1)$ blocks per point.
(ii) Either $v = k^2 - k + 1$ or $v \geq k^2$.

3. SIMPLE NORMAL SUBGROUP

In this section we will begin the proof of Theorem 1, assuming that G has a simple normal subgroup N . We will run through the list of possibilities given in Section 2. In each case, except $G = {}^2G_2(3) \cong P\Gamma L(2, 8)$, N is also 2-transitive on X and we may assume that $G = N$.

Case $G = A_n$. This cannot occur since G is 3-transitive.

Case $G = PSL(2, q)$, $q \geq 5$. Since all orbits of G_{xy} on $X - \{x, y\}$ have size $\geq (q-1)/2$, Lemma 2.1 yields a contradiction.

Case $G = PSL(d, q)$, $d \geq 3$. Here G_{xy} has orbit-lengths $q-1$ and $v - (q+1)$ on $X - \{x, y\}$. By (2.1), $|B| = q+1$. Thus, $\mathcal{D} = PG(d-1, q)$.

Case $G = PSU(3, q)$, $v = q^3 + 1$. Each orbit of G_{xy} on $X - \{x, y\}$ has length $q-1$ or at least $(q^2-1)/3$. By Lemma 2.1, $k = 2 + (q-1)$. Then \mathcal{D} is the usual design for G .

Case $G = Sz(q)$, $v = q^2 + 1$. Each orbit of G_{xy} on $X - \{x, y\}$ has length $q-1$, so that Lemma 2.1 yields a contradiction.

Case $G = {}^2G_2(q)$. Here $|G_{xB}| = q^3(q-1)/r$ and $q^3 = v-1 = r(k-1)$. It follows that $k-1$ is a power of 3, and that G_{xB} has a normal 3-subgroup transitive on $B - \{x\}$. A Sylow 2-subgroup of G_B^B is elementary abelian of order ≤ 8 . By Section 2, $G_B^B \geq PSL(2, k-1)$ or ${}^2G_2(k-1)$.

There is a unique involution t in G_{xy} , $F = F(t)$ has size $q+1$, and $C(t)^F = PSL(2, q)$. If $B = F$ then (iii) holds. If $B \subset F$ then F is a subdesign, and $C(t)^F$ yields a contradiction.

Assume that $B \not\subset F$. Every element in $G_{xy} - \langle t \rangle$ has fixed point set $\{x, y\}$. Thus, G_{xy} is faithful on B . Also, $|G_{xy}| = q-1$. This rules out ${}^2G_2(k-1)$, and shows that $k = q$ and $G_B^B = PGL(2, q)$. But then G_B^B contains a dihedral group of order 8. This contradiction shows that (iii) is the only possibility in this case.

Case $G = Sp(2n, 2)$ and $v = 2^{n-1}(2^n \pm 1)$, $n \geq 3$. Here G_x acts on $X - \{x\}$ as $O^\pm(2n, 2)$ does on its singular vectors. Then G_{xy} has orbit-lengths $2(2^{n-1} \mp 1)(2^{n-2} \pm 1)$ and 2^{2n-2} on $X - \{x, y\}$, which is impossible by Lemma 2.1.

Case $G = A_7$, $v = 15$. Since G_{xy} has orbit-lengths 1 and 12 on $X - \{x, y\}$, $\mathcal{D} = PG(3, 2)$.

Case $G = PSL(2, 11)$, $v = 11$. Since G_{xy} has orbit-lengths 3 and 6 on $X - \{x, y\}$, this case cannot occur by Lemma 2.1.

Case $G = M_{11}$, M_{12} , M_{22} , M_{23} , or M_{24} . Since G is 3-transitive, these cannot occur.

Case $G = HS$, $v = 176$. Since G_{xy} has orbit-lengths 12, 72, and 90 on $X - \{x, y\}$, $k = 2 + 12$ by Lemma 2.1. But then $r = (v-1)/(k-1)$ is not an integer.

Case $G = .3$, $v = 276$. Since G_{xy} has orbit-lengths 112, 162 on $X - \{x, y\}$, Lemma 2.1 again produces a contradiction.

4. REGULAR NORMAL SUBGROUP

Next, assume that G has a regular normal subgroup N of order p^d . As in Section 3, we can replace G by a 2-transitive subgroup if necessary.

The only interesting part of the proof of Theorem 1 is the following case.

PROPOSITION 4.1. *If $G \leq AFL(1, v)$ then \mathcal{D} is an affine space.*

We may identify X with $GF(v)$. Let B be the block containing 0 and 1. It suffices to show that B is a subfield of X .

Set $G^* = G \cap AGL(1, v)$.

