Macdonald polynomials and the multispecies zero range process

Olya Mandelshtam University of Waterloo

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joint with Arvind Ayyer and James Martin, arXiv:2022.06117 + upcoming

- Motivation: Macdonald polynomials and interacting particle systems
- 2 A new combinatorial formula for $\widetilde{H}_{\lambda}(X; q, t)$
- Multispecies Totally Asymmetric Zero Range Process (mTAZRP)
- Markov chain on tableaux
- Observables

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 we are interested in studying integrable systems whose exact solutions (stationary distributions) can be expressed in terms of combinatorial formulas or special functions (e.g. Macdonald polynomials)

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- A has several nice bases: e.g. $\{m_{\lambda}\}, \{e_{\lambda}\}, \{h_{\lambda}\}, \{p_{\lambda}\}, \text{ indexed by partitions } \lambda$.

Let \langle,\rangle be the standard inner product on $\Lambda.$ Then $\{s_\lambda\}$ is the unique basis of Λ that is:

- i. orthogonal with respect to \langle,\rangle
- ii. upper triangular with respect to $\{m_{\lambda}\}$:

$$s_{\lambda} = m_{\lambda} + \sum_{\mu < \lambda} c_{\mu\lambda} m_{\mu}$$

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• $s_{\lambda} = \sum_{\sigma} x^{\sigma}$ where σ is a semi-standard filling of the Young diagram of shape λ

E.g. the following are the fillings of shape (2, 1) on 3 letters:

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Let $\langle , \rangle_{q,t}$ be the inner product on $\Lambda(q, t)$ given by:

$$\langle p_{\lambda}, p_{\mu} \rangle_{q,t} = \delta_{\lambda,\mu} z_{\lambda} \prod_{i \geq 1} \frac{1 - q^{\lambda_i}}{1 - t^{\lambda_i}}.$$

Then $\{P_{\lambda}\}$ is the unique basis of $\Lambda(q, t)$ that is uniquely determined by:

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Example:

$$P_{(2,1)}(X;q,t) = m_{(2,1)} + rac{(1-t)(2+q+t+2qt)}{1-qt^2}m_{(1,1,1)}.$$

modified Macdonald polynomials $\widetilde{H}_{\lambda}(X; q, t)$

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• obtained from a normalized form of $P_{\lambda}(X; q, t)$ by plethystic substitution:

$$\widetilde{H}_{\lambda}(X;q,t) = t^{n(\lambda)} J_{\lambda}\left[rac{X}{1-t^{-1}};q,t^{-1}
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Example: $\widetilde{H}_{(2,1)}(X;q,t) = m_{(3)} + (1+q+t)m_{(2,1)} + (1+2q+2t+qt)m_{(1,1,1)}$

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•
$$P_{\lambda}(X; q, t) = \sum_{\substack{\sigma \in dg(\lambda) \\ \sigma \text{ non-attacking}}} q^{\text{maj}(\sigma)} t^{\text{coinv}(\sigma)} x^{\sigma} \prod_{u} \frac{1-t}{1-q^{\text{leg}(u)+1} t^{\text{arm}(u)+1}}$$

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- Corteel-Haglund-M-Mason-Williams '20 gave a "compressed" formula for H
 _λ. Using multiline queues and the plethystic relationship between H
 _λ and P_λ, also conjectured a new formula for H
 _λ with statistics maj and a new statistic quinv:

$$\widetilde{\mathcal{H}}_{\lambda}(X; \pmb{q}, t) = \sum_{\sigma \in \mathsf{dg}(\lambda)} \pmb{q}^{\mathsf{maj}(\sigma)} t^{\mathsf{quinv}(\sigma)} x^{\sigma}$$

 a multiline queue (MLQ) of type λ, n is an arrangement and pairing of balls on a n × λ₁ lattice, with λ_i balls in row j.



