# An overview of topological, C\*-algebraic, and algebraic K-theory

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#### What is K-theory?

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This is a superficial overview of topological, C\*-algebraic, and algebraic K-theory, with an emphasis on how they are related. There are other kinds of K-theory, which will not be mentioned.

### A rough outline

- Algebraic  $K_0$ . (This is essentially the same in all three versions of K-theory.)
- Topological Topological  $K_0$ .
- Topoloogical Topological K-theory and K-homology.
- K-theory of Banach algebras and C\*-algebras; KK-theory.
- Brief comments on algebraic K-theory.

## Finitely generated projective modules

Let A be a unital ring. (A could be a unital C\*-algebra.) Recall finitely generated projective modules over A. Use right modules. (We will see why later, but it doesn't really matter.) Here we only need: an A-module P is finitely generated and projective if and only if there are  $n \in \mathbb{Z}_{\geqslant 0}$  and an A-module Q such that  $P \oplus Q \cong A^n$ , the rank n free module.

#### **Definition**

V(A) is the set of isomorphism classes of finitely generated projective right A-modules. Write  $\langle P \rangle$  for the class of P.

As stated, V(A) isn't really a set, because  $\langle P \rangle$  isn't a set. There are standard ways to deal with this, omitted here, and in later occurrences in these notes. The standard notation is [P], but that is also the standard for the class in  $K_0(A)$ .

#### **Proposition**

The operation  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$  on V(A) is well defined, and makes V(A) a commutative semigroup with identity the class of the zero module.

### Vector bundles and projections

A is a unital ring.

V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

The notation V(A) is standard in C\*-algebras. I don't know what is common elsewhere.

For topological K-theory of X, we will use finite dimensional vector bundles over X instead of finitely generated projective A-modules.

For  $C^*$ -algebras, it is common to use Murray-von Neumann equivalence classes of projections in matrix algebras over A.

We will see that these are all really the same thing.

# $K_0(A)$

A is a unital ring.

V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

For any commutative semigroup S, one can adjoin additive inverses to get a "universal enveloping group" G(S), the Grothendieck group of S. It has a semigroup homomorphism  $S \to G(S)$ .

Related constructions you have seen: getting  $\mathbb{Z}$  from  $\mathbb{Z}_{\geqslant 0}$ ; localization of a commutative ring at a multiplicative system.

Warning: if S does not have cancellation (that is, r+t=s+t does not imply r=s), then  $S\to G(S)$  need not be injective.

#### **Definition**

$$K_0(A) = G(V(A)).$$

We write [P] for the class of the module P in  $K_0(A)$ .

#### **Examples**

A is a unital ring.

V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .  $K_0(A)$  results from forcibly making V(A) into a group.

#### Example

Suppose A is a field. Then the finitely generated projective right A-modules are just the finite dimensional vector spaces over A. So  $\langle P \rangle \mapsto \dim(P)$  is an isomorphism  $V(A) \to \mathbb{Z}_{\geqslant 0}$ . Therefore  $K_0A) \cong \mathbb{Z}$ .

It is tricky to give other examples at this stage. But here is an illustration.

#### Example

Let  $H=I^2(\mathbb{Z}_{>0})$ , a separable infinite dimensional Hilbert space. Let L(H) be the algebra of bounded operators on H. It turns out that if P is any finitely generated projective right L(H)-module, then, as L(H)-modules,  $P\oplus L(H)\cong L(H)$ . In a group, L(H) can be cancelled. So  $K_0(L(H))=0$ .

The calculations are more easily done using idempotents. See below.

#### Ring structure

A is a unital ring.

V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ .

 $K_0(A)$  results from forcibly making V(A) into a group. [P] is the class of the module P in  $K_0(A)$ .

If A is commutative, and P and Q are right A-modules, then  $P \otimes_A Q$  makes sense as a right A-module. The operation  $[P][Q] = [P \otimes_A Q]$  extends to  $K_0(A)$  and makes  $K_0(A)$  a unital ring, with multiplicative identity [A].

If A is not commutative, one can only form  $P \otimes_A Q$  when P is a right A-module and P is a left A-module; the result is not an A-module on either side. Thus, generally no ring structure on  $K_0(A)$ .

