

# An overview of topological, $C^*$ -algebraic, and algebraic K-theory

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## What is K-theory?

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This is a superficial overview of topological,  $C^*$ -algebraic, and algebraic K-theory, with an emphasis on how they are related. There are other kinds of K-theory, which will not be mentioned.

# A rough outline

- Algebraic  $K_0$ . (This is essentially the same in all three versions of K-theory.)
- ~~Topological~~ Topological  $K_0$ .
- ~~Topological~~ Topological K-theory and K-homology.
- K-theory of Banach algebras and  $C^*$ -algebras; KK-theory.
- Brief comments on algebraic K-theory.

## Finitely generated projective modules

Let  $A$  be a unital ring. ( $A$  could be a unital  $C^*$ -algebra.) Recall finitely generated projective modules over  $A$ . Use right modules. (We will see why later, but it doesn't really matter.) Here we only need: an  $A$ -module  $P$  is finitely generated and projective if and only if there are  $n \in \mathbb{Z}_{\geq 0}$  and an  $A$ -module  $Q$  such that  $P \oplus Q \cong A^n$ , the rank  $n$  free module.

### Definition

$V(A)$  is the set of isomorphism classes of finitely generated projective right  $A$ -modules. Write  $\langle P \rangle$  for the class of  $P$ .

As stated,  $V(A)$  isn't really a set, because  $\langle P \rangle$  isn't a set. There are standard ways to deal with this, omitted here, and in later occurrences in these notes. The standard notation is  $[P]$ , but that is also the standard for the class in  $K_0(A)$ .

### Proposition

The operation  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$  on  $V(A)$  is well defined, and makes  $V(A)$  a commutative semigroup with identity the class of the zero module.

# Vector bundles and projections

$A$  is a unital ring.

$V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

The notation  $V(A)$  is standard in  $C^*$ -algebras. I don't know what is common elsewhere.

For topological  $K$ -theory of  $X$ , we will use finite dimensional vector bundles over  $X$  instead of finitely generated projective  $A$ -modules.

For  $C^*$ -algebras, it is common to use Murray-von Neumann equivalence classes of projections in matrix algebras over  $A$ .

We will see that these are all really the same thing.

## $K_0(A)$

$A$  is a unital ring.

$V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

For any commutative semigroup  $S$ , one can adjoin additive inverses to get a “universal enveloping group”  $G(S)$ , the Grothendieck group of  $S$ . It has a semigroup homomorphism  $S \rightarrow G(S)$ .

Related constructions you have seen: getting  $\mathbb{Z}$  from  $\mathbb{Z}_{\geq 0}$ ; localization of a commutative ring at a multiplicative system.

Warning: if  $S$  does not have cancellation (that is,  $r + t = s + t$  does not imply  $r = s$ ), then  $S \rightarrow G(S)$  need not be injective.

### Definition

$$K_0(A) = G(V(A)).$$

We write  $[P]$  for the class of the module  $P$  in  $K_0(A)$ .

## Examples

$A$  is a unital ring.

$V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

$K_0(A)$  results from forcibly making  $V(A)$  into a group.

### Example

Suppose  $A$  is a field. Then the finitely generated projective right  $A$ -modules are just the finite dimensional vector spaces over  $A$ . So  $\langle P \rangle \mapsto \dim(P)$  is an isomorphism  $V(A) \rightarrow \mathbb{Z}_{\geq 0}$ . Therefore  $K_0(A) \cong \mathbb{Z}$ .

It is tricky to give other examples at this stage. But here is an illustration.

### Example

Let  $H = \ell^2(\mathbb{Z}_{>0})$ , a separable infinite dimensional Hilbert space. Let  $L(H)$  be the algebra of bounded operators on  $H$ . It turns out that if  $P$  is any finitely generated projective right  $L(H)$ -module, then, as  $L(H)$ -modules,  $P \oplus L(H) \cong L(H)$ . In a group,  $L(H)$  can be cancelled. So  $K_0(L(H)) = 0$ .

The calculations are more easily done using idempotents. See below.

## Ring structure

$A$  is a unital ring.

$V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

$K_0(A)$  results from forcibly making  $V(A)$  into a group.  $[P]$  is the class of the module  $P$  in  $K_0(A)$ .