Angel '08, Ferrari-Martin '07 (t = 0 case), Martin '18 (for $q = x_1 = \cdots = x_n = 1$), Corteel–M–Williams '18 (general)

- a multiline queue (MLQ) of type λ, n is an arrangement and pairing of balls on a n × λ₁ lattice, with λ_i balls in row j.
- It can be represented by a queueing system, or described as a coupled system of 1-ASEPs



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 - a string of length l corresponds to an ASEP particle of species l. The labels of the balls in that string are l

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- skipped balls in the MLQ "correspond" to a coinv statistic in t
- wrapping balls in the MLQ correspond to a maj statistic in q
- Can be represented by a non-attacking tableau, where each string is mapped to a column of the same height, recording the position of each ball in the MLQ.

Theorem (Martin '18, Corteel-M-Williams '18)

The (unnormalized) stationary probability of state α of the mASEP is

$$\widetilde{\Pr}(\alpha)(t) = \sum_{M: \text{ row } 1=\alpha} wt(M)(1,\ldots,1;1,t)$$

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Theorem (Cantini-de Gier-Wheeler '15)

The partition function of ASEP(λ , n) is a specialization of the Macdonald polynomial:

$$P_{\lambda}(1,\ldots,1;1,t) = \mathcal{Z}_{\lambda,n}(t) = \sum_{\alpha \in S_n \cdot \lambda} \widetilde{\Pr}(\alpha)(t).$$

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$$P_{\lambda}(x_1,\ldots,x_n;q,t) = \sum_{M \in \mathsf{MLQ}(\lambda,n)} \mathsf{wt}(M)(x_1,\ldots,x_n;q,t)$$

This formula essentially coincides with that of Lenart '09 for λ with distinct parts.

Example for $P_{(2,1)}(x_1, x_2, x_3; q, t)$

$$P_{(2,1)}(x_1, x_2, x_3; q, t) = m_{(2,1)} + \frac{(2 + t + q + 2qt)(1 - t)}{(1 - qt^2)}m_{(1,1,1)}$$



From multiline queues to a new formula for \widetilde{H}_{λ}

• Recall: $\widetilde{H}_{\lambda}(X; q, t)$ is obtained from the integral form of P_{λ} via plethysm:

$$\begin{split} \widetilde{H}_{\lambda}(X;q,t) &= t^{n(\lambda)} J_{\lambda} \left[\frac{X}{1-t^{-1}};q,t^{-1} \right] \\ &= f_{\lambda}(q,t) \; P_{\lambda} \left(x_{1}, x_{1}t^{-1}, x_{1}t^{-2}, \dots, x_{2}, x_{2}t^{-1}, x_{2}t^{-2}, \dots ; \; q,t^{-1} \right) \end{split}$$

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• $P_{\lambda}(x_1, x_1t^{-1}, x_1t^{-2}, \dots, x_2, x_2t^{-1}, x_2t^{-2}, \dots; q, t^{-1})$ should correspond to a multiline queue with countably many columns labeled by

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- this leads to a new "queue inversion" statistic for t that we call quinv (Corteel-Haglund-M-Mason-Williams '20, Ayyer-M-Martin '21)
- the resulting objects are of the same flavor as multiline queues, except that multiple balls are allowed at each location. (This translates to removing the "non-attacking" condition from the corresponding tableaux)

dg(λ) (the diagram of λ = (λ₁,..., λ_k)) consists of k bottom justified columns with λ_i boxes, from left to right



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$$\sigma = \begin{array}{|c|c|c|c|c|} \hline 4 \\ \hline 2 & 2 & 4 \\ \hline 3 & 1 & 1 \\ \hline 2 & 3 & 3 & 4 \\ \hline \end{array}$$

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Theorem (Haglund-Haiman-Loehr '05)

The modified Macdonald polynomial is given by

$$\widetilde{H}_{\lambda}(x_1,\ldots,x_n;q,t) = \sum_{\sigma: dg(\lambda) \to [n]} q^{maj(\sigma)} t^{inv(\sigma)} x^{\sigma}.$$



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Theorem (Ayyer-M-Martin '20)

Let λ be a partition. The modified Macdonald polynomial equals

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- an L-triple forms a quinv (queue-inversion) if x < y < z cyclically mod n (ties are broken by a top-to-bottom and right-to-left reading order)
- $quinv(\sigma)$ is the total number of queue-inversions in σ .

