

# Topological Combinatorics of Posets $\neq$ Stratified Spaces

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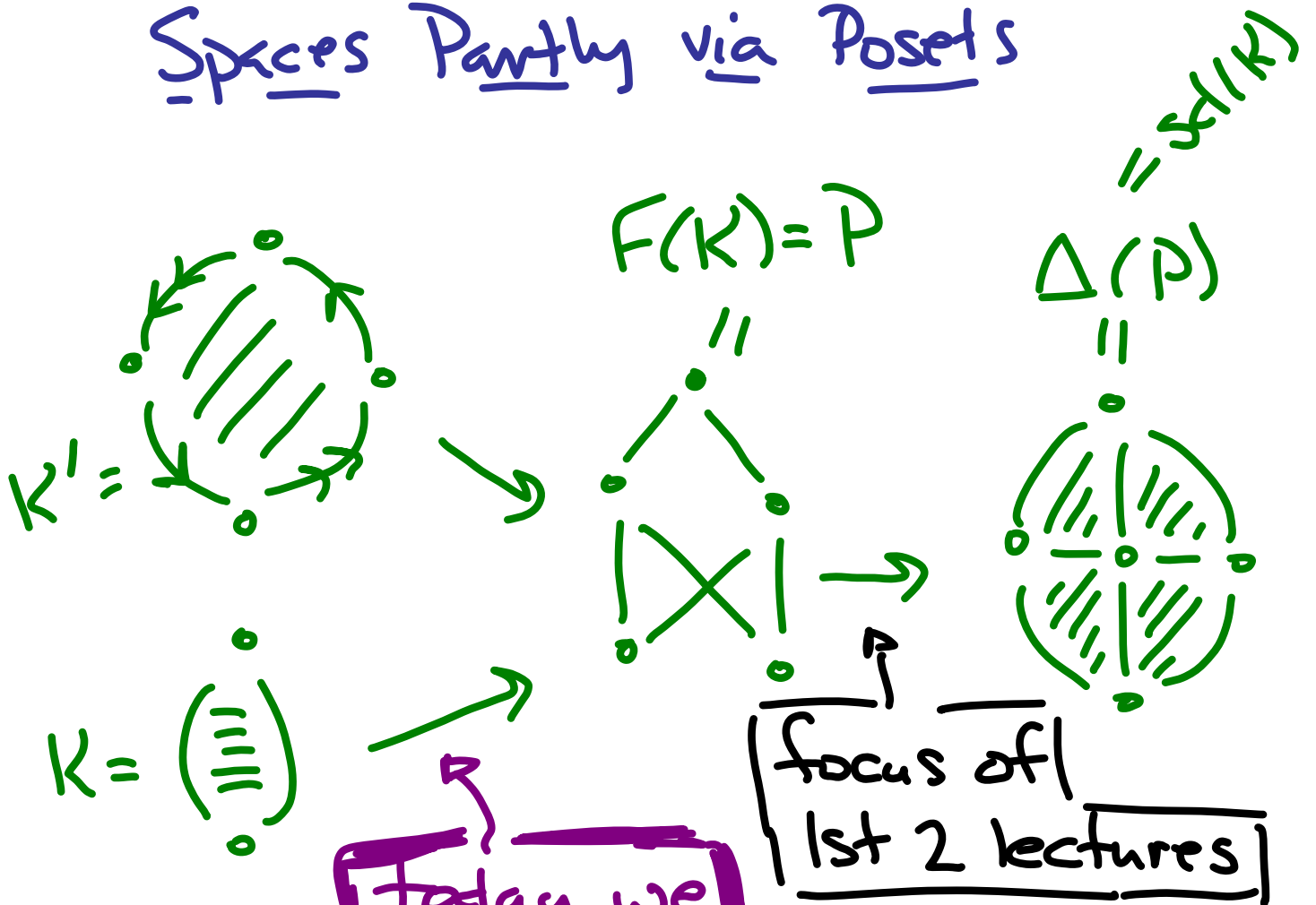
North Carolina State  
University

Lecture 1: Möbius fns  $\neq$  Shellability

Lecture 2: Discrete Morse theory

Lecture 3: Stratified spaces from  
rep'n theory  $\neq$  their closure posets

# Studying Topology of Stratified Spaces Partly via Posets



Today we want to determine  $K$  using  $F(K)$  + codim 1 info about attaching maps & study fibers of maps  $f$  via homeomorphism type analysis for  $K = \text{im}(f)$

# Bruhat Order

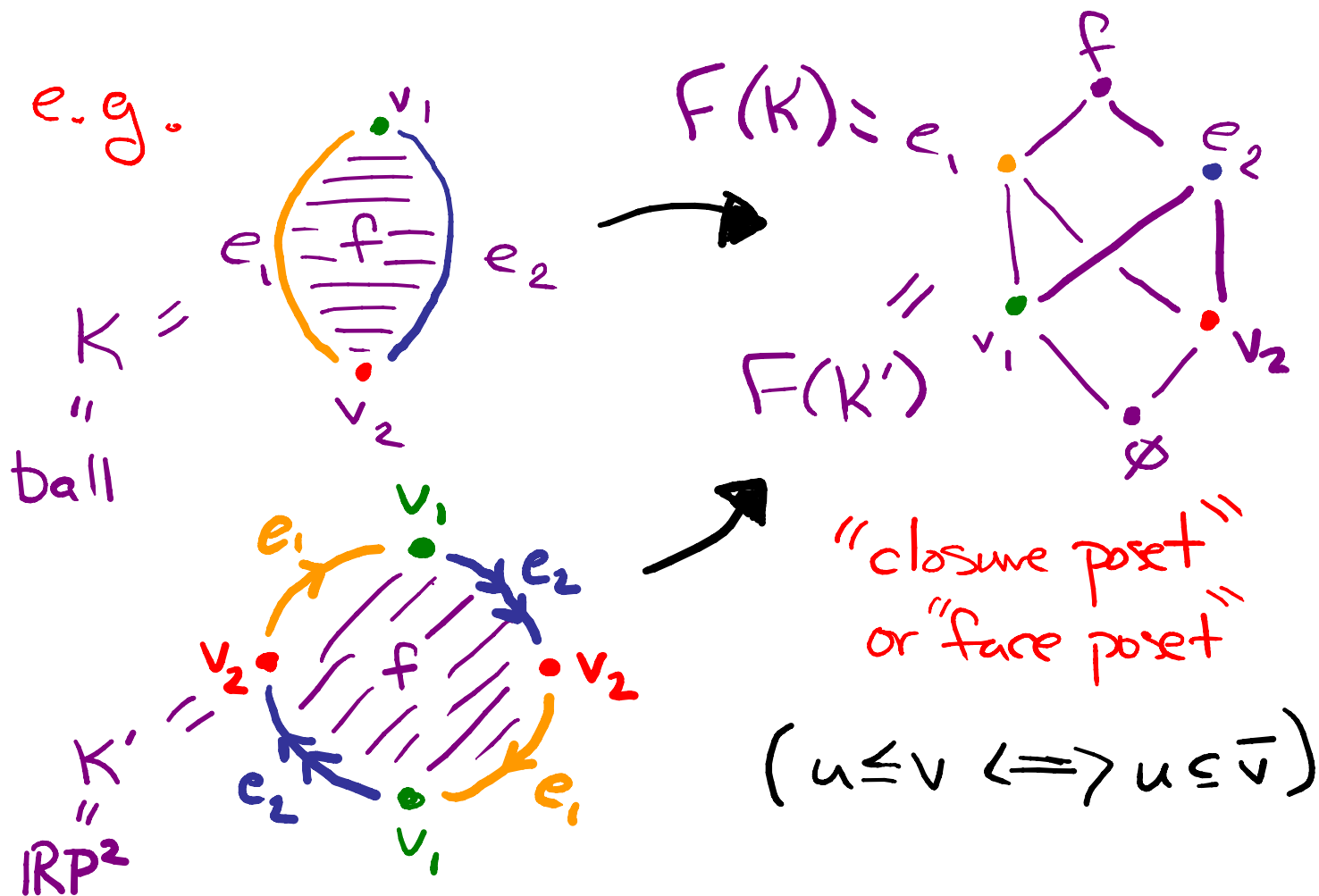
Closure poset  $F(L)$  for Schubert cell decomposition  $L$  of flag variety  $\mathbb{F}l_n = GL_n/B$  † "Schubert varieties" (over  $\mathbb{C}$ ), namely for cell closures likewise for  $G/B$  in other types.