If  $A$  is commutative, and  $P$  and  $Q$  are right  $A$ -modules, then  $P \otimes_A Q$  makes sense as a right  $A$ -module. The operation  $[P][Q] = [P \otimes_A Q]$  extends to  $K_0(A)$  and makes  $K_0(A)$  a unital ring, with multiplicative identity  $[A]$ .

If  $A$  is not commutative, one can only form  $P \otimes_A Q$  when  $P$  is a right  $A$ -module and  $Q$  is a left  $A$ -module; the result is not an  $A$ -module on either side. Thus, generally no ring structure on  $K_0(A)$ .

It is still true that  $K_0(A)$  is a partially ordered abelian group, with the positive elements being the image of  $V(A)$ . This order structure can be complicated, and is very important in  $C^*$ -algebras.



## Functoriality

Let  $A$  and  $B$  be unital rings. (They could be unital  $C^*$ -algebras.) Let  $\varphi: A \rightarrow B$  be a unital homomorphism. Use  $\varphi$  to make  $B$  into an  $A$ - $A$  bimodule. If  $P$  is a finitely generated projective right  $A$ -module, then  $P \otimes_A B$  is a right  $B$ -module. It is finitely generated and projective.

### Theorem

The operations  $\langle P \rangle \mapsto \langle P \otimes_A B \rangle$  and  $[P] \mapsto [P \otimes_A B]$  are well defined. Moreover:

- 1  $V(-)$  is a covariant functor from unital rings to commutative semigroups with identity.
- 2  $K_0(-)$  is a covariant functor from unital rings to partially ordered abelian groups.
- 3  $K_0(-)$  is a covariant functor from commutative unital rings to commutative unital rings.

The maps are usually written  $\varphi_*$ .

## Nonunital rings

If  $A$  is a unital ring, then  $V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ , and  $K_0(A)$  results from forcibly making  $V(A)$  into a group.  $[P]$  is the class of the module  $P$  in  $K_0(A)$ .

What about nonunital rings?

If  $A$  is a nonunital Banach algebra, there is a unitization  $A^+ = A + \mathbb{C} \cdot 1$ , again a Banach algebra, with a unitization map  $\kappa: A^+ \rightarrow \mathbb{C}$ . It works properly if one defines  $K_0(A)$  to be the kernel of  $\kappa_*: K_0(A^+) \rightarrow K_0(\mathbb{C})$ .

In the general algebraic situation, one might use  $A + \mathbb{Z} \cdot 1$ , but for an  $F$ -algebra maybe one wants  $A + F \cdot 1$ . Unfortunately, one might get different answers, and the situation is quite complicated.

## Topological $K_0$

Let  $X$  be a compact Hausdorff space. Let  $C(X)$  be the set of all complex valued continuous functions on  $X$ . It is a unital ring with the pointwise operations, in fact, a unital  $C^*$ -algebra. We take  $K^0(X) = K_0(C(X))$ . (This is not the usual way to define  $K^0(X)$ ; the usual definition uses vector bundles.)

$X \mapsto C(X)$  is a contravariant functor from compact Hausdorff spaces to commutative unital  $C^*$ -algebras. If  $h: X \rightarrow Y$ , then  $C(h): C(Y) \rightarrow C(X)$  is given by  $C(h)(f) = f \circ h$ .

Then  $h^*: K^0(Y) \rightarrow K^0(X)$  is  $h^* = C(h)_*$ . The index is conventionally a superscript since  $K^0$  is contravariant on spaces.

In fact,  $X \mapsto C(X)$  is a contravariant category equivalence from compact Hausdorff spaces and continuous maps to commutative unital  $C^*$ -algebras and unital  $*$ -homomorphisms.

## Vector bundles

Recall that a (finite dimensional, complex) vector bundle  $E$  over a topological space  $X$  has fibers  $E_x$  over  $x \in X$ , which are finite dimensional complex vector spaces, and the whole structure is “locally trivial”.

Examples of real vector bundles: the tangent space of a manifold; the Möbius strip as a bundle over its center line.

If  $E$  is a vector bundle over  $X$ , its section space  $\Gamma(E)$  is the set of continuous functions  $s: X \rightarrow E$  such that  $s(x) \in E_x$  for all  $x \in X$ . It is a module over  $C(X)$ , with  $(sf)(x) = f(x)s(x)$  for  $x \in X$ ,  $s \in \Gamma(E)$ , and  $f \in C(X)$ .