It is still true that  $K_0(A)$  is a partially ordered abelian group, with the positive elements being the image of V(A). This order structure can be complicated, and is very important in C\*-algebras.

#### **Functoriality**

Let A and B be unital rings. (They could be unital C\*-algebras.) Let  $\varphi \colon A \to B$  be a unital homomorphism. Use  $\varphi$  to make B into an  $A\!-\!A$  bimodule. If P is a finitely generated projective right A-module, then  $P \otimes_A B$  is a right B-module. It is finitely generated and projective.

#### **Theorem**

The operations  $\langle P \rangle \mapsto \langle P \otimes_A B \rangle$  and  $[P] \mapsto [P \otimes_A B]$  are well defined. Moreover:

- $oldsymbol{V}(-)$  is a covariant functor from unital rings to commutative semigroups with identity.
- **②**  $K_0(-)$  is a covariant functor from unital rings to partially ordered abelian groups.
- **3**  $K_0(-)$  is a covariant functor from commutative unital rings to commutative unital rings.

The maps are usually written  $\varphi_*$ .

## Nonunital rings

If A is a unital ring, then V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ , and  $K_0(A)$  results from forcibly making V(A) into a group. [P] is the class of the module P in  $K_0(A)$ .

What about nonunital rings?

If A is a nonunital Banach algebra, there is a unitization  $A^+ = A + \mathbb{C} \cdot 1$ , again a Banach algebra, with a unitization map  $\kappa \colon A^+ \to \mathbb{C}$ . It works properly if one defines  $K_0(A)$  to be the kernel of  $\kappa_* \colon K_0(A^+) \to K_0(\mathbb{C})$ .

In the general algebraic situation, one might use  $A + \mathbb{Z} \cdot 1$ , but for an F-algebra maybe one wants  $A + F \cdot 1$ . Unfortunately, one might get different answers, and the situation is quite complicated.

## Topological $K_0$

Let X be a compact Hausdorff space. Let  $\mathcal{C}(X)$  be the set of all complex valued continuous functions on X. It is a unital ring with the pointwise operations, in fact, a unital C\*-algebra. We take  $K^0(X) = K_0(\mathcal{C}(X))$ . (This is not the usual way to define  $K^0(X)$ ; the usual definition uses vector bundles.)

 $X\mapsto C(X)$  is a contravariant functor from compact Hausdorff spaces to commutative unital C\*-algebras. If  $h\colon X\to Y$ , then  $C(h)\colon C(Y)\to C(X)$  is given by  $G(h)(f)=f\circ h$ .

Then  $h^*: K^0(Y) \to K^0(X)$  is  $h^* = C(h)_*$ . The index is conventionally a superscript since  $K^0$  is contravariant on spaces.

In fact,  $X \mapsto C(X)$  is a contravariant category equivalence from compact Hausdorff spaces and continuous maps to commutative unital C\*-algebras and unital \*-homomorphisms.

#### Vector bundles

Recall that a (finite dimensional, complex) vector bundle E over a topological space X has fibers  $E_X$  over  $X \in X$ , which are finite dimensional complex vector spaces, and the whole structure is "locally trivial".

Examples of real vector bundles: the tangent space of a manifold; the Möbius strip as a bundle over its center line.

If E is a vector bundle over X, its section space  $\Gamma(E)$  is the set of continuous functions  $s\colon X\to E$  such that  $s(x)\in E_x$  for all  $x\in X$ . It is a module over C(X), with (sf)(x)=f(x)s(x) for  $x\in X$ ,  $s\in \Gamma(E)$ , and  $f\in C(X)$ .

#### Theorem (Swan's Theorem)

Let X be a compact Hausdorff space. Then  $E \mapsto \Gamma(E)$  is a category equivalence from finite dimensional complex vector bundles over X to finitely generated projective right C(X)-modules.

## K-theory from vector bundles

If A is a unital ring, then V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ , and  $K_0(A)$  results from forcibly making V(A) into a group.

