

Molecular clock evidence for an Archean diversification of heme-copper oxygen reductase enzymes[☆]

Fatima Husain^{a,*}, Haitao Shang^{b,c}, Stilianos Louca^b, Gregory P. Fournier^a

^a Department of Earth, Atmospheric and Planetary Sciences, Massachusetts Institute of Technology, 77 Massachusetts Avenue, 55-101, Cambridge, MA 02139, United States of America

^b Department of Biology, University of Oregon, 77 Klamath Hall, 1210 University of Oregon, Eugene, OR 97403, United States of America

^c Department of Earth, Environmental and Resource Sciences, The University of Texas at El Paso, 500 W University, El Paso, Texas 79902, United States of America

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ABSTRACT

Age estimates for the emergence of oxygenic photosynthesis derived from molecular clock analyses reach back to the Archean, substantially predating geochemical evidence of persistent, irreversible atmospheric oxygenation following the Great Oxidation Event (GOE). Additional evidence for the Archean biological cycling of oxygen would provide valuable insight into environmental oxygen concentrations and dynamics during this time. Here, we present phylogenetic reconstructions and molecular clock analyses of heme-copper oxygen reductases, enzymes that use oxygen as a terminal electron acceptor in many aerobic electron transport chains. These analyses reveal that these enzymes likely emerged and diversified in the Mesoarchean, following the earlier emergence of oxygenic photosynthesis. These results are consistent with oxygen concentrations being sufficient for aerobic physiologies to persist in at least some environments prior to the GOE, adding a key component to our understanding of the history of planetary oxygenation independent of the geochemical record.

1. Introduction

During the Archean eon, biological innovations initiated a cascade of geochemical and ecological changes that set the stage for the emergence of complex life. Recent molecular clock analyses estimate that the Cyanobacteria, microorganisms capable of oxygenic photosynthesis, emerged before Earth's Great Oxidation Event (GOE) (Boden et al., 2021; Fournier et al., 2021; Sánchez-Baracaldo, 2015), a transition which records the first significant oxygenation of the Earth's atmosphere. The temporal disconnect between the evolution of oxygenic photosynthesis and its geochemical impact is often principally attributed to the local, abiotic sequestration of molecular oxygen by reduced chemical species in the Archean environment. Phylogenetic reconstructions and analyses of heme-copper oxygen reductases (HCO), enzymes that catalyze the reduction of oxygen to water and contribute to the generation of a proton gradient in respiratory electron transport chains, can aid in the evaluation of the hypothesis that biological sinks, such as aerobic respiration, additionally consumed oxygen during the Archean, forestalling its accumulation.

The HCO enzymes constitute a family of multi-subunit enzymes

found in cytoplasmic membranes, cyanobacterial thylakoid membranes, and mitochondrial membranes (Calhoun et al., 1994; García-Horsman et al., 1994; Saraste, 1990; Saraste et al., 1991). As the terminal enzymes in aerobic electron transport chains, they are often referred to as Complex IV or cytochrome oxidases, though some members of the enzyme family use electron donors other than cytochromes (Pereira et al., 2001; Sousa et al., 2012). During aerobic respiration in mitochondria, electrons are carried from an electron donor, the protein cytochrome *c*, to molecular oxygen through a series of electron acceptors located within the enzyme's subunits. In this process, cytochrome *c* delivers four electrons to a copper center, Cu_A, which are transferred to a heme *a*, then transferred to a heme *a*₃-Cu_B binuclear center, where they are ultimately transferred to an oxygen molecule bound to heme *a*₃ (Nelson and Cox, 2013; Wikström, 1989). During this process, four protons from the mitochondrial matrix are used to convert oxygen to water, while another four protons are pumped across the membrane to the intermembrane space, contributing to the generation of a proton-motive force which eventually drives the synthesis of the energy storage molecule adenosine triphosphate, also known as ATP (Nelson and Cox, 2013; Wikström, 1989).

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^{*} Corresponding author.

E-mail addresses: fhusain@mit.edu (F. Husain), hshang@utep.edu (H. Shang), g4nier@mit.edu (G.P. Fournier).

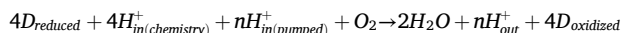
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A general equation for this process is summarized below, where *D* refers to the electron donor:



Within the enzyme family, constituents may vary in their specific electron donors, hemes, proton translocation channels, and taxonomic distribution (Table 1). The enzymes may also have different numbers of subunits; prokaryotic oxygen reductases may have as few as three subunits, while many eukaryotic oxygen reductases may have up to thirteen (García-Horsman et al., 1994). Despite these differences, membership in the heme-copper oxygen reductase family is predicated on the presence of the catalytic subunit, subunit I, which contains a low-spin heme as well as a binuclear center comprised of a high-spin heme, denoted with a subscripted 3, and a Cu_B copper ion. Six strictly conserved histidine residues in subunit I act as ligands for both hemes and Cu_B (Sousa et al., 2012). In 2001, Pereira and colleagues proposed classifying the oxygen reductases into A, B, or C families based on the amino acids which comprise their core sequences and are involved in the enzymes' proton transfer mechanisms (Pereira et al., 2001). Within this framework, the A-type oxygen reductases are further comprised by A1-type, or mitochondrial-like oxygen reductases, and A2-type oxygen reductases (Pereira et al., 2001). Bioenergetic studies of the oxygen reductases have revealed that the subtypes differ in terms of their oxygen binding affinities: A-type oxygen reductases have low affinities, while B-type and C-type oxygen reductases have comparatively higher affinities (Degli Esposti et al., 2019; Morris and Schmidt, 2013; Trojan et al., 2021). These affinities are sometimes associated with organisms' oxygen requirements in environmental settings, though Sousa and colleagues in 2012 noted that the different oxygen reductases are not necessarily confined to specific environmental niches (Sousa et al., 2012). Many prokaryotic microbes have also been shown to use multiple terminal oxidases, including multiple heme-copper oxygen reductase subtypes (Sousa et al., 2012; Trojan et al., 2021). This flexibility is a function of branched respiratory chains, which confer on organisms the ability to survive varying oxygen concentrations (Sousa et al., 2012).

The phylogenetic boundaries defining heme-copper oxygen reductase families remain somewhat uncertain: the catalytic subunits of nitric oxide reductases (NORs), membrane-bound enzymes involved in the reduction of nitric oxide to nitrous oxide, are structurally similar to those in oxygen reductases but do not contain copper in their binuclear centers (Hendriks et al., 1998). The similarities between these subunits in HCO enzymes and NORs have led to many studies on the potential

evolutionary relationships between the enzymes (Chen and Strous, 2013; de Vries and Schröder, 2002; Ducluzeau et al., 2008; Murali et al., 2024; Saraste and Castresana, 1994; Sharma and Wikström, 2014; van der Oost et al., 1994). Indeed, broad phylogenetic reconstructions of the heme-copper superfamily and NORs consistently show that the enzymes form distinct groups, with C-type oxygen reductases and NORs grouping closely (Ducluzeau et al., 2014; Sousa et al., 2012; Sousa et al., 2011) (Fig. 1).

There have been many hypotheses posed for the evolution of HCO enzymes (Degli Esposti, 2020), which are thought to be monophyletic

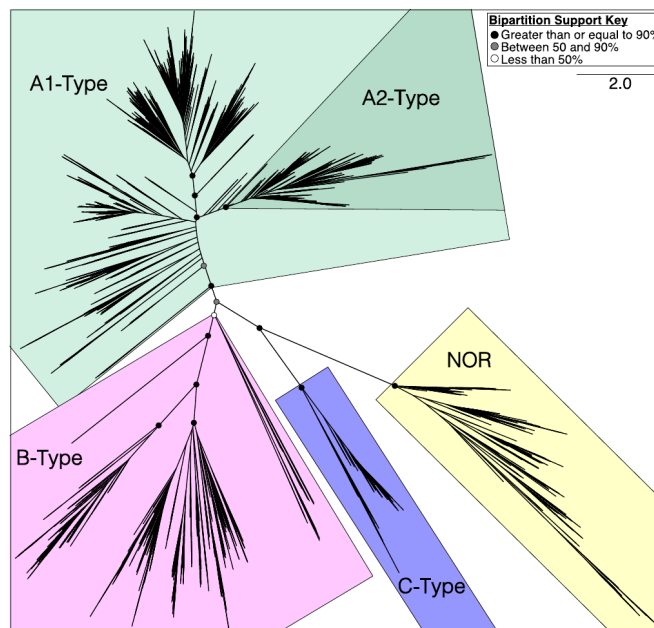


Fig. 1. Maximum-likelihood phylogenetic tree of the heme-copper oxygen reductase family. The tree includes 5360 sequences from all domains of life and shows the recovery of subtype classification grouping, as well as the identification of a group of A2-type within the A-type oxygen reductases. Bipartition support values are coloured according to bootstrap support values, with nodes coloured black having ≥90 % support, nodes coloured gray having between 50 % and 90 % support, and nodes coloured white having <50 % support.