Theorem (Ayyer-M-Martin '20)

Let λ be a partition. The modified Macdonald polynomial equals

$$\widetilde{H}_{\lambda}(x_1,\ldots,x_n;q,t) = \sum_{\sigma: dg(\lambda) \to [n]} q^{maj(\sigma)} t^{quinv(\sigma)} x^{\sigma}$$



 $quinv(\sigma) = 4$

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Example: $\widetilde{H}_{(2,1)}(X; q, t)$

1 m

$$\widetilde{H}_{(2,1)}(x_1, x_2; q, t) = m_{(3)} + (1 + t + q)m_{(2,1)} + (1 + 2t + 2q + qt)m_{(1,1,1)}$$
• (AMM)
$$\widetilde{H}_{\lambda}(X; q, t) = \sum_{\sigma: dg(\lambda) \to \mathbb{Z}_+} q^{\operatorname{maj}(\sigma)} t^{\operatorname{quinv}(\sigma)} x^{\sigma}$$

$$\frac{1}{1 + 1} = \frac{2}{1 + 1} = \frac{1}{1 + 2} = \frac{1}{2 + 1} = \frac{1}{2 + 3} = \frac{2}{1 + 3} = \frac{3}{1 + 2} = \frac{2}{3 + 1} = \frac{1}{3 + 2} = \frac{3}{2 + 1}$$
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Example: $\widetilde{H}_{(2,1)}(X; q, t)$



• while the inv and quinv statistics appear very similar, there does not seem to be an easy way to go from one to the other – is there a bijective proof?



What is the analogous interacting particle system whose partition function is a specialization of \widetilde{H}_{λ} ?



What is the analogous interacting particle system whose partition function is a specialization of \widetilde{H}_{λ} ?



 continuous-time stochastic processes (Spitzer '70), can be defined on arbitrary graphs. In our case, we have a circular lattice with n sites.



• simplest case: there are k indistinguishable particles, moving counter-clockwise. A configuration $\tau = (\tau_1, \dots, \tau_n)$ is any allocation of the k particles on the n sites.



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- multispecies variant: we now allow different particle types, labeled by integers (particles of the same type are still indistinguishable)
- Kuniba-Maruyama-Okado (2015+) (and others) have studied many multispecies variants of the TAZRP. All of these are integrable! The version we will describe was first studied by Takayama '15

the mTAZRP: states

 Fix a (circular 1D) lattice on *n* sites and a partition λ = (λ₁ ≥ · · · ≥ λ_k > 0) for the particle types



n = 5 $\lambda = (4, 3, 3, 2, 2, 1, 1, 1)$ $\tau = (\cdot \mid 321 \mid 422 \mid \cdot \mid 311)$

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- Fix a (circular 1D) lattice on *n* sites and a partition λ = (λ₁ ≥ · · · ≥ λ_k > 0) for the particle types
- TAZRP(λ, n) is a Markov chain whose states are multiset compositions τ of type λ, with n (possibly empty) parts



n = 5 $\lambda = (4, 3, 3, 2, 2, 1, 1, 1)$ $\tau = (\cdot \mid 321 \mid 422 \mid \cdot \mid 311)$

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- For 1 ≤ j ≤ n and k ∈ λ, call f_j(k) the rate of the jump of particle k from site j to site j + 1. If site j has d particles larger than k and c particles of type k, then

$$f_j(k) = x_j^{-1} t^d \sum_{u=0}^{c-1} t^u$$

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For example: If site j contains the particles $\{4, 3, 3, 1, 1, 1\}$, then:

 $\begin{array}{ll} k = 1: & d = 3, & c = 3, & f_j(1) = x_j^{-1} t^3 (1 + t + t^2). \\ k = 3: & d = 1, & c = 2, & f_j(3) = x_j^{-1} t (1 + t). \\ k = 4: & d = 0, & c = 1, & f_j(4) = x_j^{-1}. \end{array}$