Swan's Theorem:  $E \mapsto \Gamma(E)$  is a category equivalence from finite dimensional complex vector bundles over X to finitely generated projective right C(X)-modules.

$$K^0(X) = K_0(C(X))$$

Therefore  $K^0(X)$  has the following description: let V(X) be the commutative semigroup of isomorphism classes of complex vector bundles over X, with the operation induced by direct sum. Then  $K^0(X) = G(V(X))$ , the universal enveloping group of V(X).

# Functoriality of $K^0(X)$

For a compact Hausdorff space X, let V(X) be the commutative semigroup of isomorphism classes of complex vector bundles over X. Then  $K^0(X)$  is the universal enveloping group of V(X).

Since C(X) is commutative,  $K^0(X)$  is a ring.

Let  $h\colon X\to Y$  be continuous, and let F be a vector bundle over Y. There is a topologically very natural definition of the pullback  $h^*(F)$ , which is a vector bundle over X. Moreover,  $[F]\mapsto [h^*(F)]$ , as a map from  $K^0(Y)$  to  $K^0(X)$ , is the same as the map  $C(h)_*\colon K_0(C(Y))\to K_0(C(X))$ .

That is,  $K^0(-)$ , as a functor from compact Hausdorff spaces to commutative unital rings, has a natural purely topological description.

## Homotopy invariance

#### Theorem

Let X and Y be compact Hausdorff spaces, and let  $h_0, h_1: X \to Y$  be homotopic. Let F be a vector bundle over Y. Then  $h_0^*(F) \cong h_1^*(F)$ .

#### Corollary

Let X and Y be compact Hausdorff spaces, and let  $h_0, h_1: X \to Y$  be homotopic. Then  $h_0^* = h_1^*: K^0(Y) \to K^0(X)$ .

Homotopy invariance is one of the crucial properties of a cohomology theory.

## Locally compact spaces

If X is locally compact, we let  $C_0(X)$  be the set of all complex valued continuous functions on X which vanish at infinity. It is a nonunital commutative C\*-algebra. We take  $K^0(X)=K_0(C_0(X))$ . Recall that this is the kernel of  $K_0(C_0(X)^+) \to K_0(\mathbb{C})$ 

If  $X^+$  is the one point compactification of X, then  $C_0(X)^+ \cong C(X^+)$ , so  $K^0(X)$  is the kernel of  $K^0(X^+) \to K^0(\{\infty\})$ .

Warning: this is cohomology with compact supports, better thought of as  $K^0(X) = K^0(X^+, \{\infty\})$ , and the functoriality is a little strange in topological terms. (It is easily expressed in C\*-algebras: from not necessarily unital C\*-algebras and not necessarily unital homomorphisms to abelian groups.)

#### Theorem

Let X be a locally compact Hausdorff space, and let  $Y \subset X$  be closed. Then the sequence  $K^0(X \backslash Y) \longrightarrow K^0(X) \longrightarrow K^0(Y)$  is exact in the middle.

## Topological K-theory

 $K^0$  is a homotopy invariant functor, and middle exact: if  $Y \subset X$  be closed, then  $K^0(X \backslash Y) \longrightarrow K^0(X) \longrightarrow K^0(Y)$  is exact in the middle.

In topology, this is more conventionally written using the relative group:  $K^0(X,Y) \longrightarrow K^0(X) \longrightarrow K^0(Y)$ .

This, plus maybe a little more, is enough to give a cohomology theory with long exact sequences. It starts as follows.

Take the suspension SX to be  $(0,1)\times X$ . This is the right version for theories with compact supports. To compare with the usual suspension:  $(SX)^+ = (0,1)^+ \wedge X^+ = S^1 \wedge X^+$ . Then mimic  $H^n(\Sigma X;\mathbb{Z}) \cong H^{n-1}(X;\mathbb{Z})$  by defining  $K^{-1}(X) = K^0(SX)$ . (Yes,  $K^{-1}$  is correct.)

Iterate:  $K^{-n}(X) = K^0(S^nX)$ . It is at least immediate that  $K^{-n}$  is a homotopy invariant functor and is middle exact. Long exact sequence: the slide after the next slide.