Table 1

General characteristics of the heme-copper oxygen reductase subtypes and nitric oxide reductases. The A1-, A2-, B-, and C-type members comprise the oxygen reductases. The catalytic subunits of nitric oxide reductases (NOR) are structurally similar to those of the oxygen reductases, and their primary function is to reduce nitric oxide to nitrous oxide. *One electrogenic qNOR was characterized by Al-Attar and de Vries in 2015 (Al-Attar and De Vries, 2015).

Classification (Pereira et al., 2001)	A1-Type	A2-Type	B-Type	C-Type	NOR
Catalytic Subunit	Subunit I	Subunit I	Subunit I	Subunit I	NorB
Metals in Binuclear Center (Hendriks et al., 1998; Saraste, 1990)	Heme-Cu _B	Heme-Cu _B	Heme-Cu _B	Heme-Cu _B	Heme-Fe _B
Generation of Electrochemical Gradient (Al-Attar and De Vries, 2015; García-Horsman et al., 1994; Hendriks et al., 2002; Wikström, 1989)	Yes	Yes	Yes	Yes	No*
Translocation Ratio(s) (Han et al., 2011; Pereira and Teixeira, 2004; Sousa et al., 2012)	1H ⁺ /e ⁻	1H ⁺ /e ⁻	0.5 - 1H ⁺ /e ⁻	0.5 - 1H ⁺ /e ⁻	n.a.
Proton Translocating K-Channel (Pereira and Teixeira, 2004)	Yes [KTSY]	Yes [KTSY]	Alternative [TSYY]	Alternative [-SY-]	No
Proton Translocating D-Channel (Pereira and Teixeira, 2004)	Yes [E]	Yes [Y]	No	No	No
Primary Reduction Activity	O ₂ to H ₂ O	O ₂ to H ₂ O	O ₂ to H ₂ O	O ₂ to H ₂ O	NO to N ₂ O
Domains (Sousa et al., 2012)					
Eukaryotes	Yes	No	No	No	No
Bacteria	Yes	Yes	Yes	Yes	Yes
Archaea	Yes	No	Yes	No	Yes
Electron Donor(s) (Pereira et al., 2001; Pitcher and Watmough, 2004)					
Cytochrome c	Yes	Yes	Yes	Yes	Yes
Quinol	Yes	No	Yes	No	Yes
Oxygen Binding Affinity (Degli Esposti et al., 2019; Morris and Schmidt, 2013; Saraste and Castresana, 1994; van der Oost et al., 1994)	Low	Low	Intermediate-to-High	High	n.a.

(Castresana et al., 1994; Pereira et al., 2001) and descendants of an ancestral proto-oxidase or uroxidase (Castresana et al., 1994), with different subtypes emerging via gene duplication events (Castresana et al., 1994). Inferring the deep evolutionary history of HCO enzyme subtypes relies on where the root, representing the common ancestor, is placed within phylogenetic reconstructions. In previous studies, this root was obtained via either manual rooting based on substrate utilization (i.e., between NOR and oxygen-utilizing types), or midpoint rooting (Gribaldo et al., 2009; Saraste and Castresana, 1994), recovering dissimilar evolutionary histories. In other studies, proposed scenarios for the emergence of the oxygen reductases have been informed by enzyme structure and functionality and relating those features to substrate availability during the Archean eon (Ducluzeau et al., 2014; Sharma and Wikström, 2014). Molecular clock analyses based on a phylogeny of diverse oxygen reductase representatives from all domains of life, including prokaryotic representatives who may have originally acquired HCO enzymes by horizontal gene transfer (Brochier-Armanet et al., 2009; Castresana et al., 1994; Degli Esposti, 2020; Gribaldo et al., 2009; Pereira et al., 2001), and improved methodological approaches may better resolve the evolutionary history of oxygen reductase subtypes. To construct a general history of the HCO enzymes, the conserved catalytic subunit was used as a phylogenetic marker. This resulting survey tree was subsampled to be amenable to molecular clock analysis, maintaining both the representative diversity of these enzymes and key nodes for calibration. Additionally, two tree topologies were tested, evaluating the impact of different scenarios for the emergence of the low-affinity, A-type oxygen reductases. The results of these analyses suggest that HCO enzymes arose prior to the onset of the GOE and plausibly acted as a biological sink for oxygen generated by early Cyanobacteria.

2. Methods

To generate a phylogenetic tree of HCO and NOR protein families amenable to molecular clock analyses, an iterative sampling approach was employed which enabled the selection of sequences representative of the wide extant diversity of the enzymes. This approach is necessitated by the dataset size constraints for molecular clock analyses as trees with more than a few hundred taxa are computationally infeasible to assess using an intensive Bayesian methodology (Lartillot et al., 2015). The majority of the diversity contained in the initial trees constructed in this work is recently branching, and therefore the removal of many of these sequences is not expected to substantially influence the reconstruction of deeply branching, ancient divergences relevant to the early history of HCO enzymes.

35,984 sequences for subunit I of A-type, B-type, and C-type oxygen reductases were initially collected using the BLAST search methods described below. This set was then reduced to 5360 candidate sequences from which the oxygen reductase subtypes could be identified. Finally, this set was further reduced to 386 sequences, which is sufficiently small for a molecular clock analysis to be computationally tractable, while retaining sufficient diversity and sampling depth to recover the crown group nodes of major microbial and eukaryotic clades, including those necessary for molecular clock calibration. Details for each of these steps are provided in [Section 2.1](#) and [Section 2.2](#).

2.1. Sequence curation, alignment, and tree construction

The initial protein sequence set was assembled to contain HCO and NOR enzymes across all domains of life. For the oxygen reductases, subunit I sequences were collected, and for NORs, the homologous NorB subunits were collected. Query sequences ([Supplementary Table 1](#)) were selected from the National Center for Biotechnology Information's (NCBI) Protein database.

NCBI's non-redundant protein database (Pruitt et al., 2007) was searched using BLASTp (Altschul et al., 1990) with default search