LEMMA 4.2. *B is a subspace of X .*

Proof. Since G_B^B is 2-transitive, $(G_B^B)'$ is transitive. Also, $(G_B) < G^*$. If $p \nmid k$, it follows that B is a subspace.

If $p \mid k$, then a regular normal subgroup of G_B^B is cyclic. Then k is a prime and $G_{01}^B = 1$. Set $F = F(G_{01})$. Then G_F^F is 2-transitive [16, (9.4)] and $B \subseteq F$. Since F is a subspace of X , it follows that F is a subdesign. By induction, $F = X$. Then G is sharply 2-transitive. Since G_B has a dihedral subgroup of order $2k$, this is impossible [17, p. 196; 5, (5.2.4)].

LEMMA 4.3. *We may assume that G_B is faithful on B .*

Proof. Assume that $G(B) \neq 1$. Then $F = F(G(B))$ is a subfield of X , and G_F^F is 2-transitive [16, (9.4)]. If $B = F$ we are finished. If $B \subset F$ then, assuming inductively that Proposition 4.1 holds for smaller v , we see that B is a subfield of F and hence of X .

LEMMA 4.4. *If $(G^*)_{0B}$ is irreducible on B , then \mathcal{D} is an affine space.*

Proof. Let K be the $GF(p)$ -space of linear transformations spanned by $(G^*)_{0B}$. Then K is a subfield of V , and K fixes B . By hypothesis, $K = GF(k)$. Thus, $B = K$.

LEMMA 4.5. *G_B is isomorphic to a subgroup of $AFL(1, k)$.*

Proof. G_B^B is a 2-transitive group such that G_{0B}^B is metacyclic. Any such group of degree k lies in $AFL(1, k)$.

Remarks. The preceding lemma does not assert that the $AFL(1, k)$ is embedded in $AFL(1, v)$ in the natural manner. The remainder of the proof of Proposition 4.1 is, in fact, concerned with proving just such an embedding.

Conceivably, $G_{01} = 1$. When this happens, the following all hold [17, p. 190; 5, p. 229]:

- LEMMA 4.6. (i) If $|Z(G_0)| = q - 1$ and $|G : G^*| = n$ then $v = q^n$;
 (ii) $Z(G_0) \leq (G^*)_0$;
 (iii) Every prime divisor of n also divides $q - 1$; and
 (iv) If $q \equiv 3 \pmod{4}$ then $n \not\equiv 0 \pmod{4}$.

Proof of Proposition 4.1. Write $k = p^e$. First assume that there is a prime s such that $s | p^e - 1$ but $s \nmid p^i - 1$ for $1 \leq i < e$. Then $e | s - 1$, so that $s \nmid e$. By Lemma 4.5, a Sylow s -subgroup S of G_{0B} lies in $AGL(1, k)$. Each nontrivial field automorphism of $GF(k)$ acts nontrivially on S . Thus, if G_B is not $AGL(1, k)$ then $S \leq (G_B)' \leq G' \leq G^*$ and Lemma 4.4 applies. Consequently, assume that G_B is $AGL(1, k)$. Then $G_{01} = 1$ and Lemma 4.6 can be used. If $s \nmid n$ then $S \leq G^*$ by Lemma 4.6(i). If $s | n$ then $s | q - 1$ by Lemma 4.6(iii), and hence $S \leq Z(G_0)$ since Sylow s -subgroups of G_0 are cyclic. Consequently, $S \leq (G^*)_{0B}$ once again (by Lemma 4.6(ii)).

Now we may assume that no prime s exists. By [18], either $k = p^2$ and p is a Mersenne prime, or else $k = 2^6$.

Let $k = p^2$. If $G_{01} \neq 1$ then $|G_{01}| = 2$ by Lemma 4.5, and $4 | |(G_{0B})'|$. Thus $4 | |(G_{0B})^*|$, and Lemma 4.4 applies. Now assume that $G_{01} = 1$, and let q and n be as in Lemma 4.6(i). If $q \equiv 3 \pmod{4}$ then $p + 1 | |(G^*)_{0B}|$ by Lemma 4.6(iv), so that Lemma 4.4 applies. Suppose that $q \equiv 1 \pmod{4}$. Since $p \equiv 3 \pmod{4}$ it follows that $p^2 - 1 | q - 1$. Then $p^2 - 1 | |Z(G_0)|$. In particular, a Sylow 2-subgroup of G_0 has a center of order $\geq 2(p + 1)$, and hence cannot be generalized quaternion and so must be cyclic. Since $|G_{0B}| = p^2 - 1$, a Sylow 2-subgroup of G_{0B} must lie in $Z(G_0)$, and hence also in G^* (by Lemma 4.6(ii)). Once again Lemma 4.4 applies.