#### Bott periodicity

For  $n \in \mathbb{Z}_{\geqslant 0}$ ,  $K^{-n}$  is a homotopy invariant functor and is middle exact.

#### Theorem (Bott periodicity)

There is a natural isomorphism  $K^0(S^2X)\cong K^0(X)$ , for all locally compact Hausdorff spaces.

There are many different proofs of this, and some long but elementary, some short and slick, using machinery of C\*-algebras, and some of which say somewhat more. There also other formulations, in terms of homotopy groups of unitary groups.

The theorem says  $K^{-n-2}(X)=K^{-n}(X)$ . Use this to *define* a periodic cohomology theory, with period 2, by  $K^n(X)=K^0(X)$  when  $n\in\mathbb{Z}$  is even, and  $K^n(X)=K^{-1}(X)$  when  $n\in\mathbb{Z}$  is odd.

#### Long exact sequence

For  $n \in \mathbb{Z}$ ,  $K^n$  is a homotopy invariant functor and is middle exact.

 $K^n(X)=K^0(X)$  when  $n\in\mathbb{Z}$  is even, and  $K^n(X)=K^{-1}(X)$  when  $n\in\mathbb{Z}$  is odd.

The standard topological construction gives long exact sequences, here, for  $Y \subset X$  closed:

If one uses  $\mathbb{R}$  instead of  $\mathbb{C}$ , real vector bundles instead of complex vector bundles, and  $C(X,\mathbb{R})$ , the set of all *real* valued continuous functions on X, instead of C(X) (but the same definition of algebraic  $K_0$ , now applied to  $C(X,\mathbb{R})$ , one gets the real K-theory of compact Hausdorff spaces. It has period 8 instead of 2.

#### Chern character

Rationally,  $K^0(X)\otimes \mathbb{Q}$  is the direct sum of all even rational (Čech) cohomology (with compact supports), and  $K^1(X)\otimes \mathbb{Q}$  is the direct sum of all odd rational (Čech) cohomology. (The isomorphism is natural; it is the Chern character.)

So there seems to be be not so much use for topological K-theory. Three reasons it is actually useful:

- K-theory treats torsion differently.
- Some topological invariants, such as the index of a family of elliptic differential operators, naturally live in K-theory.
- K-theory generalizes nicely to Banach algebras and C\*-algebras, but ordinary cohomology doesn't.

### K-homology

A (generalized) cohomology theory has a dual (generalized) homology theory, at least on finite complexes. (One construction uses Alexander-Spanier duality.)

For K-theory, the dual is called K-homology. It was known to exist, but for some time no natural constructions were known.

Natural definitions, for general compact metric spaces, were first discovered by C\*-algebraists, using extensions of C\*-algebras and, later, abstract elliptic pseudodifferential operators.

# Concrete description of $K_0(A)$

If A is a unital ring, then V(A) is the commutative semigroup of isomorphism classes of finitely generated projective right A-modules, with  $\langle P \rangle + \langle Q \rangle = \langle P \oplus Q \rangle$ , and  $K_0(A)$  results from forcibly making V(A) into a group. [P] is the class of the module P in  $K_0(A)$ .

P is finitely generated and projective if and only if there are  $n \in \mathbb{Z}_{\geq 0}$  and an A-module Q such that  $P \oplus Q \cong A^n$ , the rank n free module.

Rewriting the characterization:  $\operatorname{End}(A^n) \cong M_n(A)$ , acting on the left via the usual formula for applying a matrix to a vector. (This is why we used right modules.)

So P is finitely generated and projective if and only if there are  $n \in \mathbb{Z}_{\geq 0}$  and an idempotent  $e \in M_n(A)$  such that  $P \cong eA^n$ . (Then  $Q \cong (1-e)A^n$ .)

Therefore V(A) is the set of equivalence classes of idempotents in  $\bigcup_{n=1}^{\infty} M_n(A)$ , for a suitable equivalence relation.

# Concrete description of $K_0(A)$ (continued)

Therefore V(A) is the set of equivalence classes of idempotents in  $\bigcup_{n=1}^{\infty} M_n(A)$ , for a suitable equivalence relation.