e.g.  $\left\{ \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & * & * & 1 \\ 0 & * & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} \right\} \supseteq \left\{ \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & * & * & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix} \right\}$

$\mathbb{R}^6 = \mathbb{C}^3$  cell indexed by  $1432 \in S_4$   $\downarrow$   $1342$ -indexed cell  $\cong \mathbb{C}^2 = \mathbb{R}^4$

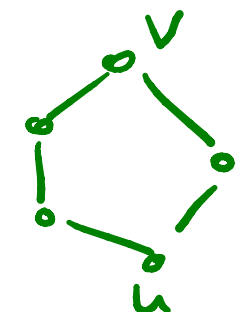
flags  $\langle \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \rangle \subseteq \langle \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ * \\ 1 \end{pmatrix} \rangle \subseteq \dots$

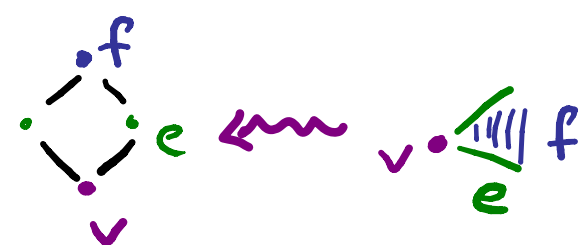
# CW Complexes $\neq$ their Face Posets



Recall: A **CW complex**: cells  $e_\alpha \cong \mathbb{R}^{d(\alpha)}$ ,  
 characteristic maps  $f_\alpha: B^{\dim(e_\alpha)} \rightarrow \cup_{e_\beta \subseteq \bar{e}_\alpha} e_\beta$   
 $\neq$  attaching maps  $f_\alpha|_{\partial B^{\dim(e_\alpha)}}$

• A poset is **graded** if  $u \leq v$  in  $P$  implies all minimal paths upward from  $u$  to  $v$  have same length (i.e. #edges)

e.g.  is not graded

• A graded poset is **thin** if each rank 2 interval has exactly 4 elements. 

Recall: A CW complex is **regular** if the attaching map  $f_\alpha$  for each cell  $e_\alpha$  is a homeomorphism, i.e. cell closures are closed balls.

Recall:   
 ball  $\xrightarrow{\cong}$    $\not\cong$    
 homeomorphic

## Recall: CW Posets

- A graded poset  $P$  is a **CW poset** if
  - (1)  $\hat{0} \in P$
  - (2)  $P$  has at least one other element
  - (3)  $\Delta(\hat{0}, u) \cong S^{\text{rk}(u)-2}$  for  $u \neq \hat{0}$

Thm (Björner):  $P$  is CW poset  $\Leftrightarrow$

there exists regular CW complex with  $P$  as poset of closure relns

Consequence of Danaraj-Klee:

$P$  graded, thin  $\neq$  shellable w/  $\hat{0}$

$\Rightarrow$  CW poset

Thm (Björner & Wachs): Bruhat order is thin  $\neq$  shellable.

# A New Tool: Regularity Criterion

Prop'n (H.) Let  $K$  be a finite CW complex w/ characteristic maps  $\{f_\alpha\}$ .

Suppose

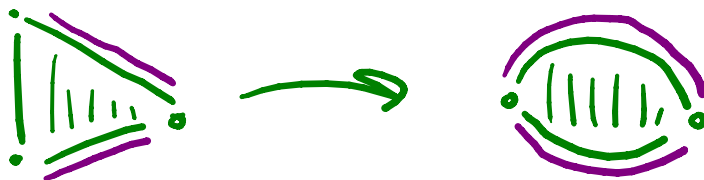
(1)  $\forall \alpha, f_\alpha(\partial B^{\dim \alpha})$  is a union of open cells (surjectivity)

Non-Example:



(2)  $\forall f_\alpha$ , the preimages of the open cells of codim. one in  $\bar{e}_\alpha$  are dense in  $\partial(B^{\dim \alpha})$

Non-Example:



Then  $F(K)$  is graded by cell dimension.