settings and maximum sequence targets of 500 or 1000. No sequences were excluded from the search, enabling the collection of uncultured and environmental sequences as well as predicted protein models in order to comprehensively survey the extant diversity within these enzyme subunits. From these searches, 35,984 sequences were collected. An initial multiple sequence alignment was conducted on the sequence set using MAFFT version 7.245 (Katoh and Standley, 2013). The *--auto* strategy was employed, which enables the program to select between progressive alignment algorithms or an interactive refinement method, L-INS-i, based on data size. The algorithm FFT-NS-2, which is a fast, progressive alignment method, was chosen. The resulting alignment was viewed in Jalview 2.11.2.2 (Waterhouse et al., 2009). Following alignment, a phylogenetic tree was constructed using the JTT + CAT model in FastTree version 2.1.8 (Price et al., 2010; Price et al., 2009). The resulting tree was processed with Treemmer version 0.3 (Menardo et al., 2018), a program which systematically reduces redundancy within the tree while maintaining representative diversity. After pruning and inspection, 5414 remaining sequences were re-aligned in MAFFT using the *--auto* setting. Sequences within this smaller set were closely inspected; sequences with large gaps, partial cover, and those without the six invariant histidine residues present in both oxygen reductases and NORs were omitted, leaving 5360 sequences. The sequences within this candidate set were then classified into A-type, B-type, and C-type oxygen reductases and NORs based on the manually classified oxygen reductase and NOR sequences present in the HCO database established by Sousa and colleagues in 2011 (Sousa et al., 2011). Following classification, a phylogenetic tree containing the 5360 sequences was generated using IQTree version 2.1.3 COVID-edition for Linux, 64-bit (Minh et al., 2020) with ModelFinder (Kalyaanamoorthy et al., 2017). ModelFinder identified Q.pfam+R10 (Minh et al., 2021) as the best-fitting model for the resulting phylogeny based on the Bayesian information criterion. Bipartition and branch supports were assessed using ultrafast bootstraps (Hoang et al., 2018) and Shimodaira-Hasegawa (SH) approximate likelihood-ratio tests (Guindon et al., 2010). The resulting unrooted maximum-likelihood tree is shown in [Fig. 1](#), and its groups are coloured in part using the colour scheme of the HCO enzyme superfamily described by Sousa and colleagues in 2011 and 2012 (Sousa et al., 2012; Sousa et al., 2011). The tree was rooted using the Minimal Ancestor Deviation (MAD) algorithm (Tria et al., 2017); this rooting recovers NOR as an outgroup to the A-, B-, and C-type HCO enzymes.

From this tree, each HCO subtype and NORs were subsampled using the Jalview remove redundancy tool; the tool identifies pairs of sequences with high percent identity and discards the shorter sequence. A percent identity threshold of 97 % was applied for each group of enzymes; A-type Cyanobacteria and eukaryotic groups were manually subsampled to preserve nodes necessary for molecular clock calibration. Once downsampled, the resulting sequences were re-aligned in MAFFT using the L-INS-i algorithm, which is an iterative refinement method appropriate for sequences with long gaps and a conserved domain. The resulting alignment was further refined manually in preparation for molecular clock analysis and involved the correction of misalignments, the removal of large gaps and long N- and C-terminal extensions, and the removal of poorly aligned sequences ([Fig. 2](#)). This manual curation resulted in a multiple sequence alignment containing 386 sequences with 423 aligned amino acid sites.

This curated alignment was used to generate maximum-likelihood trees for molecular clock analysis using IQTree with ModelFinder, which determines the best-fit model from a variety of basic substitution models (Kalyaanamoorthy et al., 2017), and with GHOST, a complex model for heterotachously evolved sequences (Crotty et al., 2019). The resulting models selected from this survey were compared based on their log-likelihoods, the Akaike information criterion (AIC), and the Bayesian information criterion (BIC) ([Supplementary Table 2](#)). In each case, the GHOST models with both linked and unlinked parameters and 2, 4, or 16 classes had less favorable AIC and BIC scores as well as comparable or less favorable log-likelihood scores due to the large

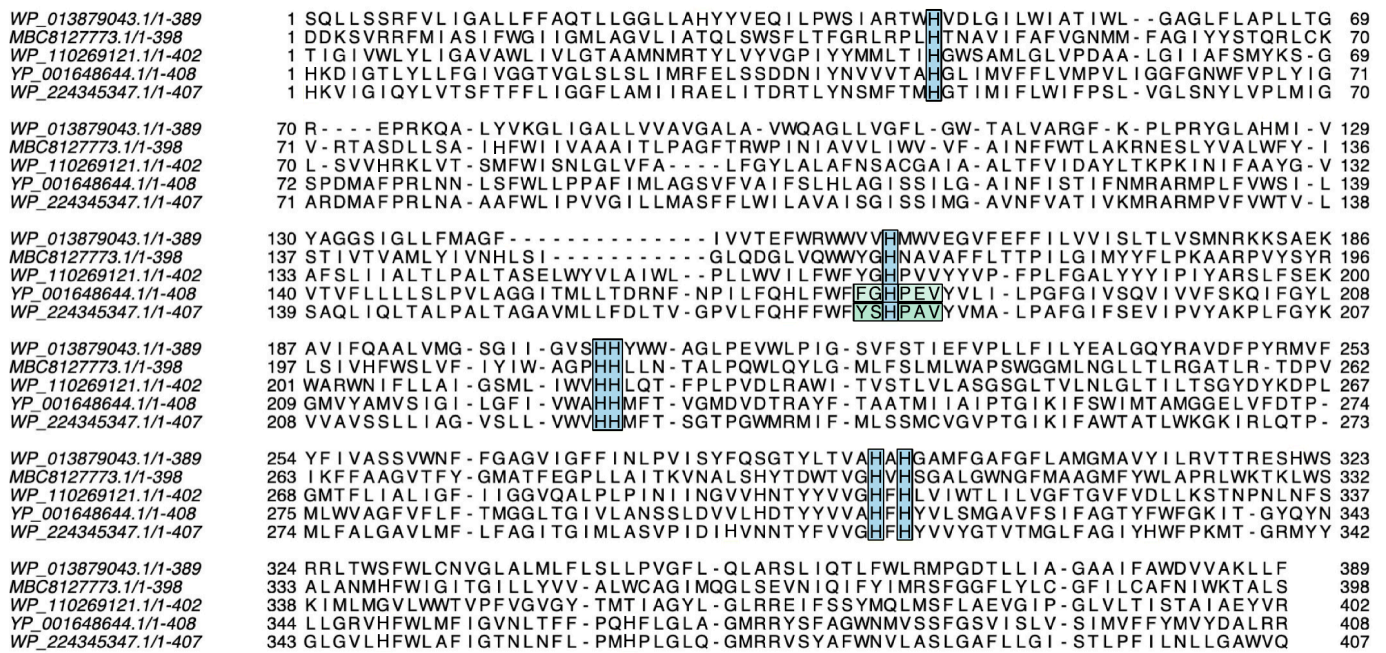


Fig. 2. Multiple sequence alignment of heme-copper oxidase family members. The residues outlined in black and shaded in blue represent the six invariant histidines present in subunit I for all members of the family; the sequence segments outlined in black and shaded in green represent the A1- and A2-type sequence motifs. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

number of additional free parameters than the models selected by ModelFinder. As such, these complex models were not considered further for use in this study.

Two maximum-likelihood trees were pursued after selection by ModelFinder. For the first tree, Topology 1, ModelFinder selected the LG + F + I + G4 model, and bipartition and branch supports were assessed with 100 standard bootstraps and approximate likelihood-ratio tests. In this topology, the A-type oxygen reductases descend from within the diversity of B-type oxygen reductases. The second tree, Topology 2, was generated using a guide tree (Supplementary Fig. 1) that forces the monophyly of each cytochrome oxidase subtype and NORs as depicted in Fig. 1. For this tree, ModelFinder selected LG + F + R10, and bipartition and branch supports were assessed with 1000 ultrafast bootstraps and Shimodaira-Hasegawa approximate likelihood-ratio tests. Both trees were rooted using the MAD algorithm; the rooting of both trees is consistent and separates the oxygen reductases from the NORs. The tree topologies are compared in more detail in Results.

2.2. Molecular clock calibration and analyses

Four nodes on both tree topologies were assigned hard-bound age constraints for molecular clock analyses (Table 2). A hard-bound root age of 4400 Ma to 2750 Ma was imposed on the trees in which the older bound corresponded to the oldest evidence of liquid water and habitability following the moon-forming impact (Wilde et al., 2001), and the younger bound corresponded to the estimated younger-bound age of crown Cyanobacteria in published studies (Fournier et al., 2021), as this clade is present within the tree. Therefore, the root age calibration (prior) is sufficiently broad to encompass all plausible hypotheses for the deep common ancestry of these protein families.

Within the eukaryotic groups represented in the tree, two groups, Archaeplastida and Filozoa, showed phyletic patterns consistent with vertical inheritance and were selected for calibration. The first group, Archaeplastida, was selected due to the recovery of Rhodophyta grouping sister to Viridiplantae (as represented by both Chlorophyta and Streptophyta) and was constrained to 1600 to 1300 Ma, consistent with

Table 2

Age constraints applied to molecular clock analyses in this study. Calibrations were applied as hard bounds to specific nodes in the maximum-likelihood trees generated to estimate the emergences of oxygen reductase subtypes. Ages are specified in millions of years (Ma).