The relation should be:  $e \sim f$  if and only if  $eA^m \cong fA^n$ .

The right way to do this is to let  $M_{\infty}(A)$  be the (algebraic) direct limit  $\varinjlim_n M_n(A)$ , with maps

$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}$$

(a nonunital ring), and define  $e \sim f$  if there exist  $v, w \in M_{\infty}(A)$  such that wv = e and vw = f. This is algebraiv algebraic Murray-von Neumann equivalence.

If A is a C\*-algebra (but not for a general Banach \*-algebra), one can "normalize": use the projections (selfadjoint idempotents) in  $M_{\infty}(A)$ , and  $p \sim q$  if there is  $s \in M_{\infty}(A)$  such that  $s^*s = p$  and  $ss^* = q$ . This is much easier to work with.

Functoriality is  $\varphi_*([p]) = [(id_{M_{\infty}} \otimes \varphi)(p)].$ 

$$K_0(L(I^2))$$

Addition in the concrete description of V(A) is as follows. Let  $e \in M_m(A)$ and  $f \in M_n(A)$  be idempotents. Then [e] + [f] is the class of

$$e \oplus f = \begin{pmatrix} e & 0 \\ 0 & f \end{pmatrix} \in M_{m+n}(A).$$
 (1)

(One checks that  $(e \oplus f)A^{m+n} = eA^m \oplus fA^n$ .)

Back to  $K_0(L(H))$ , with  $H = I^2$ . First,  $M_n(L(H)) \cong L(H^n)$ , and  $H^n \cong H$ . Second, let's use projections. The projections  $p \in L(H)$  are exactly the orthogonal projections onto closed subspaces of H. Moreover, if pH and gH have the same dimension as Hilbert spaces, then  $pH \cong gH$ , which means that there is a unitary  $s \in L(pH, qH)$ , with inverse  $s^*$ . These can be regarded as operators in L(H) satisfying  $ss^* = g$  and  $s^*s = p$ . Thus  $p \sim q$ . It is easy to see that if  $p \sim q$  then  $\dim(pH) = \dim(qH)$ 

Combining this with (1) one sees that V(L(H)) is the semigroup of possible dimensions, as Hilbert spaces, of closed subspaces of  $H^n$  for  $n \in \mathbb{Z}$ , with the "obvious" addition, that is,  $V(L(H)) \cong \{0, 1, 2, ..., \infty\}$ . Since

## Concrete description of $K_1(A)$

Let A be a unital Banach algebra.

There is then also a concrete description of  $K_1(A)$ , which gives  $K_1(C(X)) \cong K^1(X)$ .

#### Define:

- inv(A) is the set of invertible elements of A.
- $inv_0(A)$  is the connected component of inv(A) which contains 1.
- $K_1(A) = \underset{n}{\underline{\lim}} \operatorname{inv}(M_n(A))/\operatorname{inv}_0(M_n(A))$ , using the maps

$$u \mapsto \begin{pmatrix} u & 0 \\ 0 & 1 \end{pmatrix}$$

This matches the topological theorem  $K^1(X) \cong [X, \varinjlim_n \operatorname{GL}_n(\mathbb{C})].$ 

Again, there is a C\* normalization: use the unitary group U(A) and its identity component  $U_0(A)$ . Then  $K_1(A) \cong \varinjlim_n U(M_n(A))/U_0(M_n(A))$ .

#### Homotopy

V(A) is the <u>set semigroup</u> of Murray-von Neumann equivalence classes of idempotents in  $\bigcup_{n=1}^{\infty} M_n(A) \underbrace{M_{\infty}(A)}_{n=1}$ , and  $K_0(A)$  results from forcibly making V(A) into a is its universal enveloping group.

There is a (quite naive) definition of what it means for two homomorphisms of Banach algebras  $\varphi_0, \varphi_1 \colon A \to B$  to be homotopic. Moreover, homotopic: there are homomorphisms  $\varphi_t \colon A \to B$  for  $t \in [0,1]$  such that  $t \mapsto \varphi_t(a)$  is continuous for all  $a \in A$ . (With this definition, if X and Y are compact, then  $h_0, h_1 \colon X \to Y$  are homotopic if and only if  $C(h_0), C(h_1) \colon C(Y) \to C(X)$  are homotopic.)