### Theorem (Swan's Theorem)

Let  $X$  be a compact Hausdorff space. Then  $E \mapsto \Gamma(E)$  is a category equivalence from finite dimensional complex vector bundles over  $X$  to finitely generated projective right  $C(X)$ -modules.

## K-theory from vector bundles

If  $A$  is a unital ring, then  $V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ , and  $K_0(A)$  results from forcibly making  $V(A)$  into a group.

Swan's Theorem:  $E \mapsto \Gamma(E)$  is a category equivalence from finite dimensional complex vector bundles over  $X$  to finitely generated projective right  $C(X)$ -modules.

$$K^0(X) = K_0(C(X))$$

Therefore  $K^0(X)$  has the following description: let  $V(X)$  be the commutative semigroup of isomorphism classes of complex vector bundles over  $X$ , with the operation induced by direct sum. Then  $K^0(X) = G(V(X))$ , the universal enveloping group of  $V(X)$ .

## Functoriality of $K^0(X)$

For a compact Hausdorff space  $X$ , let  $V(X)$  be the commutative semigroup of isomorphism classes of complex vector bundles over  $X$ . Then  $K^0(X)$  is the universal enveloping group of  $V(X)$ .

Since  $C(X)$  is commutative,  $K^0(X)$  is a ring.

Let  $h: X \rightarrow Y$  be continuous, and let  $F$  be a vector bundle over  $Y$ . There is a topologically very natural definition of the pullback  $h^*(F)$ , which is a vector bundle over  $X$ . Moreover,  $[F] \mapsto [h^*(F)]$ , as a map from  $K^0(Y)$  to  $K^0(X)$ , is the same as the map  $C(h)_*: K_0(C(Y)) \rightarrow K_0(C(X))$ .

That is,  $K^0(-)$ , as a functor from compact Hausdorff spaces to commutative unital rings, has a natural purely topological description.

# Homotopy invariance

## Theorem

Let  $X$  and  $Y$  be compact Hausdorff spaces, and let  $h_0, h_1: X \rightarrow Y$  be homotopic. Let  $F$  be a vector bundle over  $Y$ . Then  $h_0^*(F) \cong h_1^*(F)$ .

## Corollary

Let  $X$  and  $Y$  be compact Hausdorff spaces, and let  $h_0, h_1: X \rightarrow Y$  be homotopic. Then  $h_0^* = h_1^*: K^0(Y) \rightarrow K^0(X)$ .

Homotopy invariance is one of the crucial properties of a cohomology theory.

## Locally compact spaces

If  $X$  is locally compact, we let  $C_0(X)$  be the set of all complex valued continuous functions on  $X$  which vanish at infinity. It is a nonunital commutative  $C^*$ -algebra. We take  $K^0(X) = K_0(C_0(X))$ . Recall that this is the kernel of  $K_0(C_0(X)^+) \rightarrow K_0(\mathbb{C})$

If  $X^+$  is the one point compactification of  $X$ , then  $C_0(X)^+ \cong C(X^+)$ , so  $K^0(X)$  is the kernel of  $K^0(X^+) \rightarrow K^0(\{\infty\})$ .

Warning: this is cohomology *with compact supports*, better thought of as  $K^0(X) = K^0(X^+, \{\infty\})$ , and the functoriality is a little strange in topological terms. (It is easily expressed in  $C^*$ -algebras: from not necessarily unital  $C^*$ -algebras and not necessarily unital homomorphisms to abelian groups.)

### Theorem

Let  $X$  be a locally compact Hausdorff space, and let  $Y \subset X$  be closed. Then the sequence  $K^0(X \setminus Y) \rightarrow K^0(X) \rightarrow K^0(Y)$  is exact in the middle.



## Topological K-theory

$K^0$  is a homotopy invariant functor, and middle exact: if  $Y \subset X$  be closed, then  $K^0(X \setminus Y) \rightarrow K^0(X) \rightarrow K^0(Y)$  is exact in the middle.

In topology, this is more conventionally written using the relative group:  
 $K^0(X, Y) \rightarrow K^0(X) \rightarrow K^0(Y)$ .

This, plus maybe a little more, is enough to give a cohomology theory with long exact sequences. It starts as follows.

Take the suspension  $SX$  to be  $(0, 1) \times X$ . This is the right version for theories with compact supports. To compare with the usual suspension:  $(SX)^+ = (0, 1)^+ \wedge X^+ = S^1 \wedge X^+$ . Then mimic  $H^n(\Sigma X; \mathbb{Z}) \cong H^{n-1}(X; \mathbb{Z})$  by defining  $K^{-1}(X) = K^0(SX)$ . (Yes,  $K^{-1}$  is correct.)