Age Constraint	Older Bound (Ma)	Younger Bound (Ma)	Source(s)
Root	4400	2750	Age estimate for liquid water from detrital zircons (Wilde et al., 2001) corresponding to the earliest potential habitability of Earth; younger bound age of crown Cyanobacteria as estimated in Fournier et al. (2021)
Archaeplastida	1600	1300	Age estimate for the common ancestor of Rhodophyta and Chlorophyta, calibrated by 7 fossil constraints in Parfrey et al. (2011) and 8 fossil constraints in Eme et al. (2014)
Filozoa	1050	875	Age estimate for the common ancestor of Filasterea and Choanoflagellata based on 6 fossil constraints in Parfrey et al. (2011)
<i>Synechococcus -Prochlorococcus</i>	666	494	Age estimate for the common ancestor of <i>Synechococcus</i> and <i>Prochlorococcus</i> species as calibrated by 3 cyanobacterial fossils and 4 eukaryotic plastid lineage fossils in Fournier et al. (2021) and as calibrated by 1 diatom and 2 cyanobacterial fossils in Sanchez-Barcaldo (2015)

previously calculated age estimates for Archaeplastida and Hacrobia, which used hard-bound fossil constraints (Eme et al., 2014) and the ages calculated for green algae, red algae, and land plants (Parfrey et al., 2011). The second group, Filozoa, was identified by the close basal grouping of Filasterea and Choanoflagellata to crown Metazoa and was constrained with a narrowed version of the age estimates recovered for Holozoa and Filozoa reported in Parfrey et al. (2011): 1050 Ma to 850 Ma. Within Cyanobacteria, a deep duplication precedes two groups with phylogenies and taxon samplings consistent with crown Cyanobacteria. A clear vertical pattern of inheritance was observed for the Prochlorococcaceae and Synechococcaceae groups in the cyanobacterial tree, leading to their selection for calibration. The common ancestor of these cyanobacterial families was constrained to 666 to 494 Ma as previously reported (Fournier et al., 2021; Sánchez-Baracaldo, 2015).

Following calibration, posterior and prior divergence time estimates for the oxygen reductases and NORs in both fixed tree topologies were calculated using PhyloBayes 4.1c (Lartillot et al., 2015; Lartillot et al., 2007; Lartillot and Philippe, 2006; Lartillot and Philippe, 2004). In total, 72 molecular clocks were run. For both topologies, the following run parameters were applied: an LG amino-acid substitution matrix (Le and Gascuel, 2008), 4 categories for the discrete gamma distribution of rates across sites, and a gamma-distributed root prior with a mean age of 3575 Ma and a standard deviation of 410 Ma, consistent with the hard-bound root calibration. Convergence was assessed every 100 run cycles for clock chains for posterior distributions. Clocks were run with either all 4 calibrations, the root calibration and eukaryotic calibrations, or with the root calibration and cyanobacterial calibrations. Each clock was run with and without birth-death priors on divergence times under the following relaxed clock models: UGAM, or uncorrelated gamma multipliers (Drummond et al., 2006), LN, or log-normal autocorrelated clock (Thorne et al., 1998), and CIR, or the Cox-Ingersoll-Ross process (Lepage et al., 2006). Convergence was monitored during each run by viewing the continuous difference files generated by the PhyloBayes program and was tested using recommended cutoffs (Lartillot et al., 2015). The burn-in for each clock's chains was manually determined by plotting their log-likelihood values; 5 % or less of each run's initial cycles were discarded before the generation of posterior and prior distributions.

3. Results

The phylogenetic and molecular clock analyses of two topologies, which differ mainly in the placement of the low-affinity, A-type HCO enzymes, enabled the recovery of conservative estimates for the Archean emergence of low-affinity oxygen reductases prior to the GOE. Ages recovered for the A-type oxygen reductases are consistent with previously reported age estimates for the emergence of Cyanobacteria (Fournier et al., 2021).

3.1. Heme-copper oxygen reductase phylogenies

The maximum-likelihood tree constructed from 5360 heme-copper oxygen reductase and NOR sequences recovered distinct groups of oxygen reductase subtypes, apart from NORs (Fig. 1). This general, unrooted topology has been recovered in other studies (Ducluzeau et al., 2014; Sousa et al., 2012; Sousa et al., 2011). Rooting by the MAD algorithm placed the root between the HCO enzymes and the NOR group, consistent with an outgroup rooting based on the functional differences between the enzyme groups.

Within the oxygen reductase subtypes, differing distributions of Bacteria, Archaea, and Eukaryota were recovered. In agreement with previous classifications of heme-copper oxygen reductase constituents (Pereira et al., 2001; Sousa et al., 2012; Sousa et al., 2011), bacteria were distributed across all oxygen reductase subtypes as well as NORs,

eukaryotes grouped within the A1-type oxygen reductases, and most taxa from Cyanobacteria grouped within the A2-type oxygen reductases and C-type oxygen reductases. Within the C-type oxygen reductases, however, a small group of archaea belonging to the order Methanosarcinales clustered within the cyanobacterial oxygen reductases. Archaea have not been previously reported to have C-type oxygen reductases, and further, members of the Methanosarcinales are not known to conduct aerobic respiration, though a recent study independently detected nitrous oxide/oxygen reductases in class II methanogens (Lyu and Lu, 2018). cursory searches for cytochrome *c* oxidases within Methanosarcinales in the NCBI Protein database reveal multiple protein sequences annotated as cbb3-type cytochrome *c* oxidase subunit I, possibly revealing that distinguishing characteristics for the C-type oxygen reductases and NORs may fall outside subunit I for Archaea. Given the similarities between the C-type oxygen reductases and NORs, it is possible that these sequences may describe homologous proteins involved in intracellular nitrous oxide or oxygen detoxification schemes or adaptation to more oxidative environments.

31 novel archaeal sequences not reported in previous cytochrome oxidase phylogenies were recovered, grouping basally to the A-type oxygen reductases. Inspection of these archaeal sequences shows the presence of proton conducting channels with unique substitutions potentially impacting their function. For example, the sequence corresponding to accession EQB66259.1 contains a substitution in the position of its A1-type D-channel motif. In the place of the XGHPEV motif, the mutated segment contains WGHPVLV, suggesting a substitution of a leucine for a glutamate. A group of archaeal sequences from members of Nitrososphaerota also group basal to the A-type oxygen reductases, and in the positions of their A1-type D-channel motif they contain a TFHPEV segment, potentially representing a substitution of a phenylalanine for a glycine. These sequences may represent transitional states between A- and B- type oxygen reductases or reflect the active loss of a functional D-channel in some archaeal species – possibilities discussed further in Section 4.0. These substitutions may make these enzymes more functionally similar to B-type oxygen reductases despite their grouping with an earlier classification as A-type oxygen reductases. During subsampling of the survey tree, 2 of the 31 archaeal sequences were included following the removal of closely related sequences in order to distill the extant diversity of the oxygen reductases.

Topology 1 and Topology 2 were broadly phylogenetically consistent. The principal difference between these topologies was the forced bipartition between the A-type and B-type HCO enzymes in Topology 2; compared to Topology 1, this resulted in only modest changes to the phylogeny within these HCO enzyme subtypes. This forced bipartition resulted in a tree that was favored in terms of log-likelihood, indicating that assuming the monophyly of each of the HCO subtypes in Topology 2 is appropriate for estimating the divergence time of the low affinity, A-type HCO enzymes.