Remark: Next theorem "spreads around" injectivity requirement

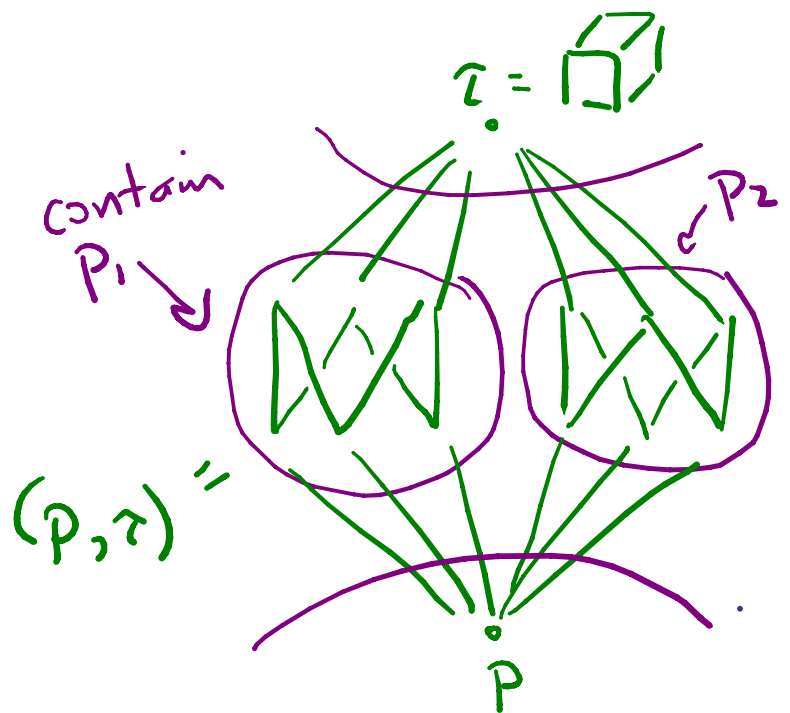
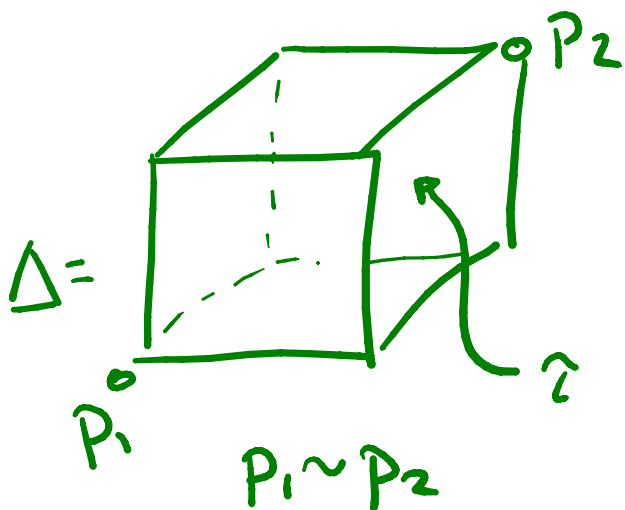
Thm (H.) Let  $K$  be finite CW complex w.r.t. characteristic maps  $\{f_\alpha\}$ . Then  $K$  is regular w.r.t.  $\{f_\alpha\} \iff$

(1)  $K$  meets requirements of prop'n for  $F(K)$  to be graded by cell dim.

(2)  $F(K)$  is thin and each open interval  $(u, v)$  for  $\dim(v) - \dim(u) > 2$  is connected (as graph)

(combinatorial condition)

### Non-Example





(3) For each  $\alpha$ , the restriction of  $f_\alpha$  to preimages of codim. one cells in  $\bar{e}_\alpha$  is injective.  
 (topological condition)

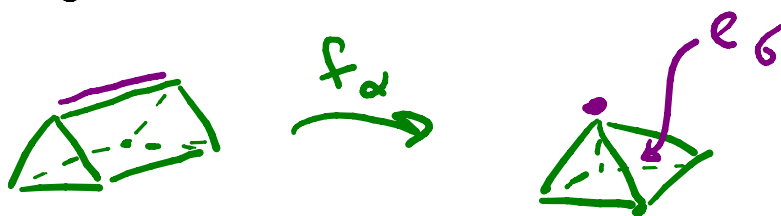
Non-Example:



(4)  $\forall e_\sigma \subseteq \bar{e}_\alpha$ ,  $f_\sigma$  factors as continuous inclusion  $i: B^{\dim \sigma} \rightarrow B^{\dim \alpha}$  followed by  $f_\alpha$ .

Non-Example:

(due to David Speyer)



Notably Absent: Injectivity requirement for  $\{f_\alpha\}$  beyond codim. one

# Bruhat Order Defined Combinatorially

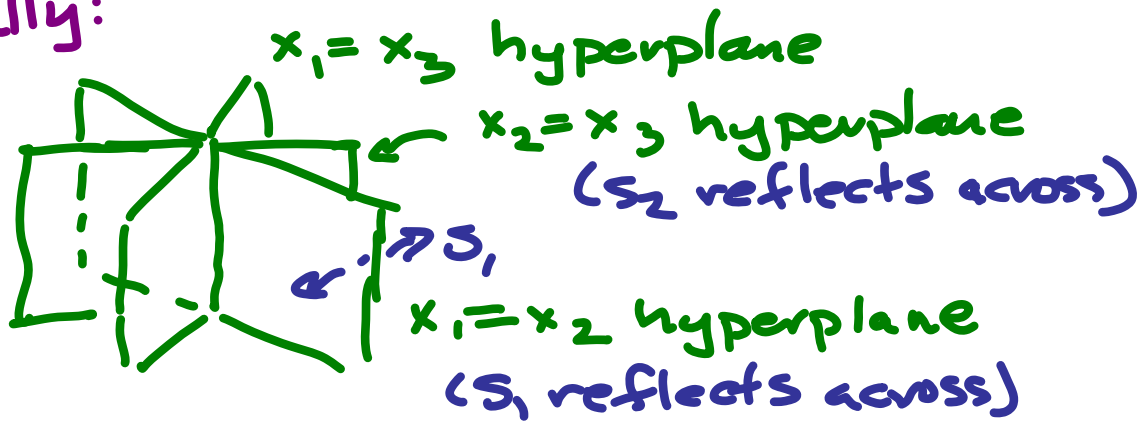
Coxeter group: generators  $\{s_i \mid i \in S\}$

relations  $s_i^2 = e$  &  $(s_i s_j)^{m(i,j)} = e$

e.g.  $S_3 = \langle s_1, s_2 \mid s_1^2 = s_2^2 = (s_1 s_2)^3 = e \rangle$   
 Symmetric group (1,2) (2,3) (≠ other Weyl gps)

geometrically:

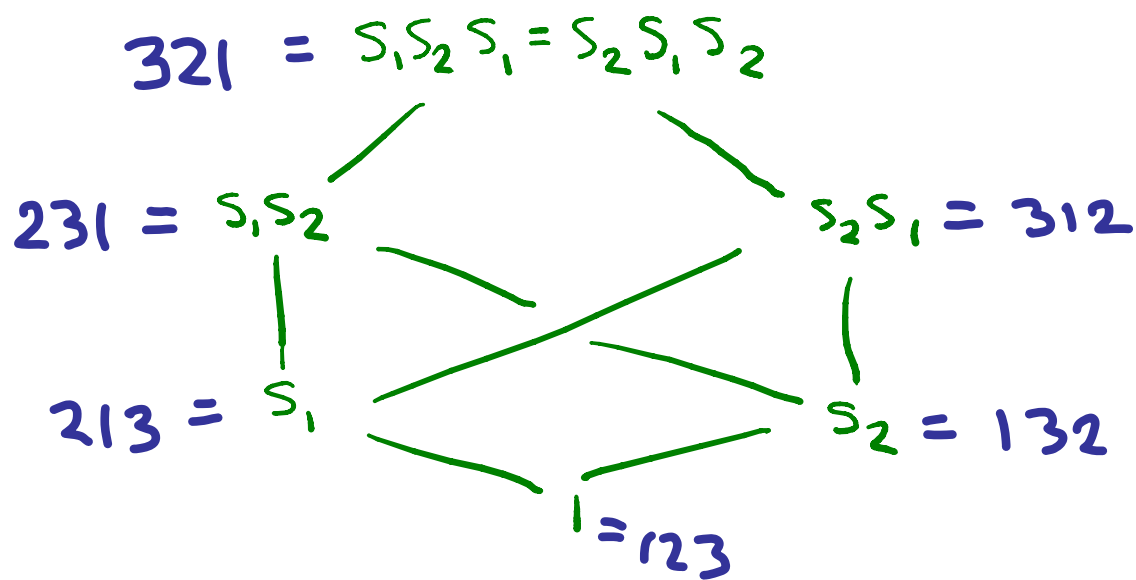
(as group of reflections)