Finally, consider the case $k = 2^6$. Since $O^2(G)$ is still 2-transitive we may assume that $G = O^2(G)$. Then $|G_{01}| \geq 3$. If $G_{01} \neq 1$ then $|(G_{0B})'| \geq 63/3$ and Lemma 4.4 applies. Assume that $G_{01} = 1$. Let $s \in \{3, 7\}$, and let S be a subgroup of G_{0B} of order s . If $s \nmid n$ in Lemma 4.6(i) then $S \leq G^*$. If $s | n$ then $s | q - 1$ by Lemma 4.6(iii), and $S \leq Z(G_0) \leq (G^*)_0$ by Lemma 4.6(i,ii). Thus, $|(G^*)_{0B}| \geq 21$, and Lemma 4.4 completes the proof of Proposition 4.1.

We will now run through the remaining cases listed in Section 2.

Remark. If $G_0 \cong SL(n, q)$, $Sp(n, q)$, or $G_2(q)'$, we may regard X as a $GF(q)$ -space.

Case $G_0 \cong SL(n, q)$, $v = q^n$. Here G_{0x} has an orbit of length $q^n - q$. By Lemma 2.1, $B \subseteq \langle x \rangle$. If $B = \langle x \rangle$ then $\mathcal{D} = AG(n, q)$. If $B \subset \langle x \rangle$ then, since $G \langle x \rangle$ is 2-transitive, $\langle x \rangle$ is a subdesign of \mathcal{D} . By Proposition 4.1, this subdesign is $AG(d, s)$ with $s^d = q$. Then the group of scalar transformations induced on X by $GF(s)$ also acts on each subdesign $\langle x \rangle$ and hence on \mathcal{D} . Thus, \mathcal{D} consists of all affine lines over $GF(s)$.

Case $G_0 \cong Sp(n, q)$, $n \geq 4$, $v = q^n$. This time all orbits of G_{0x} on $V - \langle x \rangle$ have lengths $\geq q(q^{n-2} - 1)/(q - 1) > q^{n/2}$. By Lemma 2.1, $B \subseteq \langle x \rangle$, and we can proceed as above.

Case $G_0 \cong G_2(q)$, q even, $v = q^6$. This time all orbits of G_{0x} on $X - \langle x \rangle$ have lengths divisible by $q(q + 1)$, $q^3(q + 1)$, or q^5 . (These are the lengths of the nontrivial orbits of $G_{\langle x \rangle}$ on the 1-spaces of X ; see, e.g., [2, (3.1)].) By the above arguments, we may assume that $|B \cap (X - \langle x \rangle)|$ is a nonzero multiple of $q(q + 1)$. Note that G_{0B} is transitive on $\Sigma = \{\langle y \rangle \mid y \in B\}$. There is an underlying symplectic structure on X (see, e.g., [2, Appendix]), and $\langle x \rangle$ is the only member of Σ perpendicular to all members of Σ . This contradiction proves that $B \subseteq \langle x \rangle$, and completes this case.

Case $G_0 = G_2(2)'$, $v = 2^6$. Since the orbit lengths of G_{0x} on $X - \langle x \rangle$ are $2(2 + 1)$, $2^3(2 + 1)$, 2^4 , and 2^4 , the preceding argument goes through without any changes.

Case $G_0 \cong A_7$, $v = 2^4$. Since G_{0x} is transitive on $X - \{0, x\}$, this cannot occur.

Case $G_0 \cong A_6$, $v = 2^4$. This time G_{0x} has orbit-lengths 6 and 8 on $X - \{0, x\}$, and Lemma 2.1 yields a contradiction.

Case $v = p^2$, $G_0 \cong SL(2, 3)$ or $SL(2, 5)$, $p = 5, 7, 11, 19, 23, 29$, or 59 . A check of the possible groups G shows that we may assume that G has a subgroup H of index ≤ 2 having only one class of involutions. Then H_B contains at least two involutions; since their product is of order p , it follows that $k \geq p$. By Lemma 2.1, $k = p$, and then \mathcal{D} is $AG(2, p)$.

Case $v = 3^4$ and G_0 has a normal extraspecial subgroup E of order 2^5 . Then $E_x = \langle t \rangle$ with $|t| = 2$ and $|F(t)| = 9$. We have $80 = v - 1 = r(k - 1)$, so that $(r, k) = (20, 5)$, $(16, 6)$, $(40, 3)$, or $(10, 9)$.

If $k = 5$ then $|F(t) \cap B| = 3$ and t^B induces a transposition. (We could not have $t^B = 1$ as $F(t)$ would be a subdesign of \mathcal{D} .) Then $G_B^B = S_5$. However, G cannot have a subgroup A_5 (although it can have an $SL(2, 5)$). Thus, $G(B)$ contains -1 , which is ridiculous.