Homotopic idempotents are Murray-von Neumann equivalent. (Given standard Banach algebra machinery, the proof is easier than for vector bundles.)

Conclusion: Therefore if  $\varphi_0, \varphi_1 \colon A \to B$  are homotopic homomorphisms of Banach algebras, then  $(\varphi_0)_* = (\varphi_1)_* \colon K_0(A) \to K_0(B)$ .

$$K_1(A) = \underset{n}{\underline{\lim}}_n \operatorname{inv}(M_n(A)) / \operatorname{inv}_0(M_n(A)).$$

So, if  $\varphi_0, \varphi_1 \colon A \to B$  are homotopic homomorphisms of Banach algebras,

## Bott periodicity

There is a very naive version of the suspension for a Banach algebra, satisfying  $SC_0(X)\cong C_0(SX)$ . It is: SA is the algebra of continuous functions from [0,1] to A which vanish at 0 and 1. (This can be identified as  $C_0((0,1),A)$ , A-valued continuous functions vanishing at infinity.)

#### Theorem (Bott periodicity)

There are natural isomorphisms  $K_0(SA) \cong K_1(A)$  and  $K_1(SA) \cong K_0(A)$ , for all Banach algebras A.

The long exact sequence takes the form: if J is a closed ideal in A and B = A/J, with maps  $\iota: J \to A$  and  $\pi: A \to B$ , there is an exact sequence

$$\begin{array}{cccc} K_0(J) & \stackrel{\iota_*}{\longrightarrow} & K_0(A) & \stackrel{\pi_*}{\longrightarrow} & K_0(B) \\ & & & & \downarrow \partial \\ K_1(B) & \longleftarrow_{\pi_*} & K_1(A) & \longleftarrow_{\iota_*} & K_1(J). \end{array}$$

The hypothesis says:

## Example theorem from C\*-algebras

I won't define the terms in this theorem: that would go too far afield.

#### Theorem

Let A and B be purely infinite simple separable nuclear nonunital C\*-algebras satisfying the Universal Coefficient Theorem. If  $K_0(A) \cong K_0(B)$  and  $K_1(A) \cong K_1(B)$ , then  $A \cong B$ .

There is an analogous theorem for the unital case. In the unital stably finite case with real rank zero, one must add Jiang-Su stability and include the structure of  $K_0$  as a scaled ordered group.

## K-homology and KK-theory

There are also concrete descriptions of K-homology for C\*-algebras.

There For C\*-algebras, there is a two variable version, KK(A, B), which for A and B separable and A nuclear is heuristically "K-homolology of in the first variable and K-theory of in the second variable". It has several quite difference constructions, and different constructions. In the following,  $K \otimes A$  is a norm completion of  $M_{\infty}(A)$ . The constructions include: equivalence classes of extensions of C\*-algebras

$$0\longrightarrow K\otimes B\longrightarrow E\longrightarrow A\longrightarrow 0$$

(addition is not the same as for extensions of modules), homotopy classes of abstract elliptic operators, and homotopy classes of approximate (in a suitable sense) homomorphisms  $K \otimes SA \to K \otimes SB$ .

It has a product  $KK(A, B) \times KK(B, C) \rightarrow KK(A, C)$ . This theory plays

These groups play a key role in classification theorems for C\*-algebras.

# Algebraic K<sub>1</sub>

Recall that if A is a unital Banach algebra, then  $K_1(A) = \underset{n}{\lim} \underset{n}{\text{inv}} (M_n(A)) / \underset{n}{\text{inv}} (M_n(A))$ . If A does not have a topology, then  $\underset{n}{\text{inv}} (M_n(A))$  does not make sense.

 $SA = C_0((0,1),A)$  does not make sense, so defining  $K_1(A) = K_0(SA)$  will not work, even independently of the problem of algebraic K-theory for nonunital algebras.