A **reduced expression** for  $w \in W$  is an expression of minimal "length" e.g.  $s_1 s_2$  but not  $s_1 s_2 s_1 s_2 (= s_2 s_1 s_2 s_2 = s_2 s_1)$

The **Bruhat order** is partial order on elements of Coxeter group  $W$  with  $u \leq v$   $\Leftrightarrow$  there exist **reduced expressions**  $r(u)$  and  $r(v)$  for  $u \neq v$ , respectively, with  $r(u)$  subexpression of  $r(v)$ .

e.g.  $W = S_3$  with generators  $s_1 = (1,2)$   
 $s_2 = (2,3)$




• **reduced word**  $(i_1, \dots, i_d)$  for  $s_{i_1} s_{i_2} \dots s_{i_d}$

Reconciling w/ **e.g.**  $\overline{\begin{pmatrix} * & * & 1 \\ * & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}} \cong \begin{pmatrix} * & * & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$

Previous Def'n:

Qn (Björner & Bernstein): Since Bruhat order arises in rep'n theory & is CW poset, are there naturally arising regular CW complexes with Bruhat order as closure poset?

Conjectural Sol'n by Fomin & Shapiro:

Link of ID  within totally nonnegative, real part of unipotent radical in semisimple, simply-connected algebraic group defined & split over  $\mathbb{R}$  (space arising in Lusztig's work)

Aside: Totally positive & totally nonneg. matrices arise in statistics

Recall: A real matrix is **totally nonnegative** if all minors are nonnegative.

e.g.  $\left\{ \begin{pmatrix} 1 & t_1+t_3 & t_1t_2 \\ 0 & 1 & t_2 \\ 0 & 0 & 1 \end{pmatrix} \mid t_1, t_2, t_3 \geq 0 \right\}$

Since  $t_1+t_3 \geq 0$   $t_2(t_1+t_3) - t_1t_2 \geq 0$   
 $t_2 \geq 0$   
 $t_1t_2 \geq 0$

also:  $\left\{ \begin{pmatrix} 1 & t_2' & t_2't_3' \\ 0 & 1 & t_1'+t_3' \\ 0 & 0 & 1 \end{pmatrix} \mid t_1', t_2', t_3' \geq 0 \right\}$

unipotent radical

e.g.  $\left\{ \begin{pmatrix} 1 & * & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix} \right\}$

Theorem (H.): Fomin-Shapiro

Conjecture indeed holds.

Special Case (Running Example):

Space of totally nonnegative upper triangular matrices with 1's on diagonal & entries just above diagonal summing to fixed, positive constant, stratified by which minors are positive and which are 0.

Concrete Realization: image of upcoming map from simplex given by products of certain elementary matrices, by results of Whitney & Lusztig.

# The Totally Nonnegative Part of a Space of Matrices

$\bullet \chi_i(t) = I_n + t E_{i,i+1} = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & 1+t \\ & & & & \ddots \\ & & & & & 1 \end{pmatrix}$

(general finite type, exponential Chevalley generator)

$\exp(t e_i)$  (type A)

column  $i+1$   
 row  $i$

$\bullet f_{(i_1, \dots, i_d)}: \mathbb{R}_{\geq 0}^d \longrightarrow M_{n \times n} \subseteq \mathbb{R}^{n^2}$

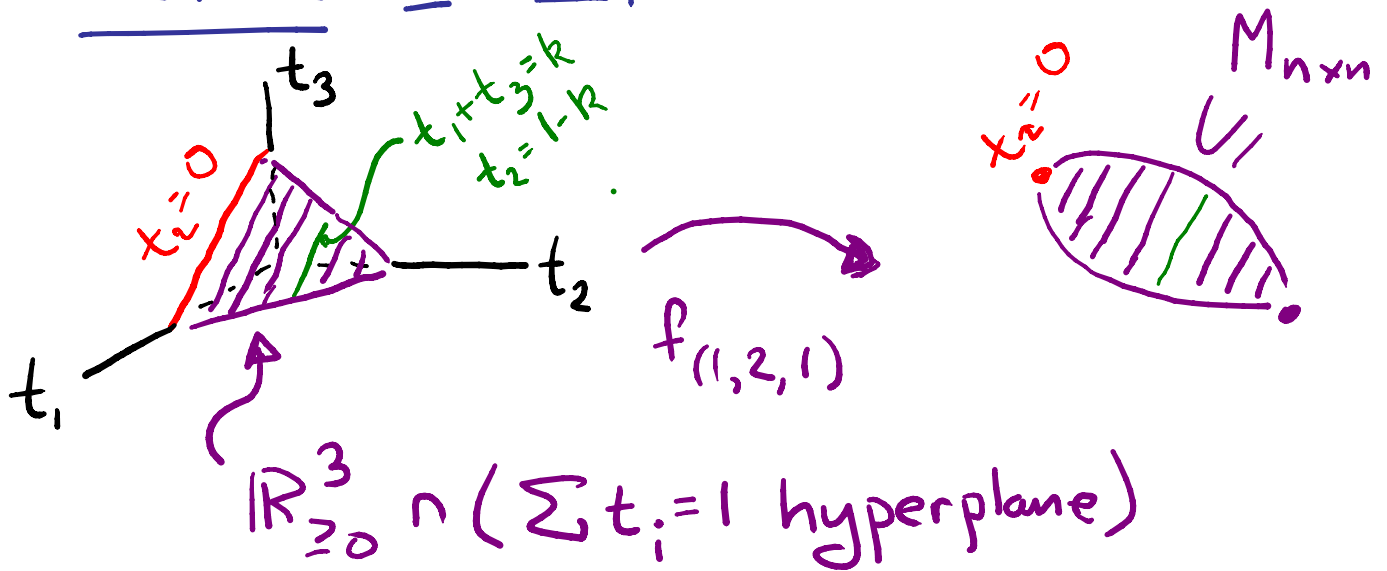
$(t_1, \dots, t_d) \longmapsto \chi_{i_1}(t_1) \cdots \chi_{i_d}(t_d)$

e.g.  $f_{(1,2,1)}(t_1, t_2, t_3) = \chi_1(t_1) \chi_2(t_2) \chi_1(t_3)$

$= \begin{pmatrix} 1 & t_1 & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & \\ & 1+t_2 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_3 & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}$