Similarly, if $k = 6$ then G_B^B is 2-transitive of degree 6, so that $G_B^B \geq PSL(2, 5)$. This leads to the same contradiction as above.

If $k = 3$ then $B \subseteq F(t)$. Since $G_{F(t)}^{F(t)}$ is 2-transitive, it follows that B is a 1-space. Then $\mathcal{D} = AG(4, 3)$.

Finally, if $k = 9$ then \mathcal{D} is an affine plane of order 9. By [5, pp. 214, 232, 236], it is the "exceptional nearfield plane."

Case $v = 3^4$ and $G_0 \cong SL(2, 5)$. The possibilities for r and k are as in the preceding case. As above, $k \neq 5, 6$, while \mathcal{D} is $AG(2, 9)$ or the exceptional

nearfield plane if $k = 9$. If $k = 3$ let $t \in G_{0x}$ have order 3. Then $|F(t)| = 9$ and $B \subseteq F(t)$, so that $\mathcal{D} = AG(4, 3)$ as above.

Case $G_0 = SL(2, 13)$, $v = 3^6$. Since G_B contains two involutions, and their product has order 3, we have $1 \neq G_B \cap N \trianglelefteq G_B$. Thus, $G_B \cap N$ is transitive on B , and B is a subspace. If $k = 3$ then $\mathcal{D} = AG(6, 3)$.

If $k = 3^3$ then $|G_{0B}| = 13 \cdot 6$. This uniquely determines G_{0B} (up to conjugacy) and B . The design \mathcal{D} is then the affine plane in [8; 5, p. 236].

Finally, if $k = 3^2$ then $|G_{0B}| = 24$ and [12] applies.

This completes the proof of Theorem 1.

5. t -DESIGNS

The list in Section 2 and Theorem 1 easily imply the following:

THEOREM 3. *Let \mathcal{D} be a t -design with $k \geq t + 1 \geq 4$, and let $G \leq \text{Aut } \mathcal{D}$ be t -transitive on points. Then either*

(a) \mathcal{D} consists of the points and planes of $AG(d, 2)$ for some d , and G is $\mathbb{Z}_2^d \rtimes GL(d, 2)$ or $\mathbb{Z}_2^4 \rtimes A_7$ (and $d = 4$);

(b) The blocks of \mathcal{D} are all the images of $\{\infty\} \cup GF(q)$ under $PGL(2, q^e)$, $e \geq 2$, and $G \cong PSL(2, q^e)$; or

(c) \mathcal{D} is an $S(4, 5, 11)$, $S(5, 6, 12)$, $S(3, 6, 22)$, $S(4, 7, 23)$, or $S(5, 8, 24)$, and $G \cong M_v$.

Proof. Since G is 3-transitive, the list in Section 2 yields the following possibilities: $\mathbb{Z}_2^d \rtimes GL(d, 2)$, $\mathbb{Z}_2^4 \rtimes A_7$, $PSL(2, v) \leq G \leq P\Gamma L(2, v)$, or G is a Mathieu group. Assume that $t = 3$. Then Theorem 1 applies to G_x and the corresponding design \mathcal{D}_x , and it is straightforward to check that (a), (b), or (c) (with $S(3, 6, 22)$) holds. If $t > 3$ then G is 4-transitive and (c) holds.

6. PROOF OF THEOREM 2

Set $G = \text{Aut } \mathcal{L}$, and let k be the common size of all lines of \mathcal{L} . Let x be a point of \mathcal{L} , and let 1 have the usual meaning for \mathcal{L} .

First assume that $k > 2$. The points and lines form a design \mathcal{D} to which Theorem 1 applies. The design for $PSU(3, 2)$ is just $AG(2, 3)$; the design for $PSU(3, 4)$ satisfies the conditions of Theorem 2. Excluding these instances, the examples in (iii)–(v) do not have basis-transitive groups, since $|G_{xy}| < v - k$ in each case. Thus, the points and lines can be identified with the points and lines of a projective or affine geometry. We may assume that

$\text{rank}(\mathcal{L}) > 3$, so that (by induction) each interval $[x, 1]$ is a truncation of a projective or affine geometry. Comparison with \mathcal{D} shows that the same is true of \mathcal{L} , as required.

Now let $k = 2$, but assume that \mathcal{L} is not the truncation of a Boolean lattice. Then Theorem 3 applies to a suitable truncation of \mathcal{L} . On the other hand, by induction $[x, 1]$ is either a truncation of a projective or affine geometry, or a Steiner system as in Theorem 2 (ii). It follows that \mathcal{L} is also either a truncation of a projective or affine geometry or one of the aforementioned Steiner systems.

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