Given a filling σ, read the state τ ∈ TAZRP(λ, n) from the bottom row of σ as follows:

 τ_j is the multiset $\{\lambda_i : \sigma(1, i) = j\}$

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• For example, for $\lambda = (2, 1, 1)$ and n = 3, the following are all the tableaux that correspond to the state $\tau = (21 | \cdot | 1)$:



Theorem (Ayyer–M–Martin '21)

Fix λ , n. The (unnormalized) stationary probability of $\tau \in \mathsf{TAZRP}(\lambda, n)$ is

$$\widetilde{\Pr}(\tau) = \sum_{\substack{\sigma: dg(\lambda) \to [n] \\ \sigma \text{ has type } \tau}} x^{\sigma} t^{\mathsf{quinv}(\sigma)}.$$

Corollary

The so-called partition function of $TAZRP(\lambda, n)$ is

$$\mathcal{Z}_{\lambda,n}(x_1,\ldots,x_n;t) = \widetilde{H}_{\lambda}(x_1,\ldots,x_n;1,t).$$

an example for $\lambda = (2, 1, 1)$ and n = 2

The stationary distribution is:

Example computation for (21 | 1):

the total is: $\widetilde{\Pr}(21|1) = x_1^2 x_2(tx_1 + x_2)(1 + t).$

why queue inversions? multiline diagrams

The tableaux are actually representing a queueing system which is an arrangement of lattice paths/strings: the lattice paths are representing the coupling of individual single species TAZRPs

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- each cell c such that σ(South(c)) ≠ σ(c) is equipped with an exponential clock with rate

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If c = (1, j) is in the bottom row, then arm(σ, c) is equal to the number of particles larger than or equal to λ_j at site σ(c) of the corresponding state of the TAZRP. Thus f(σ, c) is equal to the rate of the corresponding TAZRP jump.

A transition M_c triggered by a cell c: if $\sigma(c) \neq \sigma(\text{South}(c))$, take the maximal contiguous (cyclically) increasing chain of cells weakly above c in its column, and increment the content of each cell by 1. (This is sometimes called a ringing path)

4			
2	1		
1	1		
3	4	3	
3	3	4	1

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 $\mathsf{wt}(\sigma) = x^{\sigma} t^{\mathsf{quinv}(\sigma)}$

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Theorem (Ayyer-M-Martin '21)

The stationary distribution of the Markov process on the tableaux is

$$wt(\sigma) = x^{\sigma} t^{quinv(\sigma)}$$

- if c = (1, j) is in the bottom row, the rate f(σ, c) matches the transition rate f_{σ(c)}(λ_j) of the corresponding particle in the TAZRP.
- (when λ has repeated parts, we need to do some more work!)

a Markov chain on tableaux: proof

$$M(\sigma) = \left\{ M_c(\sigma) : c \in \mathsf{dg}(\lambda), \ \sigma(c) \neq \sigma(\mathsf{South}(c)) \right\}$$

2	
1	2

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a Markov chain on tableaux: proof

$$M(\sigma) = \{M_c(\sigma) : c \in dg(\lambda), \sigma(c) \neq \sigma(South(c))\}$$
$$R(\sigma) = \{\sigma' \in PQT(\lambda, n) : T \in M(\sigma')\}$$



balance equation

if each $\sigma \in dg(\lambda) \rightarrow [n]$ satisfies:

$$\sum_{\sigma' \in R(\sigma)} \mathsf{wt}(\sigma') \operatorname{rate}(\sigma' \to \sigma) = \mathsf{wt}(\sigma) \sum_{\sigma' \in M(\sigma)} \operatorname{rate}(\sigma \to \sigma'),$$

then the stationary distribution of the M.C. on tableaux is $wt(\sigma)$.