$= \begin{pmatrix} 1 & t_1+t_3 & t_1 t_2 & \\ 0 & 1 & t_2 & \\ 0 & 0 & 1 & \\ 0 & 0 & 0 & 1 \end{pmatrix}$

# "Picture" of Map



$$f_{(1,2,1)}(t_1, t_2, t_3) = \begin{pmatrix} 1 & t_1 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & 1 & t_2 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & t_3 \\ & 1 & \\ & & 1 \end{pmatrix}$$

$t_2 = 0$

$$x_i(t_1) = x_i(t_3)$$

$$f_{(1,2,1)}(t_1, 0, t_3) = \begin{pmatrix} 1 & t_1 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & t_3 \\ & 1 & \\ & & 1 \end{pmatrix} = \begin{pmatrix} 1 & t_1 + t_3 \\ & 1 \\ & & 1 \end{pmatrix} = x_i(t_1 + t_3)$$

Non-injectivity: results from "nil-moves"

$$x_i(u)x_i(v) = x_i(u+v) \neq \text{"long braid moves"}$$



# First: A Motivation for Nonneg. Real Part of Unipotent Radical

e.g.  $(t_1, t_2, t_3) \mapsto \left( \frac{t_2 t_3}{t_1 + t_3}, t_1 + t_3, \frac{t_1 t_2}{t_1 + t_3} \right)$   
 ("Simply laced" case)      "t'"      "t'"      "t'"

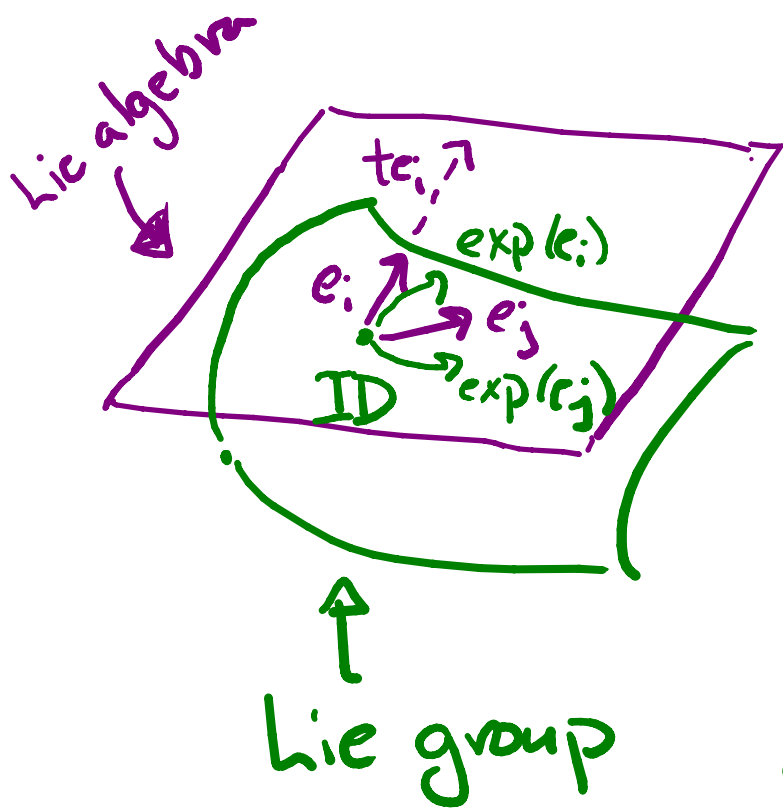
- Tropicalizes to change-of-basis map for Lusztig's "canonical bases":

$$(a, b, c) \mapsto (b + c - \min(a, c), \min(a, c), a + b - \min(a, c))$$

(applying braid move to reduced expression for  $w_0$  w.r.t. which canonical basis is defined)

- Given quantized env. alg.  $U = U^- \otimes_{\mathbb{Q}(v)} U^0 \otimes_{\mathbb{Q}(v)} U^+$  then **canonical basis** is a basis  $B$  for  $U^-$  such that highest weight module with highest weight vector  $v_\lambda$  has basis  $\{v_\lambda b \mid v_\lambda b \neq 0\}$  for each  $\lambda$ .

# Another Motivation: Understanding Relations Among (Exponentiated) Chevalley Generators



Chevalley generators  
 $t_1 e_i, t_2 e_j \in \mathcal{U}(\mathfrak{g})$

$\Downarrow$  exp-map

$$\exp(t_1 e_i, t_2 e_j)$$

$$\parallel$$

$$\exp(t_1 e_i) \exp(t_2 e_j)$$

$$x_i(t_1) x_j(t_2)$$

$$\exp(t e_i) = \boxed{ID + t e_i} + t^2 \underbrace{e_i^2}_0 + t^3 \underbrace{\frac{e_i^3}{6}}_0 + \dots$$

# Proof Strategy (Phrased for Possible Future Applic's too)

Set-up: Continuous, surjective fn

$$f: P \rightarrow Y$$

from convex polytope  $P$  (e.g.  $\Delta_n$ ) s.t.  $f$  maps  
 $\text{int}(P)$  homeomorphically to  $\text{int}(Y)$ .

Step 1: Perform "collapses" on  $\partial P$ , each  
preserving regularity and homeomorphism type,  
via continuous, surjective collapsing functions  
 $P \rightarrow P$  yielding  $P/\sim$  with fewer cells  
s.t.  $x_1 \sim x_2 \Rightarrow f(x_1) = f(x_2)$

(to accomplish IDs we know are needed  
by collapsing all "non-reduced" faces)

Step 2: Prove  $\tilde{f}: P/\sim \rightarrow Y$  is

homeomorphism by **new regularity criterion**

(to prove no further IDs are needed)

# 1st Key Idea to Proof of F-S Conjecture

0-Hecke Algebra Captures which Simplex Faces have Same Image under  $f_{(i_1, \dots, i_d)}$

$$(1) x_i(t_1)x_i(t_2) = x_i(t_1+t_2)$$

"nil-move"

↓ suppress parameters

$$x_i^2 = x_i \text{ (0-Hecke alg. rel'n, up to sign)}$$

$$(2) x_i(t_1)x_{i+1}(t_2)x_i(t_3) = x_{i+1}\left(\frac{t_2+t_3}{t_1+t_3}\right)x_i(t_1+t_3)x_{i+1}\left(\frac{t_1+t_2}{t_1+t_3}\right)$$

↓ (type A)

assuming  $t_1+t_3 > 0$

$$x_i x_{i+1} x_i = x_{i+1} x_i x_{i+1}$$

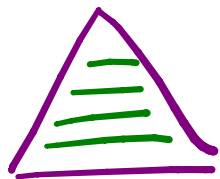
(similar relation holds outside type A)