Eukaryotic and cyanobacterial clades present within the A-type oxygen reductases were used to calibrate the molecular clocks run with both topologies. The expanded clades for eukaryotes in Topology 1 (Supplementary Fig. 2) and in Topology 2 (Supplementary Fig. 3) reveal that while broad diversity within Eukaryota was recovered, the topology of the A1-type oxygen reductases from eukaryotes was incongruent with the overall eukaryotic species tree. However, within eukaryotes, some major groups were congruent with known species-tree relationships, specifically enabling the secondary calibration of nodes corresponding to crown Archaeplastida and crown Filozoa. The phylogeny of Cyanobacteria in Topology 1 (Supplementary Fig. 4) and Topology 2 (Supplementary Fig. 5) shows a duplication of the A1-type prior to the diversification of crown Cyanobacteria. Both cyanobacterial sub-trees recover similar relationships and taxonomic distributions across major cyanobacterial groups, with the basally branching *Gloeobacteraceae* sister to the other cyanobacteria in each case.

3.2. Molecular dating of the heme-copper oxygen reductases

Molecular clock analyses for both tested topologies recover pre-GOE emergences of crown HCO enzymes. The posterior age estimates generated by the molecular clock calculations vary depending on the calibrations employed and the models used (Supplementary Tables 3–6). The posterior age estimates generated by the molecular clocks generally overlapped with their prior age estimates, which were calculated with all parameters being equal minus the contribution of sequence data (Supplementary Tables 7–10). Models which applied birth-death priors on divergence times recovered older and often larger age intervals compared to models run with uniform priors. Among the clock models employed, CIR consistently calculated the oldest mean age estimates for the nodes sampled, while UGAM consistently recovered the youngest mean ages. Analysis with jackknifed, or separate groupings of calibrations, revealed that when only root and cyanobacterial calibrations were imposed on the molecular clock, the resulting age estimates were older than those produced when only the root and eukaryotic calibrations were employed. There was only one exception to this finding – the UGAM with birth-death priors model tended to produce distinctly younger posterior age estimates when only the root and cyanobacterial calibrations were used. The recovery of older ages when this clock was run without the birth-death prior likely reflects an exaggerated interaction between the birth-death prior and this configuration of the clock model.

When all calibrations were included, the resulting age estimates reflected the relative impacts each set of calibrations had within that particular run and model. Among the clock models tested, the log-normal autocorrelated clock with the birth-death prior yielded the most consistent age estimates across all the combinations of calibrations tested. These ages and their 95 % credible interval ranges (95 % CI) are

discussed below. Chronograms of Topology 1 (Fig. 4) and Topology 2 (Fig. 5) show ages recovered from this model. Ages derived from other models are available in Supplementary Tables 3–10.

For both topologies, the age estimates recovered for the common ancestor of A-type and B-type oxygen reductases was older than the age estimates recovered for the common ancestors of C-type oxygen reductases or NORs. In Topology 1, the ancestor to the B-type oxygen reductases, from which the A-type oxygen reductases descend, was assigned a mean age of 3587 Ma (95 % CI 3914 to 3246 Ma), while in Topology 2, the common ancestor of both B-type and A-type oxygen reductases was assigned a younger age of 3399 Ma (95 % CI 3758 to 3033 Ma). For the common ancestor of the A-type oxygen reductases, Topology 1 yielded an age of 3188 Ma (95 % CI 3487 to 2877 Ma), while Topology 2 yielded an age of 3211 Ma (95 % CI 3537 to 2860 Ma). The distributions for these age estimates (Fig. 6) are effectively normal and unaffected by significant skew. While the age estimates for these nodes across both topologies were generally consistent, the slightly older age calculated for the A-type oxygen reductases in Topology 2 likely resulted from the deeply branching, basal archaeal sequences mentioned earlier. The phylogenetic placement of these sequences within the A-type oxygen reductases in both topologies pushed back the ages for the subtype's ancestors by creating a common ancestor node between these archaeal sequences and those that more closely resemble the canonical A-type oxygen reductases. In the absence of these 2 archaeal sequences, the age estimates calculated for the common ancestor of the remaining A-type oxygen reductases was 2897 Ma for Topology 1 (95 % CI 3212 to 2642 Ma) and 2856 Ma for Topology 2 (95 % CI 3164 to 2559 Ma).

The ages for the cyanobacterial A-type oxygen reductases were additionally examined in order to consider the emergence of oxygen reductases in the context of oxygen production via oxygenic photosynthesis (Fig. 7). Within both topologies, the ages recovered for pre-

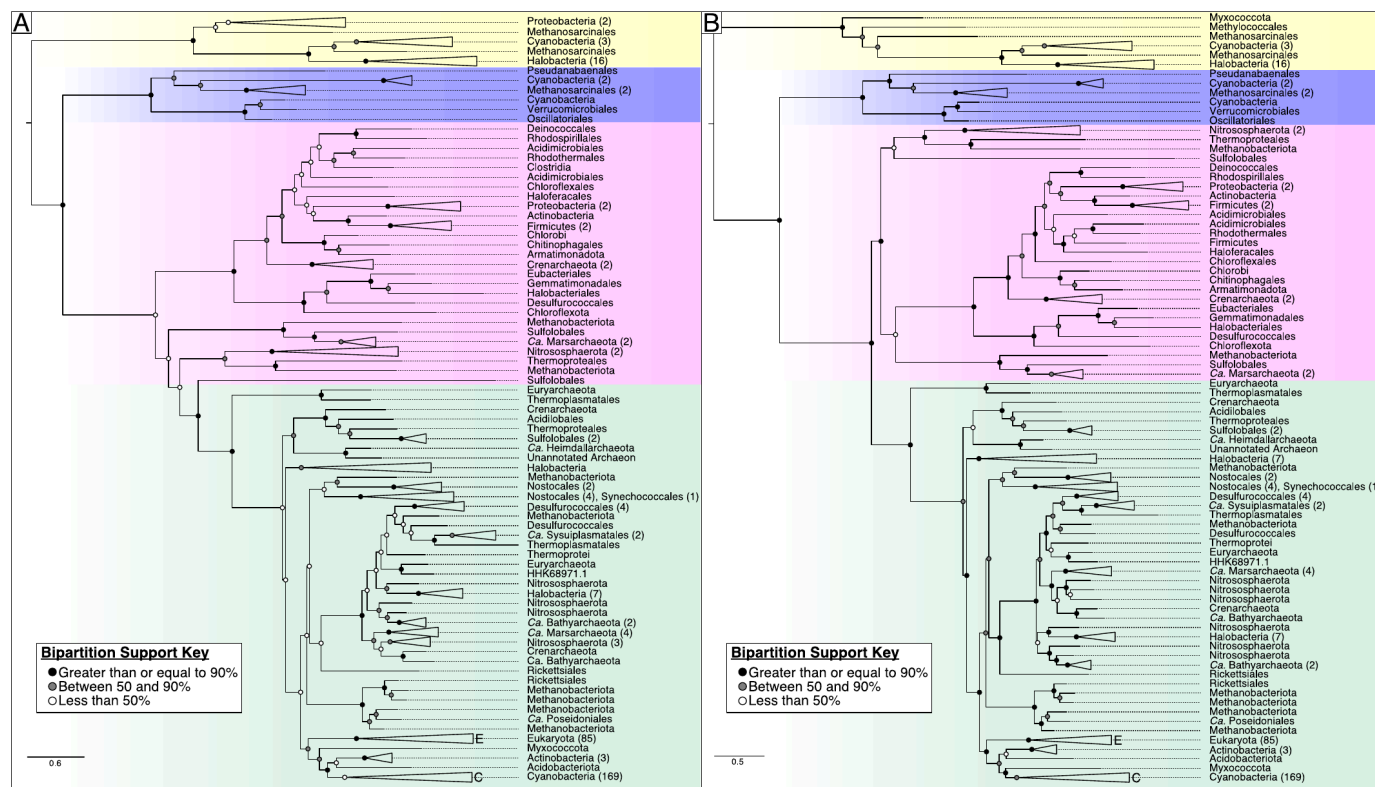


Fig. 3. Maximum-likelihood trees for the heme-copper oxygen reductases. Taxa and collapsed clades are labeled according to NCBI taxonomy annotations at the order level when possible; for taxa without order-level classification, the next highest level of classification was used. Topology 1 is shown in panel (A), and Topology 2 is shown in panel (B). Bipartition support values are coloured according to bootstrap support values, with nodes coloured black having $\geq 90\%$ support, nodes coloured gray having between 50 % and 90 % support, and nodes coloured white having $< 50\%$ support. Eukaryota and Cyanobacteria clades involved in calibration are collapsed and labeled E and C, respectively.