 The partition function of the mTAZRP of type λ, n is defined to be the normalizing constant, or the sum of the unnormalized stationary probabilities:

$$Z_{\lambda,n} = \sum_{\tau} \widetilde{\mathsf{Pr}}(\tau)$$

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$$Z_{\lambda,n} = \widetilde{H}_{\lambda}(x_1, \dots, x_n; 1, t) = \prod_{j=1}^{\lambda_1} \widetilde{H}_{(1^{\lambda'_j})}(x_1, \dots, x_n; 1, t)$$
$$= \prod_{j=1}^{\lambda_1} \sum_{\mu \vdash \lambda'_j} {\lambda'_j \brack \mu}_t m_{\mu}(x_1, \dots, x_n).$$

Notice that Z might have extra factors, e.g. when the gcd of the probabilities $\widetilde{\Pr}(\tau)$ is not 1.

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 Sanity check: from the point of view of the TAZRP, having three species of particles labeled 1, 2, 3 is the same process as having three species labeled 2, 13, 27. Thus we should expect their stationary probabilities to be proportional.

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- Sanity check: from the point of view of the TAZRP, having three species of particles labeled 1, 2, 3 is the same process as having three species labeled 2, 13, 27. Thus we should expect their stationary probabilities to be proportional.
- At the very least, we need $H_{(2,13,27)}(x_1,\ldots,x_n;1,t)$ to be divisible by $\widetilde{H}_{(1,2,3)}(x_1,\ldots,x_n;1,t)$. This is indeed true, since $(3,2,1)' \subset (27,13,2)'$.

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Proposition (Current for the single species TAZRP)

For the single-species TAZRP on n sites with m particles, the current is given by

$$J = [m]_t \frac{\widetilde{H}_{\langle 1^{m-1} \rangle}(x_1, \ldots, x_n; 1, t)}{\widetilde{H}_{\langle 1^m \rangle}(x_1, \ldots, x_n; 1, t)}.$$

Theorem (Ayyer-M-Martin '22+)

Let $\lambda = (1^{m_1}, \dots, k^{m_k})$, and let $1 \le j \le k$. The current of the particle of type j of the TAZRP of type λ on n sites is given by

$$J = \begin{bmatrix} m_j + \dots + m_k \end{bmatrix}_t \frac{\widetilde{H}_{\left(1^{m_j + \dots + m_k - 1}\right)}}{\widetilde{H}_{\left(1^{m_j + \dots + m_k\right)}}}$$
$$- \begin{bmatrix} m_{j+1} + \dots + m_k \end{bmatrix}_t \frac{\widetilde{H}_{\left(1^{m_{j+1} + \dots + m_k - 1\right)}}}{\widetilde{H}_{\left(1^{m_{j+1} + \dots + m_k\right)}}}$$

- Take TAZRP (λ, n) with content $\lambda = (1^{m_1}, 2^{m_2}, \dots, k^{m_k})$.
- Define z_j^(ℓ) to be the random variable counting the number of particles of type ℓ at site j in a configuration of TAZRP(λ, n).
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Proposition (Translation invariance)

Suppose
$$\langle z_1^{(\ell)} \rangle = r(x_1, \dots, x_n)$$
. Then for any j ,

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Theorem (Densities for the single species TAZRP)

The density at site 1 on $TAZRP(1^m, n)$ is given by

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• In particular, when $x_1 = \cdots = x_n = 1$, the density is $\langle z_1^{(1)} \rangle = \frac{m}{n}$.

Theorem (Ayyer-M-Martin '22+)

For $1 \leq \ell \leq k$, the density of the ℓ 'th species at site 1 is given by

$$\langle z_1^{(\ell)} \rangle = x_1 \partial_{x_1} \log \left(\frac{\widetilde{H}_{(1^{m_\ell} + \dots + m_k)}(x_1, \dots, x_n; 1, t)}{\widetilde{H}_{(1^{m_{\ell+1}} + \dots + m_k)}(x_1, \dots, x_n; 1, t)} \right)$$

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Corollary

$$\langle z_1^{(\ell)} \rangle$$
 is symmetric in the variables $\{x_2, \ldots, x_n\}$.