"long braid move"  
with enrichment from parameters

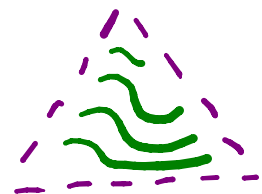
Fibers as Curves:

$$x_i^2 \rightarrow x_i$$

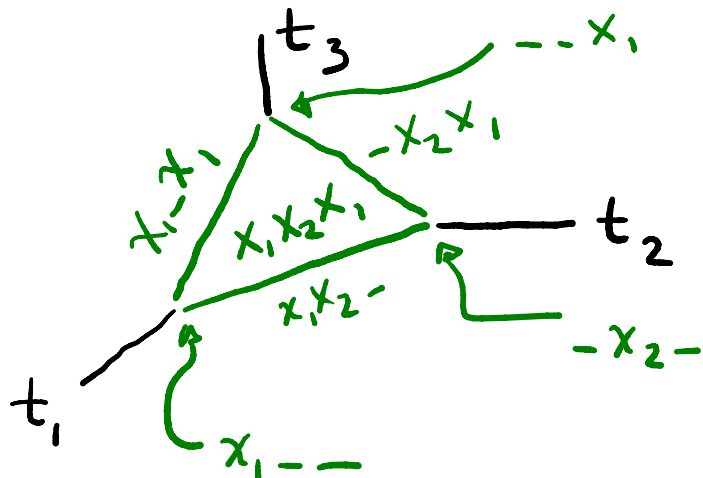
$$t_1+t_2 = k$$



OR after a braid move:



# Indexing Faces of Preimage of $f$ by Words in $\mathbb{O}$ -Hecke Algebra $(i_1, \dots, i_d)$



## Key Observation About $f_{(i_1, \dots, i_d)}$ :

$$\text{im}(F_1) = \text{im}(F_2) \Leftrightarrow \underbrace{x(F_1) = x(F_2)}$$

equal as

$\mathbb{O}$ -Hecke algebra elements

Thm (Lusztig): If  $(i_1, \dots, i_d)$  is reduced, then  $f_{(i_1, \dots, i_d)}$  is homeomorphism on  $\mathbb{R}_{>0}^d$

Observation: "non-reduced" subwords give redundant faces covered by curves, each in single fiber of  $f_{(i_1, \dots, i_d)}$

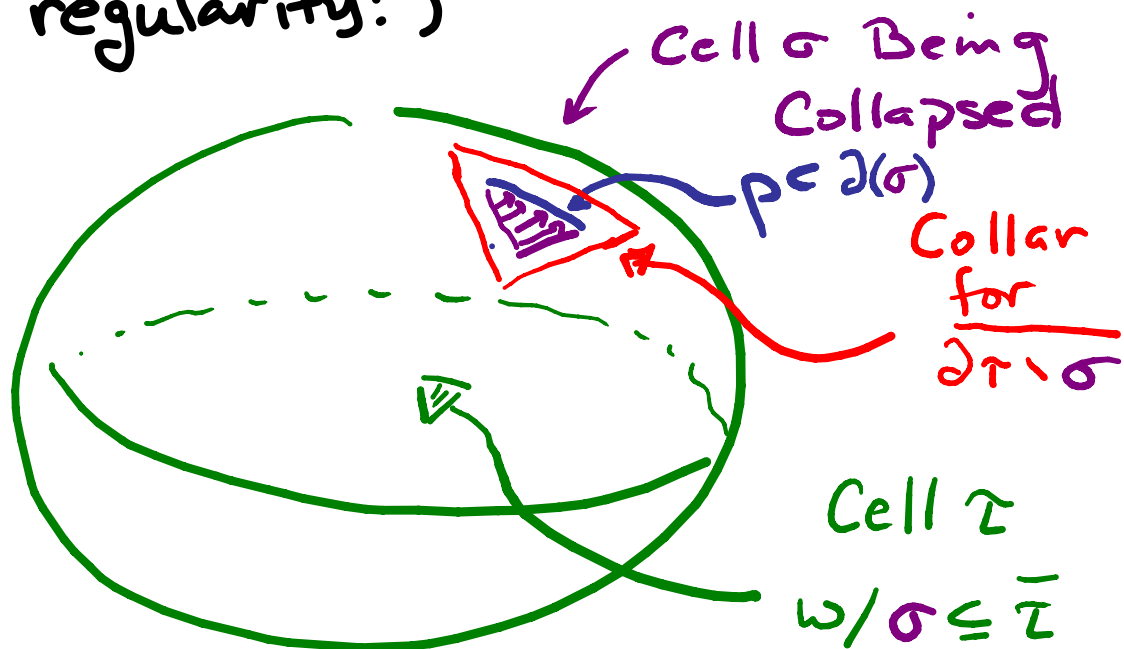
We'll Prove: Only obvious  $\exp(t_{c_i})$  rel's occur

# Step 1 Collapsing Cell $\sigma$ onto Cell $\rho \subseteq \bar{\sigma}$ within $\partial \tau$

Thm (M. Brown; Cannonly): Any topological manifold with boundary  $\partial M$  has a collar (i.e. a nbhd homeomorphic to  $\partial M \times [0, 1]$ ).

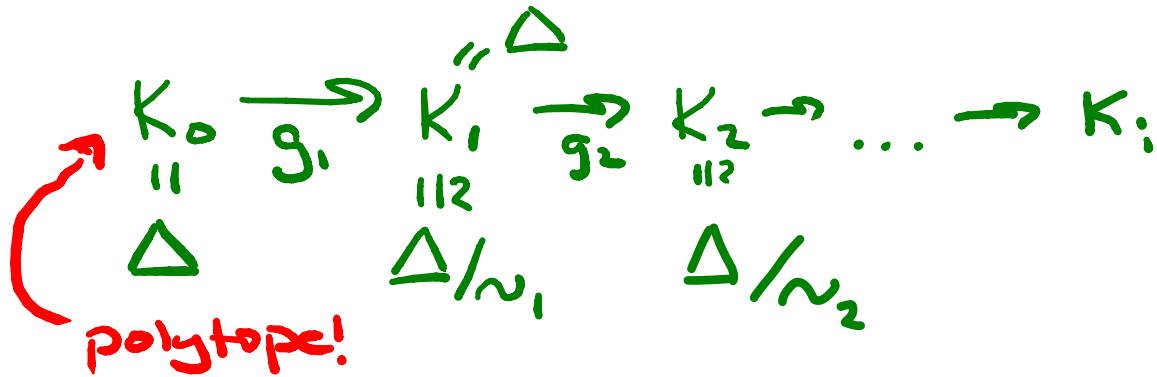
e.g.  $\overline{\partial \tau \setminus \sigma} =$

Plan: Collapse  $\bar{\sigma}$  onto  $\bar{\rho} \subseteq \partial \sigma$ , stretching collar for  $\overline{\partial \tau \setminus \sigma}$  to cover  $\bar{\sigma} \setminus \bar{\rho}$ , preserving top'l manifold structure ( $\ddagger$  homeom. type  $\ddagger$  regularity!)



$$\ddagger \dim \tau = \dim \sigma + 1$$

# (Mainly Combinatorial) Conditions Allowing Such Face Collapses Across Curves



• collapse face in  $K_i$  across images of parallel line segments in  $K_0$  satisfying:

• Distinct endpoints condition (DE):



• Distinct initial points condition (DIP):



• Least upper bound condition (LUB)



(conditions checkable via O-Hecke algebra)

Step 2: Proving that induced

map  $\bar{f}_{(1,1, \dots, 1, id)}: \Delta_n / \sim \rightarrow \left\{ \begin{array}{l} \text{space} \\ \text{of} \\ \text{matrices} \end{array} \right\}$

on quotient

Space

is a homeomorphism.