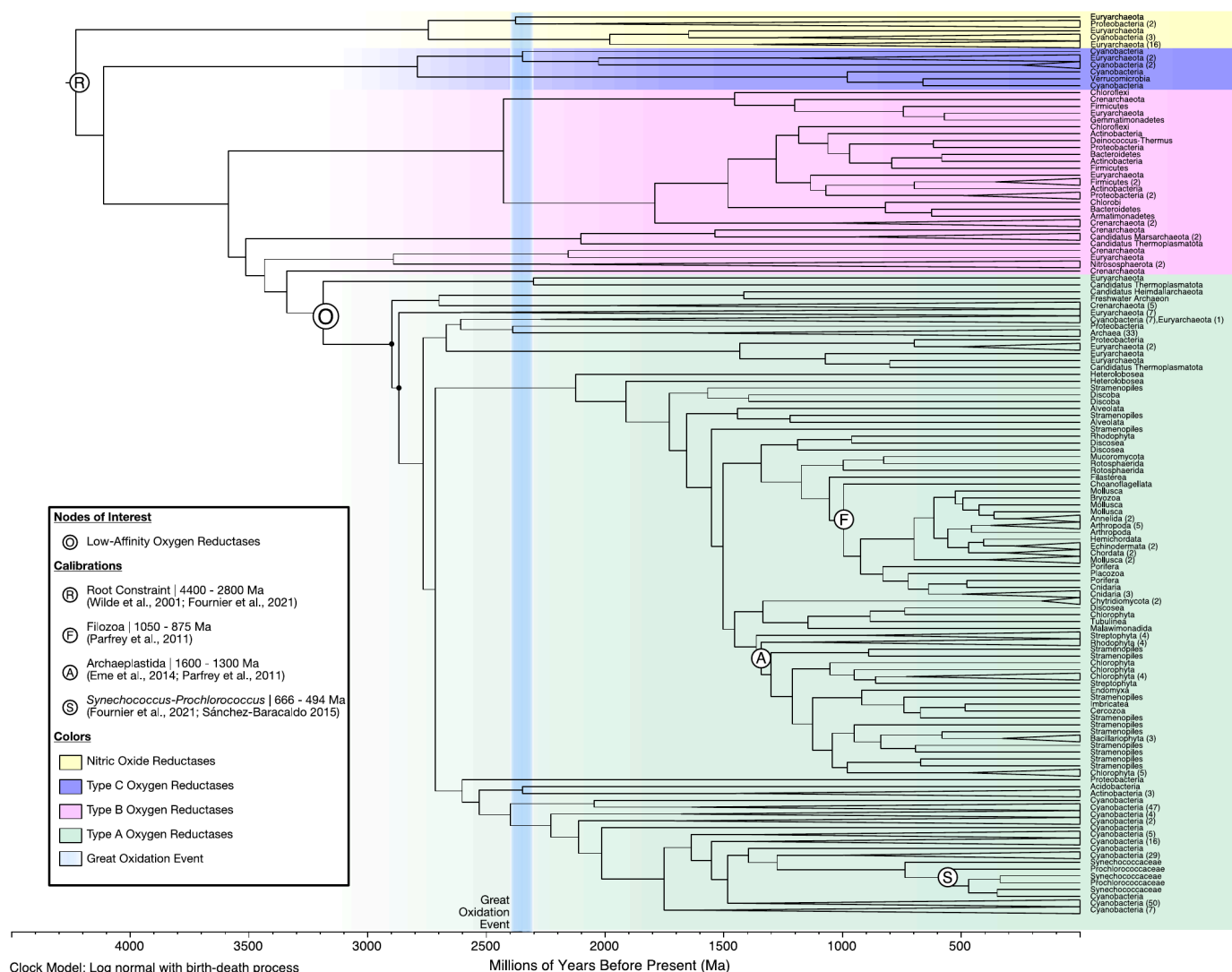


Fig. 4. Chronogram with Heme-Copper Oxygen Reductases using Topology 1. Age estimates are shown for the log-normal model with a birth-death process. Nodes marked with black dots represent the low-affinity oxygen reductases with basal archaeal representatives excluded.

duplication cyanobacterial A-type oxygen reductases push up against the onset of the GOE (2400 to 2300 Ma). In Topology 1, the mean age calculated for cyanobacterial oxygen reductases was 2399 Ma, while in Topology 2, the mean age calculated was 2359 Ma. The 95 % credible intervals for these age estimates extend to 2644 and 2626 Ma, respectively, further suggesting that the topologies independently recover a diversification of cyanobacterial A-type oxygen reductases before the establishment of a persistently oxygenated planet. Both topologies additionally show that the cyanobacterial A-type oxygen reductases undergo major diversification into and after the GOE.

4. Discussion

The analyses presented in this work investigate the evolutionary history of HCO enzymes, the components of aerobic electron transport chains which directly interface with molecular oxygen, as a proxy to reconstruct the evolutionary history of aerobic respiration. Molecular clock analyses of these protein families recover middle Archean age estimates for the advent of low-affinity, A-type oxygen reductases and late Archean age estimates for the cyanobacterial A-type oxygen reductases. Archean aerobic respiration, mediated by HCO enzymes, may have functioned as a biological sink for molecular oxygen that forestalled the establishment of a permanently oxygenated planet.

4.1. Topological Impacts

Significant attention has been directed towards discerning the origins and history of the HCO enzymes, ordering the appearances of the heme-copper oxygen reductase subtypes, as well as determining their relationship with the nitric oxide reductases. Differing views on the appropriate roots for phylogenetic trees of oxygen reductases and NORs, and even whether rooting is possible (Sousa et al., 2012), have led to contrasting views on the antiquity of particular subtypes (Ducluzeau et al., 2014; Grimaldo et al., 2009). The phylogenetic trees considered in this work were rooted by minimal ancestor deviation, independent of the identification of an outgroup. The position of the root selected by this technique suggests that a series of gene duplications, the first of which led to the NORs and the ancestral uroxidase which preceded the development of the current heme-copper oxygen reductase subtypes. It further implies that HCO enzymes share a monophyletic origin, consistent with hypotheses presented in earlier studies (Castresana et al., 1994; Pereira et al., 2001). The second duplication, which took place among the ancestral uroxidases, resulted in the divergence of the C-type oxygen reductases from the more closely grouped B-type and A-type oxygen reductases. This topology is consistent with the classical view of oxygen reductase evolution discussed by Grimaldo and colleagues in 2009, but contrasts with the rooting position proposed in that work in