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Corollary

 $\langle z_1^{(\ell)} \rangle$ is symmetric in the variables $\{x_2, \ldots, x_n\}$.

Proof via coloring argument:

- true for base case λ = (1^m)
- transitions of particles of species ℓ,..., k at site 1 are independent of the number of lower species particles at site 1. Thus we can ignore the particles of types 1,..., ℓ − 1.
- the density of particles of species ℓ,..., k at site 1 is equivalent to the density at site 1 of a TAZRP of type λ = (1^{m_ℓ+···+m_k}).
- to isolate species ℓ we subtract the density of species ℓ + 1,..., k from the density of species ℓ,..., k.

• Fix λ , n, and $0 \le \ell \le n$, and let w be a configuration of the TAZRP on the first ℓ sites of type μ , where $\mu \subseteq \lambda$.

- Fix λ, n, and 0 ≤ ℓ ≤ n, and let w be a configuration of the TAZRP on the first ℓ sites of type μ, where μ ⊆ λ.
- We consider two kinds of local correlations:
 - Let $\mathbb{P}_{\lambda,n}(\overline{w})$ be the stationary probability of having exactly the content w_1, \ldots, w_{ℓ} on sites $1, \ldots, \ell$.
 - Let P_{λ,n}(ŵ) be the stationary probability of having at least the content
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 - Let P_{λ,n}(ŵ) be the stationary probability of having at least the content
 w₁,..., w_ℓ on sites 1,..., ℓ.
- Example: let $\lambda = (2, 2, 1, 1)$, n = 4, $\ell = 2$, and w = (2|1).
 - Configurations contributing to $\mathbb{P}_{\lambda,n}(\overline{w})$ are

 $(2|1|12|\cdot), (2|1|1|2), (2|1|2|1), (2|1|\cdot|12)$

• Additional configurations contributing to $\mathbb{P}_{\lambda,n}(\hat{w})$ are

- Fix λ, n, and 0 ≤ ℓ ≤ n, and let w be a configuration of the TAZRP on the first ℓ sites of type μ, where μ ⊆ λ.
- We consider two kinds of local correlations:
 - Let $\mathbb{P}_{\lambda,n}(\overline{w})$ be the stationary probability of having exactly the content w_1, \ldots, w_{ℓ} on sites $1, \ldots, \ell$.
 - Let P_{λ,n}(ŵ) be the stationary probability of having at least the content
 w₁,..., w_ℓ on sites 1,..., ℓ.
- Example: let $\lambda = (2, 2, 1, 1)$, n = 4, $\ell = 2$, and w = (2|1).
 - Configurations contributing to $\mathbb{P}_{\lambda,n}(\overline{w})$ are

 $(2|1|12|\cdot), (2|1|1|2), (2|1|2|1), (2|1|\cdot|12)$

• Additional configurations contributing to $\mathbb{P}_{\lambda,n}(\hat{w})$ are

Theorem (Ayyer-M-Martin '22+)

Both $\mathbb{P}_{\lambda,n}(\overline{w})$ and $\mathbb{P}_{\lambda,n}(\hat{w})$ are symmetric in the variables $\{x_{\ell+1}, \ldots, x_n\}$.

• Explicit bijection from the inv to the quinv statistic?



• Can we find a dynamical process that incorporates the q as a parameter?

This seems difficult because

- We lose factorization of \widetilde{H}_{λ}
- We lose translation invariance
- Using multiline queues (for the ASEP on a circle), Corteel-Haglund-M-Mason-Williams '20 defined quasisymmetric Macdonald polynomials which refine P_λ. Can we use a parallel construction to define an interesting family of quasisymmetric polynomials that refine H
 ⁻_λ?
- Same as above, but for nonsymmetric Macdonald polynomials



- Modified Macdonald polynomials and the multispecies zero range process: I, (with A. Ayyer and J. B. Martin), arXiv:2011.06117
- A Markov chain on tableaux that projects to the multispecies TAZRP, and applications, (with A. Ayyer and J. B. Martin), in preparation