↑ identifications  
from  
collapsing  
non-reduced  
faces

Method: use new regularity

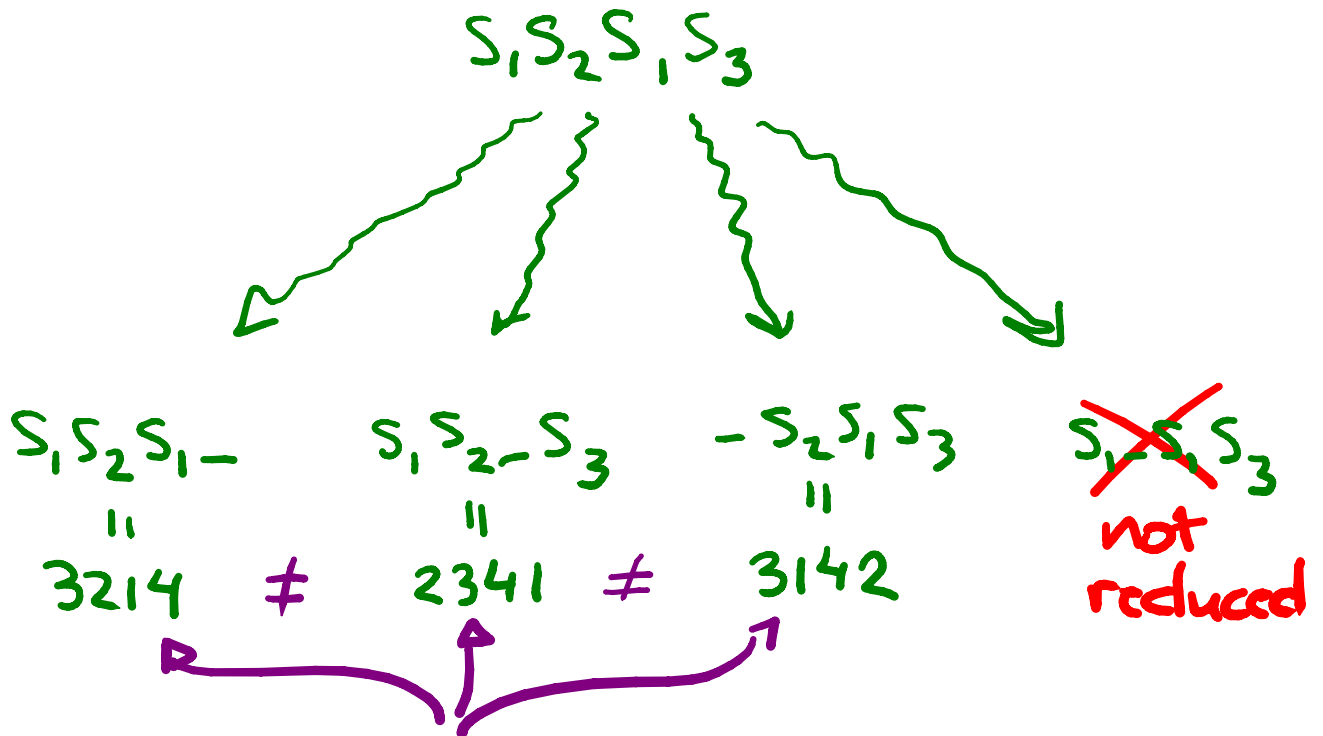
criterion, with shellability  $\neq$

thinness of Bruhat order giving  
its combinatorial requirement.

Summary: Eliminate known non-injectivity  
in step 1, then prove this is all the  
non-injectivity via regularity criterion.

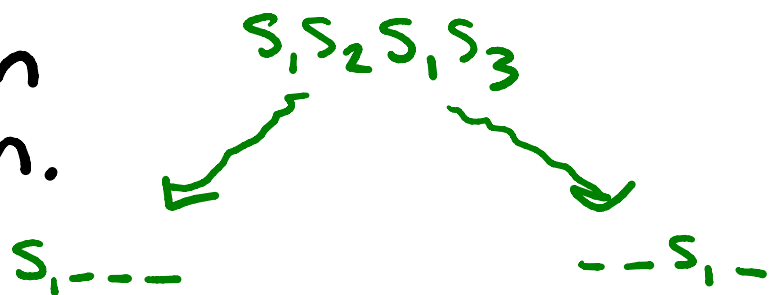


# Injectivity of Attaching Maps in Codimension One via Coxeter group strong exchange axiom



reduced subexpressions of reduced expression obtained by deleting one letter give **distinct** Coxeter group elements.

In contrast: fails in higher codimension.



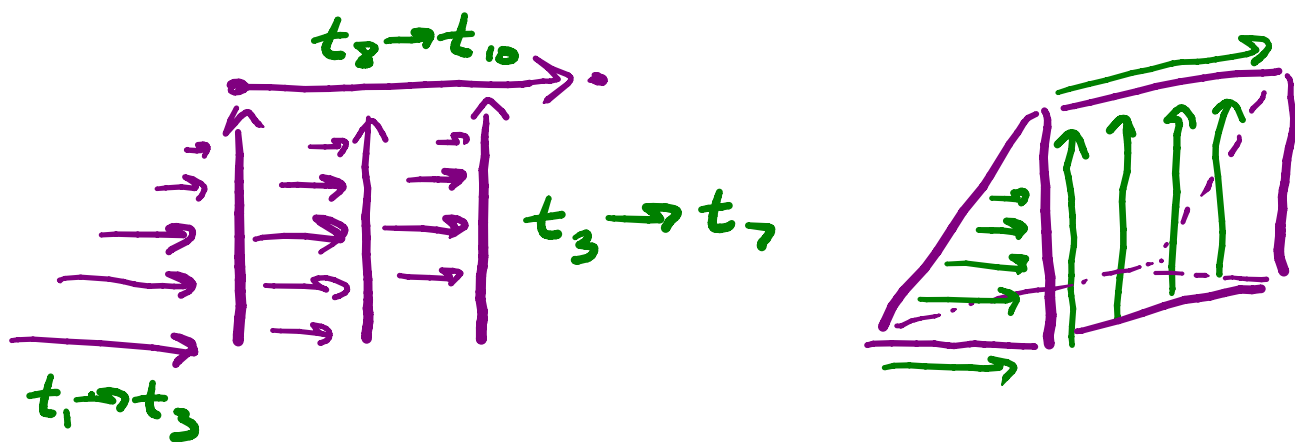
# Description of fibers via Flow (Based on Collapses) to Base Point

e.g.