The replacement is to use the commutator subgroup instead of the identity component. If G is a group, let G' be the subgroup generated by all  $ghg^{-1}h^{-1}$  for  $g,h\in G$ . For a unital ring A, define

$$K_1^{\operatorname{alg}}(A) = \underset{n}{\varinjlim} \operatorname{inv}(M_n(A))/\operatorname{inv}(M_n(A))'.$$

To emphasize the difference, write  $K_1^{\text{top}}(A)$  for the group already defined.

 $K_1^{\text{alg}}(A)$  vs.  $K_1^{\text{top}}(A)$ G' is generated by  $\{ghg^{-1}h^{-1}: g, h \in G\}$ .

 $K_1^{\text{alg}}(A) = \underline{\lim}_n \text{inv}(M_n(A))/\text{inv}(M_n(A))'.$ 

This is a functor in the same way that  $K_1^{\text{top}}(A)$  is.

For a Banach algebra,  $K_1^{\text{alg}}(A)$  and  $K_1^{\text{top}}(A)$  need not be the same.

Example:  $A = \mathbb{C}$ . We have  $\operatorname{inv}(M_n(\mathbb{C})) = \operatorname{GL}_n(\mathbb{C})$ , which is connected for all n. Therefore  $K_1^{\operatorname{top}}(\mathbb{C}) - 0$ .

However, all commutators have determinant 1. That is,  $\operatorname{inv}(M_n(\mathbb{C}))' \subset \operatorname{SL}_n(\mathbb{C})$ . In fact, these are equal, and the determinant defines an isomorphism from  $K_1^{\operatorname{alg}}(\mathbb{C})$  to the multiplicative group  $\mathbb{C}\setminus\{0\}$ .

It is not true that  $\operatorname{inv}(M_n(\mathbb{C}))' \subset \operatorname{inv}_0(M_n(A))$ , but this is true "in the limit", and one therefore gets a surjective comparison map  $K_1^{\operatorname{alg}}(A) \to K_1^{\operatorname{top}}(A)$ . As we have seen, it can be very far from injective.

# Exact sequence; $K_2(A)$

For a unital ring A and an ideal  $J \subset A$ , there is an exact sequence (for which I say nothing about the definitions of the relative groups which appear):

$${\it K}_1(A,J) \longrightarrow {\it K}_1(A) \longrightarrow {\it K}_1(A/J) \longrightarrow {\it K}_0(A,J) \longrightarrow {\it K}_0(A) \longrightarrow {\it K}_0(A/J).$$

The last map is normally not surjective.

Milnor defined a group  $K_2(A)$  for a unital ring A, in such a way that the exact sequence above at least extends to

$$K_2(A) \longrightarrow K_2(A/J) \longrightarrow K_1(A,J) \longrightarrow K_1(A) \longrightarrow K_1(A/J) \longrightarrow \cdots$$

However, this construction didn't obviously generalize in an appropriate way to  $K_n(A)$  for  $n \ge 3$ .

# Higher algebraic K-theory

Defining  $K_n(A)$  for  $n \ge 3$  had to wait for Quillen's work, for which he received a Fields Medal, using almost completely different ideas. Here is a very brief description. Start with the group  $\lim_{n \to \infty} \operatorname{inv}(M_n(A))$ . Form its classifying space. Attach additional cells; among other things, the attached cells change the fundamental group from  $\lim_{n \to \infty} \operatorname{inv}(M_n(A))$  to its abelianization, which is  $\lim_{n \to \infty} \operatorname{inv}(M_n(A))/\operatorname{inv}(M_n(A))' = K_1(A)$ . Then form the higher homotopy groups of the result. There is in general no periodicity.

There are by now variants, and algebraic K-groups  $K_n(A)$  for n < 0, about which I can say nothing here. There are even algebraic versions of KK-theory, about which I know very little.

There is always a comparison map  $K_n^{\mathrm{alg}}(A) \to K_n^{\mathrm{top}}(A)$  (in any degree, taking  $n \mod 2$  on the right). For  $n \neq 0$ , rarely, but occasionally, these maps are isomorphismss. An example is if A is a unital properly infinite C\*-algebra, such as a Cuntz algebra or L(H) for an infinite dimensional Hilbert space H.