Iterate:  $K^{-n}(X) = K^0(S^n X)$ . It is at least immediate that  $K^{-n}$  is a homotopy invariant functor and is middle exact. Long exact sequence: the slide after the next slide.

# Bott periodicity

For  $n \in \mathbb{Z}_{\geq 0}$ ,  $K^{-n}$  is a homotopy invariant functor and is middle exact.

## Theorem (Bott periodicity)

There is a natural isomorphism  $K^0(S^2X) \cong K^0(X)$ , for all locally compact Hausdorff spaces.

There are many different proofs of this, and some long but elementary, some short and slick, using machinery of  $C^*$ -algebras, and some of which say somewhat more. There also other formulations, in terms of homotopy groups of unitary groups.

The theorem says  $K^{-n-2}(X) = K^{-n}(X)$ . Use this to *define* a periodic cohomology theory, with period 2, by  $K^n(X) = K^0(X)$  when  $n \in \mathbb{Z}$  is even, and  $K^n(X) = K^{-1}(X)$  when  $n \in \mathbb{Z}$  is odd.

## Long exact sequence

For  $n \in \mathbb{Z}$ ,  $K^n$  is a homotopy invariant functor and is middle exact.

$K^n(X) = K^0(X)$  when  $n \in \mathbb{Z}$  is even, and  $K^n(X) = K^{-1}(X)$  when  $n \in \mathbb{Z}$  is odd.

The standard topological construction gives long exact sequences, here, for  $Y \subset X$  closed:

$$\begin{array}{ccccc} K^0(X \setminus Y) & \longrightarrow & K^0(X) & \longrightarrow & K^0(Y) \\ & & & & \downarrow \\ & \uparrow & & & \\ K^1(X \setminus Y) & \longleftarrow & K^1(Y) & \longleftarrow & K^1(X). \end{array}$$

If one uses  $\mathbb{R}$  instead of  $\mathbb{C}$ , real vector bundles instead of complex vector bundles, and  $C(X, \mathbb{R})$ , the set of all *real* valued continuous functions on  $X$ , instead of  $C(X)$  (but the same definition of algebraic  $K_0$ , now applied to  $C(X, \mathbb{R})$ ), one gets the real K-theory of compact Hausdorff spaces. It has period 8 instead of 2.

## Chern character

Rationally,  $K^0(X) \otimes \mathbb{Q}$  is the direct sum of all even rational (Čech) cohomology (with compact supports), and  $K^1(X) \otimes \mathbb{Q}$  is the direct sum of all odd rational (Čech) cohomology. (The isomorphism is natural; it is the Chern character.)

So there seems to be not so much use for topological K-theory. Three reasons it is actually useful:

- K-theory treats torsion differently.
- Some topological invariants, such as the index of a family of elliptic differential operators, naturally live in K-theory.
- K-theory generalizes nicely to Banach algebras and  $C^*$ -algebras, but ordinary cohomology doesn't.

# K-homology

A (generalized) cohomology theory has a dual (generalized) homology theory, at least on finite complexes. (One construction uses Alexander-Spanier duality.)

For K-theory, the dual is called K-homology. It was known to exist, but for some time no natural constructions were known.

Natural definitions, for general compact metric spaces, were first discovered by C\*-algebraists, using extensions of C\*-algebras and, later, abstract elliptic pseudodifferential operators.

## Concrete description of $K_0(A)$

If  $A$  is a unital ring, then  $V(A)$  is the commutative semigroup of isomorphism classes of finitely generated projective right  $A$ -modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ , and  $K_0(A)$  results from forcibly making  $V(A)$  into a group.  $[P]$  is the class of the module  $P$  in  $K_0(A)$ .

$P$  is finitely generated and projective if and only if there are  $n \in \mathbb{Z}_{\geq 0}$  and an  $A$ -module  $Q$  such that  $P \oplus Q \cong A^n$ , the rank  $n$  free module.

Rewriting the characterization:  $\text{End}(A^n) \cong M_n(A)$ , acting on the left via the usual formula for applying a matrix to a vector. (This is why we used right modules.)

So  $P$  is finitely generated and projective if and only if there are  $n \in \mathbb{Z}_{\geq 0}$  and an idempotent  $e \in M_n(A)$  such that  $P \cong eA^n$ . (Then  $Q \cong (1 - e)A^n$ .)