$$x_1 - x_1, x_2, x_1 - x_2, x_3 - x_3$$

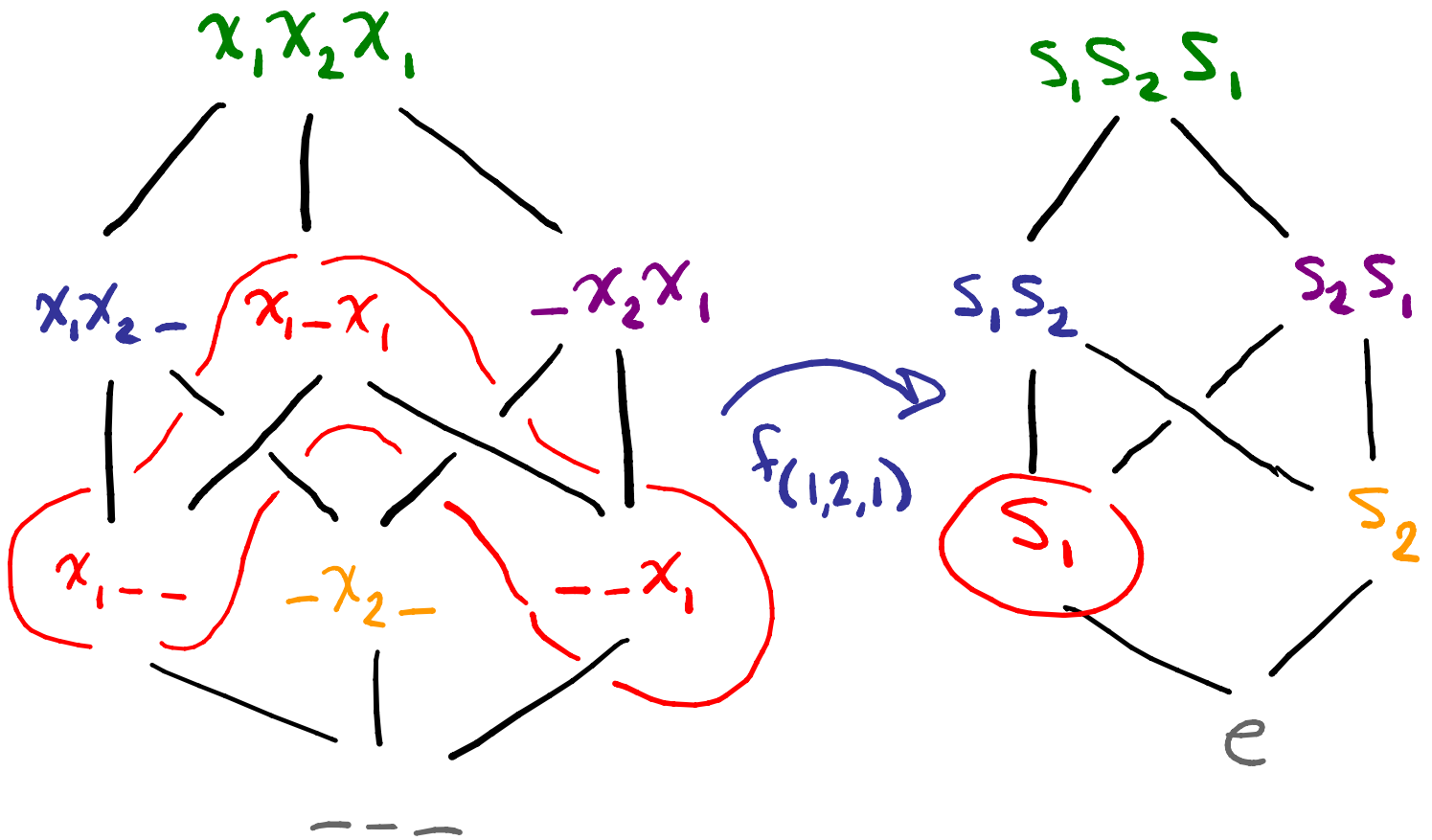
$$x_1(t_1) x_1(t_3) x_2(t_4) x_1(t_5) x_2(t_7) x_3(t_8) x_3(t_{10})$$

$x_1(t_1 + t_3)$ 
 $x_3(t_8 + t_{10})$ 
 $x_2(t'_1) x_1(t'_2) x_2(t'_3 + t_7)$



Remark: Our proof factors  $f(c_i, t_i)$  as product of "nice" maps  
 as in CE-APPROX Thm 2

A Poset Map (on Face Posets)  
induced by  $f_{(i_1, \dots, i_r)}$



Boolean Algebra  $B_n$

Bruhat Order

The subsets  $\{x \in B_n \mid f_{(i_1, \dots, i_r)}(x) \geq u\}$   
 are "dual" (upside-down) face posets  
 of so-called "subword complexes"

# Other Stratified Spaces with Seemingly Similar Features

1. Totally nonnegative part of  
Grassmannian

Postnikov: polytope of plabic graphs  
w/ "measurement map" to  $Gr_{\geq 0}$   
+ elaborate theory of plabic graphs

Postnikov-Speyer-Williams:  $Gr_{\geq 0}$   
is CW complex (via attaching  
maps that are not homeomorphisms)

2. Closed cells in totally  
nonnegative part of loop group

Lam-Plyusky: developed theory  
of these spaces

3. Totally nonnegative part of flag variety

Rietsch: poset of closure rel'n's

Marsh-Rietsch: parametrization

Williams: poset is CW poset

Rietsch-Williams: CW complex w/ attaching maps via canonical bases.

Note: our spaces arise as links of cells

4. Stratified spaces of electrical networks

Kenyon-Propp-Wilson, Lam,

Curtis-Ingerman-Morrow, Kenyon,...

Open Qn: homeomorphism type & other topol. structure for these spaces?

# Intriguing (not-well-understood) Connection to Matrix Schubert Varieties

Observation (Armstrong-H.)

The fibers  $f_{\geq}^{-1}(u) = \{x \in B_n \mid f(x) \geq u\}$   
are dual to face posets of subword  
complexes - proven to be shellable balls  
by Allen Knutson & Ezra Miller (by  
technique called vertex decomposability).

Subword complexes previously arose as:

Stanley-Reisner complexes for Gröbner  
degeneration of matrix Schubert variety ideals  
(Knutson and Miller)