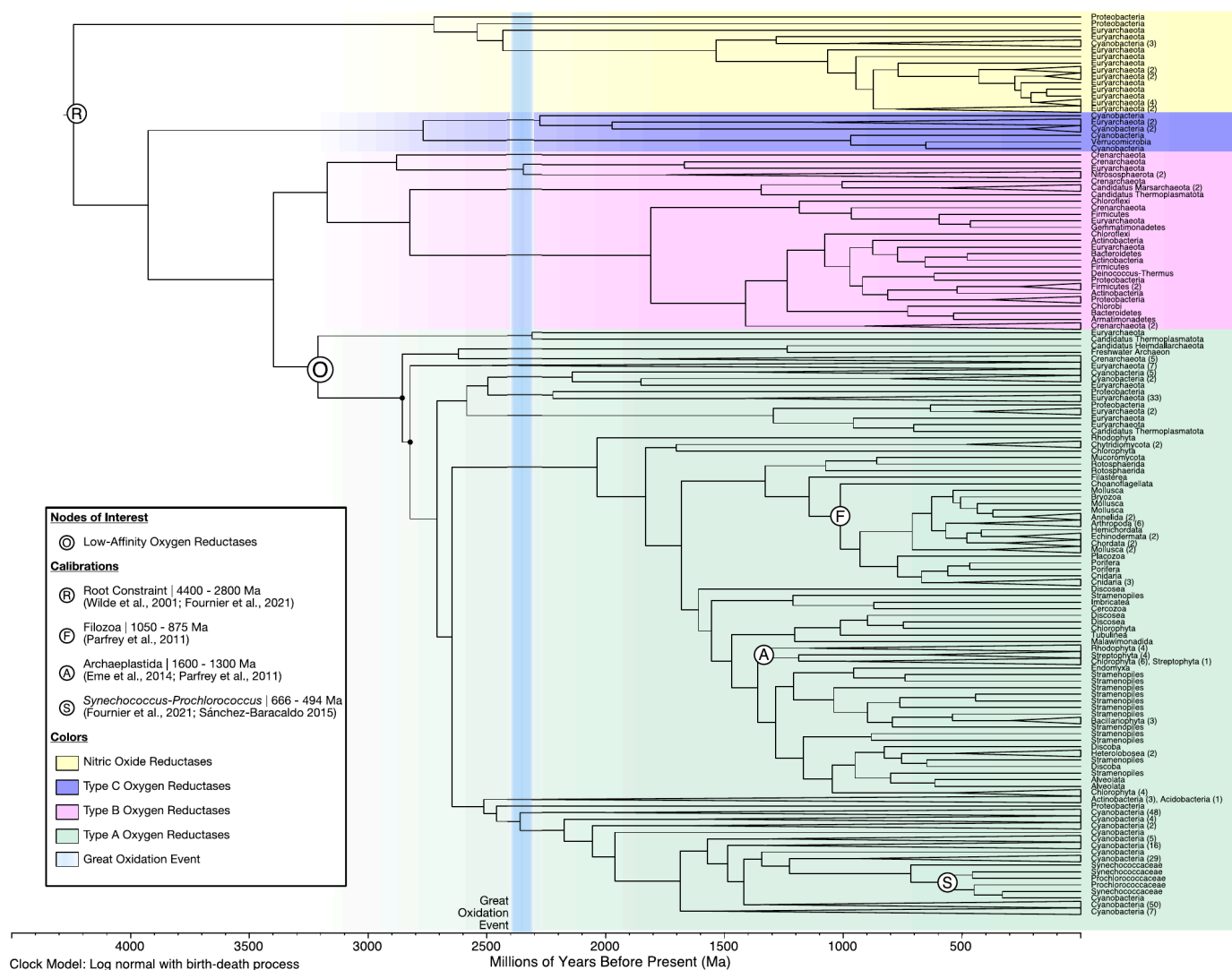


Fig. 5. Chronogram with Heme-Copper Oxygen Reductases using Topology 2. Age estimates are shown for the log-normal model with a birth-death process. Nodes marked with black dots represent the low-affinity oxygen reductases with basal archaeal representatives excluded.

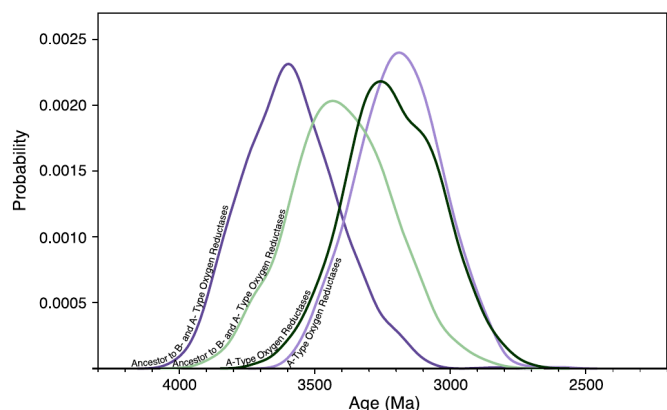


Fig. 6. Divergence time estimates for the A- and B-type oxygen reductases. Ages are shown for Topology 1 (coloured purple) and Topology 2 (coloured green). Probability curves are labeled according to the divergences they represent. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

which the root is placed between the archaeal and bacterial A-type oxygen reductases (Gribaldo et al., 2009).

The two topologies compared in this work diverge following the second proposed gene duplication: in Topology 1, A-type oxygen reductases are monophyletic and nested within paraphyletic B-type oxygen reductase diversity, while in Topology 2, both A-types and B-types are monophyletic, as recovered in previously published phylogenies with much lower taxon sampling densities (Castresana et al., 1994; Pereira et al., 2001; Saraste and Castresana, 1994; Sousa et al., 2012; Sousa et al., 2011). The lack of a distinct separation between the B-type and A-type oxygen reductases in Topology 1 may result from the inclusion of the basal archaeal sequences, which phylogenetically group within the margins of the A-type HCO enzymes. These sequences, which are classified as the catalytic subunits of oxygen reductases through automatic annotation pipelines (HHA13956.1 was assigned protein motif PF00115 and EQB66259.1 was assigned to CDD 440605) and contain the six invariant histidines common to all oxygen reductases, may have a modified D-channel given the residue deviations in their D-channel motifs. Alternatively, they may represent another possible evolutionary intermediate between the A-types and B-type oxygen reductases, as is hypothesized for *Nitrosopumilus maritimus* in Han et al.,

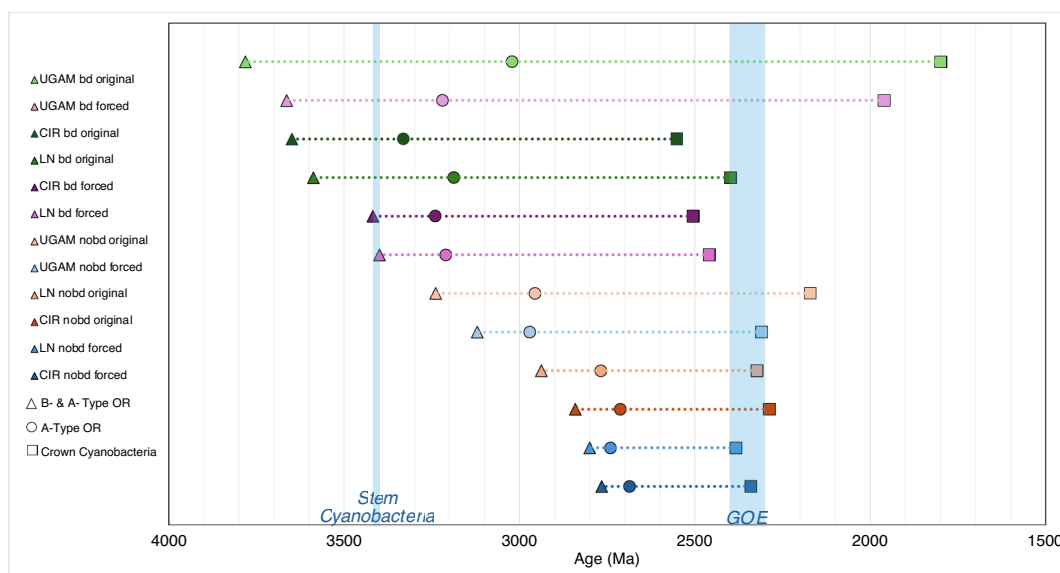


Fig. 7. Distribution of mean ages of A- and B-type oxygen reductases and crown Cyanobacteria. Ages are shown for Topology 1 (labelled original for original bipartition) and Topology 2 (labelled forced for forced bipartition). The mean age estimate for the emergence of stem Cyanobacteria is shown at ~3400 Ma (Fournier et al., 2021) and is coloured in blue; the Great Oxidation Event is shown from 2400 to 2300 Ma and is coloured in blue. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

2011, which has a structurally identical D-channel to the A-type oxygen reductases and a K-channel analog resembling that of the B-type oxygen reductases (Han et al., 2011). The existence of HCO enzyme subtype intermediates is consistent with the recovery of the topology in which the A-type oxygen reductases descend from within the B-type oxygen reductase diversity, in that a sharp division between the extant two subtypes does not exist (Ducluzeau et al., 2014). Dedicated examination of the structures and functionality of these archaeal enzymes, as well as those of their other subunits, may yield insights that further elucidate the evolutionary history and functional diversity of these enzymes.