Therefore  $V(A)$  is the set of equivalence classes of idempotents in  $\bigcup_{n=1}^{\infty} M_n(A)$ , for a suitable equivalence relation.

## Concrete description of $K_0(A)$ (continued)

Therefore  $V(A)$  is the set of equivalence classes of idempotents in  $\bigcup_{n=1}^{\infty} M_n(A)$ , for a suitable equivalence relation.

The relation should be:  $e \sim f$  if and only if  $eA^m \cong fA^n$ .

The right way to do this is to let  $M_{\infty}(A)$  be the (algebraic) direct limit  $\varinjlim_n M_n(A)$ , with maps

$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}$$

(a nonunital ring), and define  $e \sim f$  if there exist  $v, w \in M_{\infty}(A)$  such that  $wv = e$  and  $vw = f$ . This is ~~algebraic~~-algebraic Murray-von Neumann equivalence.

If  $A$  is a  $C^*$ -algebra (but not for a general Banach  $*$ -algebra), one can “normalize”: use the projections (selfadjoint idempotents) in  $M_{\infty}(A)$ , and  $p \sim q$  if there is  $s \in M_{\infty}(A)$  such that  $s^*s = p$  and  $ss^* = q$ . This is much easier to work with.

Functoriality is  $\varphi_*([p]) = [(\text{id}_{M_{\infty}} \otimes \varphi)(p)]$ .

$K_0(L(I^2))$

Addition in the concrete description of  $V(A)$  is as follows. Let  $e \in M_m(A)$  and  $f \in M_n(A)$  be idempotents. Then  $[e] + [f]$  is the class of

$$e \oplus f = \begin{pmatrix} e & 0 \\ 0 & f \end{pmatrix} \in M_{m+n}(A). \quad (1)$$

(One checks that  $(e \oplus f)A^{m+n} = eA^m \oplus fA^n$ .)

Back to  $K_0(L(H))$ , with  $H = I^2$ . First,  $M_n(L(H)) \cong L(H^n)$ , and  $H^n \cong H$ . Second, let's use projections. The projections  $p \in L(H)$  are exactly the orthogonal projections onto closed subspaces of  $H$ . Moreover, if  $pH$  and  $qH$  have the same dimension as Hilbert spaces, then  $pH \cong qH$ , which means that there is a unitary  $s \in L(pH, qH)$ , with inverse  $s^*$ . These can be regarded as operators in  $L(H)$  satisfying  $ss^* = q$  and  $s^*s = p$ . Thus  $p \sim q$ . It is easy to see that if  $p \sim q$  then  $\dim(pH) = \dim(qH)$ .

Combining this with (1) one sees that  $V(L(H))$  is the semigroup of possible dimensions, as Hilbert spaces, of closed subspaces of  $H^n$  for  $n \in \mathbb{Z}$ , with the "obvious" addition, that is,  $V(L(H)) \cong \{0, 1, 2, \dots, \infty\}$ . Since



## Concrete description of $K_1(A)$

Let  $A$  be a unital Banach algebra.

There is then also a concrete description of  $K_1(A)$ , which gives  $K_1(C(X)) \cong K^1(X)$ .

Define:

- $\text{inv}(A)$  is the set of invertible elements of  $A$ .
- $\text{inv}_0(A)$  is the connected component of  $\text{inv}(A)$  which contains 1.
- $K_1(A) = \varinjlim_n \text{inv}(M_n(A))/\text{inv}_0(M_n(A))$ , using the maps

$$u \mapsto \begin{pmatrix} u & 0 \\ 0 & 1 \end{pmatrix}$$

This matches the topological theorem  $K^1(X) \cong [X, \varinjlim_n \text{GL}_n(\mathbb{C})]$ .

Again, there is a  $C^*$  normalization: use the unitary group  $U(A)$  and its identity component  $U_0(A)$ . Then  $K_1(A) \cong \varinjlim_n U(M_n(A))/U_0(M_n(A))$ .

# Homotopy

$V(A)$  is the set-semigroup of Murray-von Neumann equivalence classes of idempotents in  $\bigcup_{n=1}^{\infty} M_n(A) M_{\infty}(A)$ , and  $K_0(A)$  ~~results from forcibly making  $V(A)$  into a~~ is its universal enveloping group.

There is a (quite naive) definition of what it means for two homomorphisms of Banach algebras  $\varphi_0, \varphi_1: A \rightarrow B$  to be homotopic. ~~Moreover, homotopic:~~ there are homomorphisms  $\varphi_t: A \rightarrow B$  for  $t \in [0, 1]$  such that  $t \mapsto \varphi_t(a)$  is continuous for all  $a \in A$ . (With this definition, if  $X$  and  $Y$  are compact, then  $h_0, h_1: X \rightarrow Y$  are homotopic if and only if  $C(h_0), C(h_1): C(Y) \rightarrow C(X)$  are homotopic.)

Homotopic idempotents are Murray-von Neumann equivalent. (Given standard Banach algebra machinery, the proof is easier than for vector bundles.)

~~Conclusion:~~ Therefore, if  $\varphi_0, \varphi_1: A \rightarrow B$  are homotopic homomorphisms of Banach algebras, then  $(\varphi_0)_* = (\varphi_1)_*: K_0(A) \rightarrow K_0(B)$ .

$$K_1(A) = \varinjlim_n \text{inv}(M_n(A)) / \text{inv}_0(M_n(A)).$$

So, if  $\varphi_0, \varphi_1: A \rightarrow B$  are homotopic homomorphisms of Banach algebras,

## Bott periodicity

There is a very naive version of the suspension for a Banach algebra, satisfying  $SC_0(X) \cong C_0(SX)$ . It is:  $SA$  is the algebra of continuous functions from  $[0, 1]$  to  $A$  which vanish at 0 and 1. (This can be identified as  $C_0((0, 1), A)$ ,  $A$ -valued continuous functions vanishing at infinity.)

### Theorem (Bott periodicity)

There are natural isomorphisms  $K_0(SA) \cong K_1(A)$  and  $K_1(SA) \cong K_0(A)$ , for all Banach algebras  $A$ .

The long exact sequence takes the form: if  $J$  is a closed ideal in  $A$  and  $B = A/J$ , with maps  $\iota: J \rightarrow A$  and  $\pi: A \rightarrow B$ , there is an exact sequence

$$\begin{array}{ccccc} K_0(J) & \xrightarrow{\iota_*} & K_0(A) & \xrightarrow{\pi_*} & K_0(B) \\ \exp \uparrow & & & & \downarrow \partial \\ K_1(B) & \xleftarrow{\pi_*} & K_1(A) & \xleftarrow{\iota_*} & K_1(J). \end{array}$$

The hypothesis says:

## Example theorem from $C^*$ -algebras

I won't define the terms in this theorem: that would go too far afield.

### Theorem

Let  $A$  and  $B$  be purely infinite simple separable nuclear nonunital  $C^*$ -algebras satisfying the Universal Coefficient Theorem. If  $K_0(A) \cong K_0(B)$  and  $K_1(A) \cong K_1(B)$ , then  $A \cong B$ .

There is an analogous theorem for the unital case. In the unital stably finite case with real rank zero, one must add Jiang-Su stability and include the structure of  $K_0$  as a scaled ordered group.

## K-homology and KK-theory

There are also concrete descriptions of K-homology for C\*-algebras.

~~There~~ For C\*-algebras, there is a two variable version,  $KK(A, B)$ , which for  $A$  and  $B$  separable and  $A$  nuclear is heuristically “K-homology ~~of~~ in the first variable and K-theory ~~of~~ in the second variable”. It has several quite ~~different constructions, and~~ different constructions. In the following,  $K \otimes A$  is a norm completion of  $M_\infty(A)$ . The constructions include: equivalence classes of extensions of C\*-algebras

$$0 \longrightarrow K \otimes B \longrightarrow E \longrightarrow A \longrightarrow 0$$

(addition is not the same as for extensions of modules), homotopy classes of abstract elliptic operators, and homotopy classes of approximate (in a suitable sense) homomorphisms  $K \otimes SA \rightarrow K \otimes SB$ .

It has a product  $KK(A, B) \times KK(B, C) \rightarrow KK(A, C)$ . ~~This theory plays~~

These groups play a key role in classification theorems for C\*-algebras.

# Algebraic $K_1$

Recall that if  $A$  is a unital Banach algebra, then

$K_1(A) = \lim_{\rightarrow n} \text{inv}(M_n(A))/\text{inv}_0(M_n(A))$ . If  $A$  does not have a topology, then  $\text{inv}_0(M_n(A))$  does not make sense.