4.2. Oxygen reductases in cyanobacteria

The deep duplication recovered among the A-type containing cyanobacteria in the trees makes it possible to infer that aerobic respiration was ancestral to these microbes and was present in stem Cyanobacteria, and also provides a novel means of constraining the origin of oxygenic photosynthesis within the stem cyanobacterial lineage. Furthermore, this study resulted in relatively young age estimates for crown Cyanobacteria for Topology 1 (95 % CI 2644 to 2172 Ma) and Topology 2 (95 % CI 2626 to 2097 Ma), as it did not explicitly constrain the age of this group. Future studies focused on the emergence of oxygen reductases in cyanobacteria will therefore likely provide a powerful means of further constraining the age of both aerobic respiration and oxygenic photosynthesis in both relative and absolute dating schemas. Nonetheless, the ages recovered here suggest that a major diversification of cyanobacterial HCO enzymes took place during the ecological reorganization of oxygenated and anoxic environments on Earth before or during the GOE, while many other groups of organisms capable of aerobic respiration diversified later.

4.3. Reconciliation of geochemical and geobiological records

Multiple independent records assembled by the examination of Archean rocks track distinct events which suggest that molecular oxygen may have been at least transiently available before the GOE in terrestrial and marine environments. The onset of the GOE around 2400 to 2300

Ma (Catling and Zahnle, 2020) corresponds to the approximate time interval after which signals of mass independent fractionation of sulfur isotopes in sulfur minerals largely disappear in the rock record. Departures from sulfur mass independent fractionation have been modeled to occur at oxygen levels greater than 10^{-6} times present oxygen levels (PAL), meaning that levels of oxygen less than 10^{-6} PAL, if present before the GOE, would not be recorded as mass dependent fractionation in sulfur minerals (Catling and Zahnle, 2020; Pavlov and Kasting, 2002).

Many geochemical paleoredox proxies record instances of “whiffs,” or the local, transient accumulation of molecular oxygen prior to persistent oxygenation at the close of the GOE (Anbar et al., 2007) and may represent instances of oxygen accumulation resulting from oxygenic photosynthesis that overwhelmed local geochemical reductants. During oxidative continental weathering, redox sensitive elements are liberated from crustal minerals, enabling their transport to and accumulation in seawater, and, eventually, their deposition. Elevated abundances of molybdenum and rhenium in the late Archean Mt. McRae Shale (~2501 Ma) and of elevated molybdenum at a second site, the coeval Klein Naute Formation, have been associated with oxidative weathering (Anbar et al., 2007; Kendall et al., 2010). Later studies of selenium and osmium isotope distributions at the Mt. McRae Shale recover excursions similarly attributed to the presence of oxygen (Kendall et al., 2015; Stüeken et al., 2015). Additional isotope systems have been employed to infer the presence of molecular oxygen before the GOE, including chromium and molybdenum. These elements undergo characteristic isotopic fractionations when they are sorbed onto manganese oxides (Crowe et al., 2013; Ostrander et al., 2021; Planavsky et al., 2014), minerals which form in the presence of oxygen in terrestrial and marine settings. Paleosols from the Pongola Supergroup, including the Nsuze paleosol, which formed between 2980 Ma and 2960 Ma, and the 2950-Ma-old Sinqeni Formation contain isotopic signatures from chromium (Crowe et al., 2013) and molybdenum (Planavsky et al., 2014), respectively, consistent with the presence of manganese oxides. While recent works have highlighted molecular oxygen independent mechanisms for manganese oxide formation (Daye et al., 2019; Liu et al., 2020), the extent of the operation of these mechanisms in Archean settings remains unclear. Other inorganic geochemical proxies have

recorded changes in the extent of oxygen-mediated weathering during the late Archean (Kendall, 2021; Lyons et al., 2014; Ostrander et al., 2021). Morphological evidence in the form of fossil bubbles associated with cyanobacterial oxygen-production has been recovered in 3220-Ma-old (Homann et al., 2015) and in 2700-Ma-old microbial mats (Bosak et al., 2009). These independent geochemical and morphological records of molecular oxygen availability in a variety of environmental settings during the middle to late Archean are largely compatible with the recovery of a Mesoproterozoic (3200 to 2800 Ma) emergence and diversification of oxygen-requiring HCO enzymes (Fig. 3 and Fig. 4).

A growing complement of genomic investigations further supports the possibility of pre-GOE interactions between life and accumulated oxygen. The Archean emergence of Cyanobacteria, the microbes capable of oxygenic photosynthesis, has been recovered by fossil- and gene transfer-calibrated molecular clock analyses (Boden et al., 2021; Fournier et al., 2021; Sanchez-Baracaldo, 2015). Superoxide dismutase enzymes with copper and zinc cofactors, which catalyze the removal of superoxide free radicals, have been estimated to have emerged in Cyanobacteria prior to the GOE using fossil-calibrated and geochemically-constrained molecular clocks (Boden et al., 2021). Phylogenetic analyses of high redox potential respiratory quinones, molecules involved in electron transport in aerobic respiration and photosynthesis, revealed a pre-GOE origin for these molecules (Elling et al., 2025). Further, detailed phylogenetic analyses of a collection of oxygen-interfacing enzymes suggest an uptick in diversification at 3100 Ma (Jablonka and Tawfik, 2021). Additionally, recent analyses, which sought to time-calibrate a tree for Bacteria using the GOE, yielded evidence of some aerobic bacterial lineages arising prior to the GOE (Davin et al., 2025). Therefore, the ages recovered here for the origin and diversification of aerobic respiration metabolisms across microbial groups are broadly consistent with an emerging consensus of an Archean biosphere that included the biological cycling of oxygen.

The diversification of the oxygen reductase subtypes prior to the GOE suggests the presence of persistently oxygenated environments for which geochemical evidence is scarce and still subject to debate. However, a new view is emerging regarding the operational oxygen requirements of HCO enzymes that may aid in the explanation of aerobic respiration in the absence of geochemical evidence for oxygen. Metatranscriptomic surveys of microbial life across oxygen regimes have revealed that while high-affinity oxygen reductases may be more highly expressed in environments with $<1 \mu\text{M O}_2/\text{L}$, low-affinity, A-type oxygen reductases are also still expressed (Berg et al., 2022). Recent examinations of the oxygen requirements for the operation of high and low-affinity oxygen reductases in soil bacteria have shown that low-affinity oxygen reductases can be expressed in oxygen concentrations as low as $1 \text{ nM O}_2/\text{L}$ without the simultaneous detectable expression of high-affinity oxygen reductases (Trojan et al., 2021). An experiment conducted by Trojan and colleagues, which subjected a low-affinity oxygen reductase containing bacterium to an increasingly diminished oxygen supply showed that the rate of microbial oxygen consumption never exceeded the rate at which oxygen was supplied, maintaining oxygen availability below $0.01 \mu\text{M O}_2/\text{L}$ while other experiments showed that high-affinity oxygen reductases subject to similar conditions would entirely deplete their oxygen supplies, resulting in anoxic conditions (Trojan et al., 2021). These findings suggest that early aerobes with low-affinity oxygen reductases may have inhabited environments with exceedingly low levels of oxygen – simultaneously acting as a biological sink for that oxygen and potentially preventing its accumulation to the extent required to be recorded geochemically. Within this framework, Archean ‘whiffs’ of oxygen could represent accumulations of oxygen that exceeded the capacity of local geochemical and biological sinks. Aerobic life would have been restricted to environments which supported the growth of Cyanobacteria until higher levels of oxygen accumulated that ultimately initiated the GOE.

CRediT authorship contribution statement

Fatima Husain: Visualization, Methodology, Investigation, Conceptualization, Writing – review & editing, Writing – original draft. **Haitao Shang:** Conceptualization, Writing – review & editing. **Stilianos Louca:** Conceptualization, Writing – review & editing. **Gregory P. Fournier:** Supervision, Project administration, Methodology, Investigation, Funding acquisition, Conceptualization, Writing – review & editing.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.palaeo.2025.113531>.

Data availability

All data from this study is available in the main manuscript and in the supplementary material. Sequence and tree files have been deposited in the NASA Astrobiology Habitable Environments Database at the following link: <https://doi.org/10.48667/rykp-pn33>.

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