$SA = C_0((0, 1), A)$  does not make sense, so defining  $K_1(A) = K_0(SA)$  will not work, even independently of the problem of algebraic K-theory for nonunital algebras.

The replacement is to use the commutator subgroup instead of the identity component. If  $G$  is a group, let  $G'$  be the subgroup generated by all  $ghg^{-1}h^{-1}$  for  $g, h \in G$ . For a unital ring  $A$ , define

$$K_1^{\text{alg}}(A) = \lim_{\rightarrow n} \text{inv}(M_n(A))/\text{inv}(M_n(A))'$$

To emphasize the difference, write  $K_1^{\text{top}}(A)$  for the group already defined.

$K_1^{\text{alg}}(A)$  vs.  $K_1^{\text{top}}(A)$

$G'$  is generated by  $\{ghg^{-1}h^{-1} : g, h \in G\}$ .

$K_1^{\text{alg}}(A) = \lim_{\rightarrow n} \text{inv}(M_n(A)) / \text{inv}(M_n(A))'$ .

This is a functor in the same way that  $K_1^{\text{top}}(A)$  is.

For a Banach algebra,  $K_1^{\text{alg}}(A)$  and  $K_1^{\text{top}}(A)$  need not be the same.

Example:  $A = \mathbb{C}$ . We have  $\text{inv}(M_n(\mathbb{C})) = \text{GL}_n(\mathbb{C})$ , which is connected for all  $n$ . Therefore  $K_1^{\text{top}}(\mathbb{C}) = 0$ .

However, all commutators have determinant 1. That is,  $\text{inv}(M_n(\mathbb{C}))' \subset \text{SL}_n(\mathbb{C})$ . In fact, these are equal, and the determinant defines an isomorphism from  $K_1^{\text{alg}}(\mathbb{C})$  to the multiplicative group  $\mathbb{C} \setminus \{0\}$ .

It is not true that  $\text{inv}(M_n(\mathbb{C}))' \subset \text{inv}_0(M_n(A))$ , but this is true “in the limit”, and one therefore gets a surjective comparison map

$K_1^{\text{alg}}(A) \rightarrow K_1^{\text{top}}(A)$ . As we have seen, it can be very far from injective.

## Exact sequence; $K_2(A)$

For a unital ring  $A$  and an ideal  $J \subset A$ , there is an exact sequence (for which I say nothing about the definitions of the relative groups which appear):

$$K_1(A, J) \longrightarrow K_1(A) \longrightarrow K_1(A/J) \longrightarrow K_0(A, J) \longrightarrow K_0(A) \longrightarrow K_0(A/J).$$

The last map is normally not surjective.

Milnor defined a group  $K_2(A)$  for a unital ring  $A$ , in such a way that the exact sequence above at least extends to

$$K_2(A) \longrightarrow K_2(A/J) \longrightarrow K_1(A, J) \longrightarrow K_1(A) \longrightarrow K_1(A/J) \longrightarrow \dots$$

However, this construction didn't obviously generalize in an appropriate way to  $K_n(A)$  for  $n \geq 3$ .



## Higher algebraic K-theory

Defining  $K_n(A)$  for  $n \geq 3$  had to wait for Quillen's work, for which he received a Fields Medal, using almost completely different ideas. Here is a very brief description. Start with the group  $\lim_{\rightarrow n} \text{inv}(M_n(A))$ . Form its classifying space. Attach additional cells; among other things, the attached cells change the fundamental group from  $\lim_{\rightarrow n} \text{inv}(M_n(A))$  to its abelianization, which is  $\lim_{\rightarrow n} \text{inv}(M_n(A)) / \text{inv}(M_n(A))' = K_1(A)$ . Then form the higher homotopy groups of the result. There is in general no periodicity.

There are by now variants, and algebraic K-groups  $K_n(A)$  for  $n < 0$ , about which I can say nothing here. There are even algebraic versions of KK-theory, about which I know very little.

There is always a comparison map  $K_n^{\text{alg}}(A) \rightarrow K_n^{\text{top}}(A)$  (in any degree, taking  $n \bmod 2$  on the right). For  $n \neq 0$ , rarely, but occasionally, these maps are isomorphisms. An example is if  $A$  is a unital properly infinite  $C^*$ -algebra, such as a Cuntz algebra or  $L(H)$  for an infinite dimensional Hilbert space  $H$ .